

1 **A Mathematical Model for Reactions during Top-Blowing in the AOD**

2 **Process: Validation and Results**

3 Ville-Valtteri Visuri,^{1)*} Mika Järvinen,²⁾ Aki Kärnä,¹⁾ Petri Sulasalmi,¹⁾
4 Eetu-Pekka Heikkinen,¹⁾ Pentti Kupari³⁾ and Timo Fabritius¹⁾

5

6 1) Process Metallurgy Research Unit, University of Oulu, PO Box 4300, FI-90014 University of Oulu, Finland.

7 2) Department of Mechanical Engineering, Aalto University, PO Box 14440, FI-00076 Aalto, Finland.

8 3) Outokumpu Stainless Oy, FI-95490 Tornio, Finland.

9 *) Corresponding author. E-mail: ville-valtteri.visuri@oulu.fi

10 **Abstract**

11 In earlier work, a fundamental mathematical model was proposed for side-blowing operation in the
12 argon-oxygen decarburization (AOD) process. In the preceding part “Derivation of the Model”, a
13 new mathematical model was proposed for reactions during top-blowing in the AOD process. In this
14 model it was assumed that reactions occur simultaneously at the surface of the cavity caused by the
15 gas jet and at the surface of the metal droplets ejected from the steel bath. This paper presents
16 validation and preliminary results with twelve industrial heats. In the studied heats, the last combined-
17 blowing stage was altered so that oxygen was introduced from the top lance only. Four heats were
18 conducted using an oxygen-nitrogen mixture (1:1), while eight heats were conducted with pure
19 oxygen. Simultaneously, nitrogen or argon gas was blown via tuyères in order to provide mixing that
20 is comparable to regular practice. The measured carbon content varied from 0.4–0.5 wt-% before the
21 studied stage to 0.1–0.2 wt-% after the studied stage. The results suggest that the model is capable of
22 predicting changes in steel bath composition and temperature with a reasonably high degree of
23 accuracy. The calculations indicate that the top slag may supply oxygen for decarburization during
24 top-blowing. Furthermore, it is postulated that the metal droplets generated by the shear stress of top-
25 blowing create a large mass exchange area, which plays an important role in enabling the high
26 decarburization rates observed during top-blowing in the AOD process. The overall rate of
27 decarburization attributable to top-blowing in the last combined-blowing stage was found to be
28 limited by the mass transfer of dissolved carbon.

29

30 **Keywords:** stainless steelmaking, AOD process, top-blowing, mathematical modelling.

31

32

33 **1 Introduction**

34 The argon-oxygen decarburization (AOD) process is the most common process for refining stainless
35 steel.^[1] The gas blowing mixture is diluted with argon or nitrogen in order to decrease the partial
36 pressure of the carbon monoxide, thus promoting the decarburization reaction and reducing the
37 chromium losses to slag.^[2] Due to the lesser oxidation of metallic species, the consumption of
38 reducing agents is likewise reduced.^[3] In the original concept, the blowing mixture was delivered
39 through tuyères located on the sidewall of the vessel, but modern designs also feature a top lance.^[1,4]
40 **Figure 1** shows an example of a blowing practice, which consists of a combined-blowing
41 decarburization stage, multiple side-blowing decarburization stages and a reduction stage with
42 desulphurization and alloying.^[5]

43
44 **Figure 1** Ref. ^[5]

45
46 The primary interest of this work is the combined top- and side-blowing decarburization stage, which
47 aims to maximize the decarburization rate by employing a high oxygen supply rate. Typical blowing
48 rates at this stage are approximately 1.0–1.6 Nm³/(t·min) through the top lance and 0.80–1.25
49 Nm³/(t·min) through the tuyères.^[6] Both subsonic and supersonic lances can be employed in the AOD
50 process.^[1,4] While supersonic lances are water-cooled and positioned one to four meters from the
51 surface of the steel bath, subsonic lances are placed closer to the bath and are not necessarily water-
52 cooled.^[1] The supersonic gas jet is obtained with a de Laval nozzle, which can feature one or more
53 exit ports. The main advantages of supersonic lances over subsonic lances are the increased oxygen
54 delivery rate and improved penetrability of the gas jet.^[1] On the other hand, subsonic lances enable
55 more post-combustion, which in turn improves the energy efficiency of the process.^[1] It has been
56 suggested that approximately 30–100% of the blown oxygen reacts with the steel bath depending on
57 the design and position of the lance.^[1]

58
59 In comparison to decarburization during side-blowing, modelling of reactions during top-blowing in
60 the AOD process has received relatively little attention. Watanabe and Tohge^[7] conducted a study on
61 mechanisms of decarburization under reduced pressure and proposed a simple reaction scheme for
62 top-blowing with O₂, an Ar-O₂ mixture and CO₂. Later, Tohge *et al.*^[8] proposed a model for combined
63 blowing. In their model, the carbon removal rate was calculated based on a volumetric mass transfer
64 coefficient that was extracted from experimental data.

65
66 Kikuchi *et al.*^[9,10] proposed a mathematical model for the decarburization of stainless steel in a

67 **combined-blowing** converter in the low carbon range. In their study, the top lance was not employed
68 for oxygen-blowing, but for stirring the bath with nitrogen. Three reaction zones were considered by
69 the model: 1) the free surface created by the nitrogen gas jet, 2) the slag-metal interface, and 3) the
70 bottom-blowing zone. The gas-metal interfacial area was determined based on the stirring energy
71 provided by the gas jet.

72
73 Zhu *et al.*^[11] proposed a model for combined top- and side-blowing in the AOD process and assumed
74 a three-step reaction cycle during top-blowing in the AOD process: first, part of the top-blown oxygen
75 reacts with escaping CO, then part of the oxygen reacts with emulsified droplets, and finally the
76 remainder of the oxygen penetrates the steel bath, dissolves into the melt and oxidizes the elements
77 in the metal phase. The volume of metal droplets was defined to be equal to the volume of the cavity,
78 and the total reaction area between the oxygen jet and the steel bath was adjusted by changing the
79 assumed average droplet size. However, neither the metal droplet size employed nor the contribution
80 of top-blowing were presented explicitly in the related validation study^[12]. Later, Wei *et al.*^[13]
81 modified the model with improved heat analysis.

82
83 The objective of this work is to derive and validate a new model for reactions during top-blowing in
84 the AOD process. Making use of the scientific basis established by the previous models, this model
85 aims to provide more insight into the dynamics of the AOD process during gas injection from the top
86 lance. The description of the model was presented in the first part of this paper^[14], while this second
87 part focuses on the validation of the model and presents preliminary results.

88

89 **2 Materials and methods**

90 In contemporary practice, both tuyères and a top lance are employed for delivering the required
91 oxygen into the melt in combined top- and side-blowing decarburization. In order to validate the
92 model developed in this work, however, it is necessary to have experimental data on the contribution
93 of top-blowing to the rate phenomena.

94 **2.1 Validation material**

95 Twelve validation heats were conducted with a 150 metric ton AOD vessel at Outokumpu Stainless
96 Oy, Tornio Works. The vessel is fitted with seven tuyères along the side wall and a supersonic top
97 lance (see **Table 1**). In their operating practice, the combined-blowing stage is divided into steps,
98 which differ in terms of material additions and the employed blowing mixture. In the studied heats,
99 the last combined-blowing stage was altered so that oxygen was introduced from the top lance only.

100 Four heats (Series A) were conducted using an oxygen-nitrogen mixture (1:1), while eight heats
101 (Series B) were conducted with pure oxygen; in both cases the total **specific** gas flow rate through the
102 top lance was **approximately** 1.3 Nm³/(t·min). In order to provide mixing that is comparable to regular
103 practice, nitrogen or argon gas (heats 54870 and 54872) was blown via the tuyères at a **specific flow**
104 **rate of approximately 0.8 Nm³/(t·min)**. When the vessel was tilted from sampling position to operating
105 **position or from operating position to sampling position, gas stirring was employed at a lower specific**
106 **flow rate of approximately 0.3 Nm³/(t·min)**, while top-blowing was halted. No metal-slag reactions
107 **were assumed to take place during tilting, although the melting of material additions as well as the**
108 **cooling effect of the gas-stirring were taken into account in the simulations. An initial temperature of**
109 **298.15 K (25 °C) was assumed for the injected gas.**

110

111 **Table 1**

112

113 Steel and slag samples were taken before and after the blowing sequence. The samples were analyzed
114 with an optical emissions spectrometer (OES) and an X-ray fluorescence spectrometer (XRF). The
115 temperature of the steel bath was measured using a temperature probe on the same occasions. The
116 mass of the steel bath before the studied process stage was calculated based on the Ni balance and
117 charge weight. The initial mass of the top slag was calculated based on the gas balance assuming that
118 oxygen was bound in the slag according to the composition measured before the studied process stage.
119 Because the oxygen content could not be determined from the steel samples, it was estimated based
120 on literature data. Schürmann and Rosenbach^[15] and Masuda *et al.*^[16] studied the decarburization of
121 stainless steel using experimental vessels, in which argon was blown through tuyères while oxygen
122 was supplied through a top lance. **Making use of their data, the oxygen content was determined as a**
123 **function of carbon content according to a power-law fitting curve (see Figure 2).**

124

125 **Figure 2** Refs. ^[15,16]

126

127 Based on light optical microscopy (LOM) it became apparent that some fine metal droplets were left
128 in the analyzed slag samples. As illustrated by Lindstrand *et al.*^[17], the fine metal droplets can distort
129 the XRF analysis of the slag composition considerably; this stems from the fact that the XRF method
130 yields the elemental distribution of species. Therefore, the slag composition was re-calculated by
131 excluding the Fe, Cr, Mn, Si and Ni brought by the fine metal droplets. Similarly to Lindstrand *et*
132 *al.*^[17], the mass fraction of the steel phase in the slag sample was calculated based on the Ni content
133 of the slag sample assuming that all the Ni originated from the fine metal droplets and that the
134 composition of the metal droplets corresponded to the composition of the steel bath. In addition to

135 metal droplets, some undissolved lime particles with diameters ranging from 20 mm to 50 mm were
136 also found. The lime particles were covered by a shell structure, which is known to have a retarding
137 effect on the dissolution rate^[18].

138
139 The material additions during the studied process stage are shown **Table 2**. An initial temperature of
140 **298.15 K** (25 °C) and an average particle size of 50 mm was assumed for all the material additions.
141 The feeding time of additions was taken into account by employing a constant feed rate separately
142 for each batch of additions. The employed feed rates were determined based on the feeding times
143 measured during the studied heats.

144

145 **Table 2**

146 **2.2 Description of material additions**

147 Similarly to our earlier work,^[19–21] the melting times of materials with a melting point lower than that
148 of the steel bath were determined based on the melting time of spherical particles. More specifically,
149 the melting times of additions were calculated according to

150

$$\tau_m = \frac{d_p^2 \rho_p l_m}{\lambda_e (T_{\text{bath}} - T_m)}, \quad (1)$$

151

152 where d_p is the diameter of the particle, ρ_p is the density of the particle, l_m is the latent heat of
153 melting, λ_e is the effective heat conductivity, T_{bath} is the temperature of the steel bath and T_m is the
154 melting temperature of the particle. In order to account for the effect of shell formation, the effective
155 heat conductivities were fitted to experimental data on melting times of nickel-bearing ferroalloys^[22]
156 and carbon steel scrap^[23]. The values of ρ_p , l_m and T_m and were obtained from the same references.

157 **In the absence of suitable data, the effective heat conductivity of copper was assumed to be equal to**
158 **the heat conductivity of pure copper.** A reasonably good agreement with experimental data was
159 achieved by assuming a linear dependency of the effective heat conductivity from the diameter of the
160 particle. In the absence of suitable measurement data, it was assumed that the effective heat
161 conductivity of stainless steel scrap is the same as that of carbon steel scrap. **The effect of the material**
162 **additions on the temperature of the steel bath was calculated as follows:**

163

$$\Delta T_{\text{bath}} = - \frac{m_a \left[\int_{T_a}^{T_{\text{bath}}} c_{p,a} dT + l_m + \Delta h_{\text{dis}} \right]}{m_a c_{p,a} + m_{\text{bath}} c_{p,L}}, \quad (2)$$

164

165 where m_a is the mass of the added material, m_{bath} is the mass of the steel bath, T_a is the temperature
166 of the added material, Δh_{dis} is the specific enthalpy of dissolution into liquid iron, $c_{p,a}$ is the specific
167 heat capacity of the alloying additions and $c_{p,L}$ is the specific heat capacity of the liquid steel phase.

168 2.3 Modelling parameters

169 All the simulations were conducted with a time step of five seconds. Considering the length of the
170 studied process stages, this provides a reasonable resolution for the predicted changes in bath
171 composition and temperature. In this work, it was assumed that the volume fraction of solids in the
172 slag is equal to their weight-fraction, *i.e.* $\phi_{(s)} = y_{(s)}$. The weight-fraction of solids was defined to be
173 a linear function of the weight-fraction of solid Cr_2O_3 in the slag:

174

$$174 \quad y_{(s)} = A \times y_{\text{Cr}_2\text{O}_3(s)}, \quad (3)$$

175

176 where A is a fitting parameter and $y_{\text{Cr}_2\text{O}_3(s)}$ is the weight-fraction of solid Cr_2O_3 in the slag. The
177 weight-fraction of solid Cr_2O_3 was calculated as follows:

178

$$178 \quad y_{\text{Cr}_2\text{O}_3(s)} = \max(y_{\text{Cr}_2\text{O}_3} - y_{\text{Cr}_2\text{O}_3(l)}, 0), \quad (4)$$

179 where $y_{\text{Cr}_2\text{O}_3}$ is the total weight-fraction of Cr_2O_3 in slag and $y_{\text{Cr}_2\text{O}_3(l)}$ is the maximum weight-
180 fraction of Cr_2O_3 soluble in liquid slag. The solid fractions of the slag samples taken in this work
181 were calculated with the FactSage 7.0 thermodynamic software^[24] at the temperature measured from
182 metal bath at corresponding occasions. With the help of the results of these calculations, the values
183 of $y_{\text{Cr}_2\text{O}_3(l)}$ and A were determined based on method of least squares. The best agreement was
184 obtained with $y_{\text{Cr}_2\text{O}_3(l)} = 0.078$ (*i.e.* 7.8 wt-%) and $A = 1.55$ (see **Figure 3**). It should be noted that
185 the employed value of Cr_2O_3 solubility is quite close to the value of $y_{\text{Cr}_2\text{O}_3(l)} = 0.05$ (*i.e.* 5 wt-%)
186 employed by Wei and Zhu^[25].

187

188 **Figure 3**

189

190 As explained in the preceding part of this work^[14], the metal droplet generation rate (\dot{m}_{md}) in the
191 splashing cavity mode is calculated according to the correlation proposed by Rout *et al.*^[26]

$$\frac{\dot{m}_{\text{md}}}{\dot{V}'_{\text{G,lance}}} = \frac{(N'_B)^{3.2}}{[2.6 \times 10^6 + 2.0 \times 10^{-4}(N'_B)^{12}]^{0.2}} \quad (5)$$

192
193
194
195
196
197

where N'_B is the modified blowing number and $\dot{V}'_{\text{G,lance}}$ is the modified volumetric gas flow rate through the lance (in Nm^3/s). In order to account for the effect of different cavity modes, the effective metal droplet generation rate was calculated similarly to Sarkar *et al.*^[27] by multiplying the metal droplet generation rate by the parameter J_{eff} as shown in Eq. 6.

$$\dot{m}_{\text{md,eff}} = J_{\text{eff}} \times \dot{m}_{\text{md}} \quad (6)$$

198
199
200
201
202

On average, the best modelling results *vis-à-vis* the measured values were obtained with $J_{\text{eff}} = 1.75$ and hence this value was used in all the other simulations. An illustration of the effect of the parameter J_{eff} on the predicted carbon content in heat 57872 is shown in **Figure 4**.

203
204

Figure 4

205
206
207
208
209
210
211
212
213
214
215
216
217

The validity of the chosen value of the parameter J_{eff} can also be examined by comparing the resulting fraction of metal droplets residing in the emulsion. Lindstrand *et al.*^[17] analyzed slag samples from a simple side-blowing operation and reported that the total amount of metal in AOD decarburization slags was approximately 20 wt-%, of which 2–7 wt-% originated from fine metal droplets. Koch *et al.*^[28] reported that the total amount of metal droplets in the slag samples taken from combined-blowing operation was approximately 10–35 wt-%. In this work, the slag samples were taken from a tilted vessel and it is likely that part of the metal droplets had already returned back to the metal bath. Large metal splashes were removed from the slag samples before chemical analysis. Based on composition of the slag samples, fine metal droplets were estimated to account for 2–9 wt-% of the slag samples before the studied process stage and 2–15 wt-% after the studied process stage. With the employed value of J_{eff} , the predicted fraction of metal droplets in the slag was higher than that measured in this work, but varied typically within the experimental range reported by Koch *et al.*^[28]. In view of these considerations the chosen value of J_{eff} appears to be of appropriate magnitude.

218
219
220
221
222
223

224 3 Results and discussion

225 3.1 Predicted final compositions and temperatures

226 **Table 3** presents a comparison of the predicted steel compositions and the samples taken before and
227 after the studied process stage. It can be seen that the measured steel bath compositions are in good
228 accordance with the measured compositions. The predicted bath temperatures are scattered
229 approximately evenly around the measured values.

230

231 **Table 3**

232

233 A comparison of the predicted top slag composition and slag samples taken before and after the
234 studied process stage is shown in **Table 4**. Considering the great heterogeneity of decarburization
235 slags and the challenges in sampling and analyzing such slags, the agreement is acceptable. However,
236 the deviation of the predicted composition variables from the measured values is much larger in the
237 case of the liquid metal phase. The predicted MnO and Cr₂O₃ contents are systematically higher than
238 those found in the samples, while the opposite is true for FeO. As the initial mass of the top slag was
239 determined based on the composition of the slag sample taken before the studied stage, it is reasonable
240 to expect that undissolved lime constitutes a considerable source of inaccuracy in some heats. Another
241 source of inaccuracy is the simplification that the model considers the slag as only one homogenous
242 phase, although the effect of the solid fraction on the viscosity of the slag is taken into account. Recent
243 calculations by Ternstedt *et al.*^[29] show that the solid fraction can vary in a wide range depending on
244 the studied case, and in some cases the top slag can be almost entirely solid. In addition, they found
245 that the amount and type of solid phases depends greatly on the start temperature and the amount of
246 EAF slag at the start.

247

248 **Table 4**

249

250 A statistical analysis of the results was conducted in order to compare the model to those proposed in
251 the literature. The employed statistical indicators consist of a correlation coefficient (R^2), root-mean-
252 square error (RMSE) and mean absolute error (MAE), which were calculated according to Eqs. 7, 8
253 and 9, respectively. It should be noted that the expression given in Eq. 7 defines R^2 as the square of
254 the Pearson product-moment correlation coefficient.

255

$$R^2 = \left[\frac{\sum_{i=1}^n (f_i - \bar{f})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^n (f_i - \bar{f})^2 (y_i - \bar{y})^2}} \right]^2, \quad (7)$$

$$\text{RMSE} = \sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - f_i)^2}, \quad (8)$$

$$\text{MAE} = \frac{1}{n} \sum_{i=1}^n |y_i - f_i|, \quad (9)$$

256

257 where f_i is the predicted variable, \bar{f} is the mean of the predicted variables, y_i is the measured variable
 258 and \bar{y} is the mean of the measured variables.

259

260 The results of the statistical analysis are shown in **Table 5**. The mean absolute errors in final Mn, Si,
 261 C and Ni contents are less than or equal to 0.05 wt-%, while the MAE in temperature is below 10 K.
 262 The MAE for chromium is somewhat larger, being approximately 0.1 wt-%. With respect to the final
 263 carbon content, a better agreement was achieved with experiments using an oxygen-nitrogen mixture
 264 as the top-blowing gas (Series A) than those with pure oxygen (Series B). With the exception of
 265 carbon, the R^2 values of predicted variables are high. It is likely that the low R^2 of the predicted carbon
 266 content is explained to some extent by inaccuracies in the measurement data. For example, in the
 267 beginning of heats 54874, 54876, 54878 and 54934 a small amount of pressurized air was injected
 268 through the tuyères due to some latency in process control. Theoretically, the pressurized air could
 269 account for a maximum of 0.01 to 0.02 wt-% decrease in carbon content (CRE = 100%). Subtracting
 270 the removed carbon from the predicted final carbon contents would increase R^2 from 0.23 to 0.35.

271

272 **Table 5** Refs. [30] [31] [32] [33] [34] [13]

273

274 The statistical indicators for other studies focusing on combined-blowing or simple side-blowing in
 275 comparable carbon ranges were employed as a benchmark. The MAE and RMSE in final carbon
 276 content were found to be lower than that presented by Kleimt *et al.*^[33] and roughly on par with the
 277 models proposed by Deb Roy *et al.*^[30], Semin *et al.*^[31] and Sjöberg^[32], but much higher than those
 278 reported by Wei and Zhu^[34] and Wei *et al.*^[13]. In the case of final chromium content, the MAE was
 279 lower than those reported by Deb Roy *et al.*^[30] and Semin *et al.*^[31] and on par with Wei *et al.*^[13]. The
 280 MAE in final bath temperature was found to be much lower than those reported by Deb Roy *et al.*^[30]
 281 and Semin *et al.*^[31], and slightly lower than that reported by Wei and Zhu^[34], but higher than that

282 reported by Wei *et al.*^[13]. It should be stressed that due to different data, the R^2 is not an appropriate
283 metric for quantitative comparison of the models.

284 3.2 Dynamic changes in bath composition and temperature

285 One of the main advantages of the model developed in this work is the ability to follow dynamic
286 changes in bath composition and temperature. **Figures 5, 6 and 7** illustrate the simulated dynamic
287 change in carbon, chromium, manganese and nickel contents in heats 37876, 38032 and 34878,
288 respectively. At first, the predicted carbon content decreases rapidly as carbon reduces oxides from
289 the slag, which is reflected in the rapidly increasing chromium content. Thereafter, oxidation of
290 carbon and chromium proceed at virtually constant rates. In both heats, the predicted final manganese
291 content is slightly above the measured value. Nevertheless, the absolute error in final Mn content is
292 acceptable.

293
294 **Figure 5**

295 **Figure 6**

296 **Figure 7**

297
298 **Figure 8** illustrates the simulated dynamic change in bath temperature in heat 38032. In this heat,
299 feeding of copper, carbon steel scrap and FeNi20 started at 1.9 minutes and ended at approximately
300 7.3 minutes. The simulated bath temperature decreases until all of the additions and scrap have
301 dissolved in the steel. After this, the predicted bath temperature rises at rapidly due to exothermic
302 oxidation of carbon and other elements in the steel.

303
304 **Figure 8**

305
306 In general, the calculated temperature of the cavity interface was roughly the same as that of the metal
307 bath, while the calculated temperature of the metal droplet interface was significantly elevated. As
308 expected, the calculated excess temperature of the metal droplet interface was lower in the case of
309 heats with an oxygen-nitrogen mixture as the top-blowing gas (Series A) than those with pure oxygen
310 (Series B). In the case of Series B, the calculated temperatures of the metal droplet interface varied
311 the range of 2000 K (1727 °C) to 2200 K to (1927 °C). These results compare well with the hot spot
312 temperature suggested by Delhaes *et al.*^[35]. They estimated based on vaporization of Mn that the
313 average temperature of the hot spot should be approximately 2173 K (1900°C) during pure-oxygen
314 combined-blowing in the initial period of the AOD process; the proposed value denotes an excess
315 temperature of 300–400 K.^[35]

316 3.3 Carbon removal efficiency

317 The efficiency of oxygen use is commonly assessed with the concept of carbon removal efficiency
318 (CRE), which is the ratio of oxygen used for decarburization to the total amount of oxygen blown.^[36]
319 It is important to note that the definition of CRE does not account for the top slag as a possible source
320 of oxygen. For this reason, some authors^[36] have questioned its purposefulness in evaluating the
321 efficiency of decarburization.

322
323 The predicted carbon removal efficiencies and the values calculated based on the steel samples are in
324 good agreement, as seen in **Table 6**. In the case of O₂-N₂ mixtures (Series A), the dilution ratio of
325 oxygen is higher in the studied process stage than in the preceding process stage, the top slag must
326 settle into a new dynamic equilibrium with the steel phase. It follows that the predicted transient CRE
327 value starts from above or close to 100%, because carbon reduces slag species with lower oxygen
328 affinity (see **Figure 9**). This finding is in agreement with the calculations by Swinbourne *et al.*^[37] and
329 Wijk^[38] for side-blowing operation in comparable carbon ranges. However, the calculated CRE
330 decreases rapidly as the carbon content decreases. In the case of pure oxygen-blowing (Series B),
331 virtually no reduction of slag species takes place. In heat 54870, for example, the predicted transient
332 CRE value remains well below 100% throughout the studied stage (see **Figure 10**).

333
334 **Table 6**

335 **Figure 9**

336 **Figure 10**

337

338 Although only a few experimental estimates of the contribution of the top lance to the decarburization
339 rate are available as such, the available measurement data permits the calculation of decarburization
340 rates attributable to top-blowing. A compilation of this analysis is presented in **Table 7**. It can be seen
341 that the CRE varies in a wide range depending on parameters such as lance type, lance height carbon
342 content, or intensity of side-blowing.

343

344 **Table 7** Refs. [7] [39] [40] [41] [16] [15] [42] [8] [43]

345

346 In this work, the average CRE calculated based on steel samples was approximately 51% in Series A
347 and 44% in Series B. In terms of the employed specific top-blowing rates and the studied carbon
348 range, the studies published by Koch *et al.*^[39], Kuwano *et al.*^[41], Masuda *et al.*^[16] and Schürmann and
349 Rosenbach^[15] constitute a reasonable experimental benchmark. Koch *et al.*^[39] studied top-blowing
350 decarburization of Fe-C-Cr and Fe-C-Cr-Si melts under atmospheric and reduced pressure (100,

351 40, 6.7 and 0.4 kPa). Under atmospheric pressure, the average CRE was much higher in the case of
352 Fe–C–10 Cr heats (78%) than in the case of Fe–C–18 Cr–Si heats (17%). The higher CRE of the Fe–
353 C–10 Cr heats may be explained to a large extent by their higher initial and final carbon contents.
354 Reduced pressure increased the decarburization rate markedly only in the case of the Fe–C–Cr–Si
355 heats, which exhibited substantial splashing under reduced pressure. The data from Kuwano *et al.*^[41]
356 for low-silicon heats in the range of 0.02 wt-% to 0.6 wt-% carbon suggests an average CRE of 42%.
357 Although Koch *et al.*^[39] and Kuwano *et al.*^[41] did not have any stirring at all, it is likely that efficient
358 mixing was obtained due to low lance position and small crucible size. The average CRE values from
359 Masuda *et al.*^[16] and Schürmann and Rosenbach^[15] were in the order of 20%. In comparison to this
360 work, Masuda *et al.*^[16] and Schürmann and Rosenbach^[15] employed much lower specific stirring rates
361 and pure oxygen as the top-blowing gas. According to the experimental results available in the
362 literature,^[40] the decarburization rate should increase and the chromium losses should decrease if the
363 stirring flow rate is increased while employing the same top-blowing rate of oxygen. Moreover, in
364 view of the physical and numerical modelling studies available in the literature, the use of a top lance
365 should deteriorate the mixing characteristics in comparison to simple side-blowing.^[44–47]

366
367 In the case of two lances with the same flowrates, there should be less splashing with a three-hole
368 lance than with a one-hole lance.^[48] The model presented in this work considers this effect indirectly
369 through the nozzle throat diameter and the gas jet angle. However, the effect of the inclination angle
370 is not clear-cut. Li *et al.*^[43] studied the effect of inclination angle of the nozzle by comparing two 3-
371 hole lances and found that a higher CRE was obtained with an angle of 11° than with an angle of 15°
372 (see **Table 7**). Virtanen *et al.*^[49] compared two three-hole lances with inclination angles of 11° and
373 13° for decarburization of ferrochrome melts in a 90 metric ton AOD converter. In comparison to the
374 study by Li *et al.*^[43], a much lower lance position was employed and it was found that a higher CRE
375 was obtained using the higher 13° inclination angle. Therefore, in view of these studies it appears that
376 the optimal inclination angle is not independent of the lance height.

377 3.4 Sensitivity analysis

378 After main validation with the measurement data, the model was employed for a sensitivity analysis
379 in heat 38034. The analysis was conducted *ceteris paribus* by changing either the lance height or the
380 oxygen content of the top-blowing mixture. **Figure 11** illustrates the predicted effect of the oxygen
381 content in the top-blowing gas mixture with O₂:N₂ ratios of 1:3, 1:1 and 3:1, which correspond to
382 volumetric oxygen contents of 25%, 50% and 75%. As expected, the O₂:N₂ ratio of 3:1 enables the
383 lowest predicted final carbon content in the studied stage. The predicted average CRE values
384 corresponding to the O₂:N₂ ratios of 1:3, 1:1 and 3:1 were 87%, 50% and 36%, respectively.

385 Therefore, it is apparent that an increased decarburization rate comes at the price of lower carbon
386 removal efficiency.

387

388 **Figure 11**

389

390 The effect of lance height on the predicted carbon content is illustrated in **Figure 12**. The results
391 suggest that decreasing the lance height by 10% decreases the predicted final carbon content by
392 approximately 0.03 wt-%, while increasing the lance height by 10% increases the predicted final
393 carbon content by 0.05 wt-% *vis-à-vis* the normal lance position. The predicted CRE values
394 corresponding to the lower, normal and higher lance position were approximately 56%, 50% and
395 40%, respectively. The effect of the lance position employed may be explained to a large extent by
396 changes in droplet generation rate and thus in the interfacial area available for mass exchange.
397 However, the model does not account for post-combustion of CO to CO₂ in the converter atmosphere.
398 It is likely that increased post-combustion would decrease the CRE even further in the case of the
399 higher lance position.

400

401 **Figure 12**

402 **3.5 Macrokinetics**

403 The macrokinetics of reactions during top-blowing are characterized by the interaction of the gas jet
404 and the steel bath. However, the impact area of the top-blowing gas jet alone is too small to be
405 responsible for the high decarburization rates observed during top-blowing in the AOD process. For
406 this reason, it is reasonable to expect that the small metal droplets generated by the shear stress of the
407 gas jet play an important role. These postulations are in agreement with the findings of Scheller and
408 Wahlers^[50], who reported that with equal nitrogen blowing rates from the tuyères and the top lance,
409 the reaction area of the metal droplets and the surface area of the bath should together account for 8–
410 15% of the reaction area of the gas bubbles in the metal bath, depending on the method of calculation.
411 Under the conditions of the present study, this should correspond to a mass exchange area in the order
412 of hundreds of square meters. However, because small metal droplets have a high microkinetic
413 efficiency even at short contact times, the surface area of the metal droplets is underestimated if the
414 conservation of mass in the metal droplets is not accounted for. The findings of this work suggest that
415 the surface area of the metal droplets should be in the order of thousands of square meters.

416

417 At their place of origin, the majority of the cumulative weight is constituted by relatively large
418 droplets.^[51–53] Thereafter, various mechanisms alter the droplet size distribution. Large droplets may

419 disintegrate upon contact with the gas jet^[54] or impact on the slag,^[55] while the smallest droplets can
420 be carried out of the vessel with the flue gas. In this work, it was assumed that all the generated
421 droplets continue their trajectory into the slag undisturbed without any break-up or loss to flue gas.
422 **Figure 13** shows that the predicted Sauter mean diameter of the metal droplets in heat 38034. The
423 reason for the small Sauter mean diameter is that the small metal droplets tend to have a very low
424 terminal velocity, which results in long residence times. Therefore, the droplet size distribution in the
425 emulsion shifts towards smaller droplets than at their place of origin. These conclusions are in keeping
426 with results of laboratory-scale experiments.^[51,56] One factor affecting the calculations is the slag
427 viscosity, which increases as the chromium oxide content of the slag increases. Studies concerning
428 decarburization slags suggest that fine metal droplets are effectively trapped in the slag until the
429 reduction stage.^[18,28]

430

431 **Figure 13**

432

433 According to the blowing number theory, the metal droplet generation rate is a function of the blowing
434 number.^[57] On the basis of the blowing number, it can be deduced that the droplet generation rate is
435 increased by the higher dynamic pressure of the gas jet and decreased by an increase in the density
436 and surface tension of the steel.^[57] As the blowing practice was virtually identical in the studied heats,
437 both the calculated blowing number and the calculated droplet generation rate were virtually constant.
438 The calculated blowing number was $N_B \approx 5.8$, which exceeds the criteria for the onset of splashing
439 ($N_B > 1$) and for the swarming region of droplet formation ($N_B > 3$) proposed by Subagyo^[58]. Some
440 authors^[59,60] have reported that the maximum metal droplet generation rate is obtained at a certain
441 lance height. However, such behavior could not be identified using the blowing number theory
442 employed in this work. The reason is that the blowing number theory does not properly account for
443 the decreasing metal droplet generation rate when the cavity mode changes from the splashing to the
444 penetrating region.^[61] In practice, there are also other factors that affect the splashing phenomena.
445 For instance, it has been reported that the interaction of top-blowing and bottom-blowing increases
446 splashing.^[60] In addition, chamfering of the nozzles may have an effect on the splashing
447 phenomenon, as it causes coalescence of gas jets and reduces their maximum velocities.^[62]

448 3.6 Microkinetics and rate limiting mechanisms

449 The mass transfer coefficient of the gas jet decreases with increasing dimensionless lance height. In
450 the studied heats, the calculated Sherwood number of the gas species at the cavity interface varied
451 between 2,800 and 3,200. Kärnä *et al.*^[63] employed a computational fluid dynamics model to study
452 the same top lance at operating conditions corresponding to those of this work. Calculating an average

453 Sherwood number over the whole melt surface, Kärnä *et al.*^[63] proposed a value of $Sh = 3,120$, which
454 is in good agreement with the range of values obtained in this work using the Lohe^[64] correlation.
455 Nevertheless, because the model does not account for cavity oscillation, it is reasonable to expect that
456 the model proposed in this work might still underestimate the contribution of the cavity interface to
457 the overall decarburization rate. The calculated Sherwood numbers for liquid species at the cavity
458 interface were $Sh \approx 200,000$. The value for liquid species can be compared to the results of Wei and
459 Zuo^[44], who studied mass transfer in a 1:4 scale physical model of a 120 t AOD vessel. Under
460 combined-blowing conditions, their mass transfer correlations for liquid species yield $Sh \approx 3,000$
461 using the same values of Re and Sc as in this work. The aforementioned value, which corresponds to
462 conditions inside the bath, is two decades smaller than the values obtained in this work for turbulent
463 mass transfer at the cavity interface.

464
465 At the metal droplet interface, the calculated mass transfer coefficients of C , O_2 and Cr_2O_3 were in
466 the order of $0.7-5 \times 10^{-4}$ m/s, $5-11$ m/s and $0.4-4 \times 10^{-4}$ m/s, respectively. The mass transfer of the gas
467 phase in contact with the metal droplets was defined according the Steinberger and Treybal^[65]
468 correlation, which accounts for both natural and forced convection. Experimental findings from
469 decarburization of levitated Fe-C^[66,67] and Fe-Cr-C^[68] droplets suggest that this correlation can
470 reproduce the experimental data with high accuracy except for cases where the temperature gradient
471 between the gas phase and the reaction interface is very large. In this work, the predicted temperature
472 of the gas film was very close to the temperature of the reaction interface and hence the error caused
473 by the temperature gradient should be small. The mass transfer rate in the metal droplets was
474 according to the Kronig and Brink^[69] solution, while the mass transfer correlation for the slag phase
475 surrounding the metal droplets was taken from Calderbank^[70]. Both of the employed correlations
476 assume a flow pattern according to the Hadamard stream function and were found to be in good
477 accordance with experimental data^[71].

478
479 Based on the aforementioned considerations, the chosen mass transfer correlations appear appropriate
480 for analyzing the rate limiting factors. Here, the concept of *control factor* is employed for observing
481 the controlling mass transfer mechanisms in the process. The control factor has a value of 1 when the
482 concentration gradient approaches its maximum value and 0 when the concentration gradient
483 approaches zero. In other words, all non-zero values for the control factor represent some degree of
484 mass transfer resistance. In mathematical terms the control factor of species i is defined as follows:

485

$$C_i = \min \left(\left| 1 - \frac{y_i^*}{y_i} \right|, \left| 1 - \frac{y_i}{y_i^*} \right| \right), \quad (10)$$

486

487 where y_i^* is the mass fraction of species i at the reaction interface and y_i is the mass fraction of species
488 i in the corresponding bulk volume. The bulk volume for metal species at the cavity interface is the
489 metal bath, while at the metal droplet interface the bulk volume is constituted by the metal droplets.
490 At both reaction interfaces gas jet and top slag constitute the bulk volumes for gas and slag species,
491 respectively.

492

493 As expected, only a small residual amount of oxygen is not consumed in the reactions. Under the
494 conditions employed in this work, the calculated partial pressures of oxygen at both reaction
495 interfaces are between 10^{-7} to 10^{-10} atm, which means that there is an almost total absence of oxygen.
496 Therefore, the control factors of gaseous oxygen are very close to unity at both interfaces. This does
497 not, however, imply that mass transfer of oxygen would be the rate-limiting step, because virtually
498 all the supplied oxygen is consumed. At the reaction interfaces, all the species are in equilibrium with
499 each other according to the set of reversible reactions defined in the model. With respect to
500 decarburization, it follows that not only carbon, but also other species are in equilibrium with CO and
501 CO₂. In the following, the competing oxidation reactions of carbon and chromium as well as the
502 overall reaction defined by Eq. 11^[38] are studied.

503



504

505 As can be seen in **Figure 14**, the control factor of C at the cavity interface is much higher than that
506 of CO, and hence the mass transfer of C is the controlling step for direct decarburization. Considering
507 the direct oxidation of chromium at the cavity interface, the mass transport of Cr₂O₃ forms a greater
508 resistance than that of Cr. The overall rate defined by Eq. 11 is limited by mass transfer of carbon.

509

510 **Figure 14**

511

512 Similar to the cavity interface, the control factor of C at the metal droplet interface is much larger
513 than that of CO (see **Figure 15**). The control factor of Cr is larger than that of Cr₂O₃. It needs to be
514 kept in mind that the reaction rates are defined by both macrokinetic and microkinetic factors. A
515 closer examination of the predicted composition of the metal droplets reveals that the concentration
516 gradients between the metal droplet interior and the interface are small, indicating that the oxidation
517 reactions of C and Cr are not limited to significant extent by the microkinetic mass transport rate.
518 Consequently, the oxidation rates are defined primarily by the macrokinetic factors, most importantly

519 the generation rate of the metal droplets. The same conclusion applies also for the overall rate
520 described by Eq. 11.

521

522 **Figure 15**

523

524 In conclusion, it can be stated that the predicted overall rate of decarburization attributable to top-
525 blowing in the studied stage can be described by first-order kinetics and is limited by the supply of
526 carbon to the reaction sites. At the cavity area, the constraint is of microkinetic nature, while the
527 decarburization of metal droplets is limited mainly by macrokinetic factors. With these considerations
528 in mind, the critical carbon content of top-blowing should be greater than the carbon contents
529 measured before the studied process stage. Shi *et al.*^[12] reported that the critical carbon content for
530 top-blowing was 1.2 wt-%. Wei *et al.*^[13] suggested a slightly lower range of values: 0.895 to 0.942
531 wt-%. Therefore, the predicted rate limiting step is in agreement with the aforementioned studies.

532 3.7 Experimental observations

533 It was found that the slag samples taken before and after the studied decarburization stage contained
534 a considerable number of metal droplets. In order to study the slag samples in more detail, micro-
535 sections were prepared and analyzed using light optical microscopy. The analyzed cross-sectional
536 surfaces were found to be qualitatively similar to those reported earlier in the literature^[18]. Most of
537 the apparent surface area of the metal droplets was comprised of droplets in the range of 0.1 mm to 5
538 mm. An example of a spherical metal droplet in slag is shown in **Figure 16**. Droplets with an
539 equivalent diameter up to 1 mm were found to be mostly oval or spherical in shape, while larger
540 droplets were usually of irregular shape. It should be noted that because the slag samples were
541 collected by tilting the vessel, the studied metal droplets represent the size distribution in the slag,
542 which differs from the size distribution of at the immediate vicinity of the gas jet impact area.^[51,56]

543

544 **Figure 16**

545

546 The chemical composition of a few metal droplets entrapped in slag (mm range) was determined with
547 combustion analysis. Their carbon content was found to be approximately the same as in the bulk
548 metal; the accuracy of the chemical analysis, however, did not permit further conclusions. Rubens
549 and co-authors^[28,72] analyzed metal droplets from combined-blowing in the AOD process. The carbon
550 content of the metal droplets was found to be substantially lower than the carbon content of the metal
551 bath, although the observed difference in carbon content decreased towards the end of the
552 decarburization stage.^[28,72] During combined-blowing, the chromium content of metal droplets in the

553 mm-range was slightly higher than that of the metal bath, while the chromium content of fine metal
554 droplets (μm -range) was considerably below the chromium content of the metal bath.^[28,72] This
555 indicates that the decarburization of fine metal droplets takes place primarily in contact with gaseous
556 oxygen, while larger metal droplets are decarburized mainly in contact with the top slag. Considering
557 the low carbon content in the studied process stage, it is reasonable to expect that the decarburization
558 of the metal droplets takes place primarily in direct contact with top-blown oxygen and that the
559 reduction of the slag species by carbon is only of secondary importance. Nucleation of CO within the
560 metal droplets and the resulting decrease in the apparent density of the metal droplets appears to have
561 a significant effect on the residence time of metal droplets in the slag during BOF processing^[73,74]. In
562 the studied heats, in which the carbon content of the steel bath was below 0.5 wt-%, no such behavior
563 was observed in the fine metal droplets found in the slag samples. However, it might be necessary to
564 take the bloating effect into account with higher carbon content.

565

566 4 Conclusions

567 The objective of this work was to validate a new model for reactions during top-blowing in the AOD
568 process. The model developed in this work focuses on the local physico-chemical phenomena that
569 control the rate phenomena during top-blowing. The approach employed was based on the law of
570 mass action and complies automatically with the controlling mass and heat transfer mechanisms,
571 which may vary during the process. The employed blowing practice, converter geometry and
572 additions are adjustable in the user interface of the model. The model can be employed to improve
573 the existing blowing practice so that the use of a top lance is more techno-economically viable.

574

575 A set of twelve industrial heats were conducted in order to validate the model. A comparison with
576 steel samples showed that the model is capable of predicting the composition of the steel phase with
577 a reasonably high degree of accuracy. The results of statistical analysis suggest that with respect to
578 final carbon content, chromium content and steel bath temperature, the accuracy of the predictions is
579 on par with other models available in the literature. The calculations indicate that the top slag may
580 supply oxygen for decarburization during top-blowing.

581

582 In view of the modelling results it appears that the surface area of the cavity alone is too small to
583 account for the high decarburization rates associated with top-blowing. Excluding the effect of top
584 slag, surface instability criteria as well as criteria for swarming mechanism of metal droplet
585 generation were fulfilled in all the studied heats. The splashing of metal droplets was confirmed by
586 slag samples, which were found to contain a large amount of small metal droplets. Consequently, it

587 is suggested that both the direct and indirect oxidation of metal droplets play an important role in
588 obtaining the high decarburization rates obtained in the AOD process.

589

590 A sensitivity analysis was conducted in order to study the effect of top lance height and the oxygen
591 content of the blowing mixture on the predicted final carbon content. It was found that the oxygen
592 content of the top-blown gas has a **greater effect** on the predicted decarburization rate than the lance
593 position. Finally, the model was employed for studying rate limiting factors during top-blowing. The
594 predicted overall rate of decarburization was found to be limited by the mass transfer of carbon in the
595 studied process stage. This finding is in agreement with previous studies available in the literature. In
596 future work, the developed model for top-blowing could be combined with a previously developed
597 model for side-blowing in order to predict changes in bath composition and temperature during
598 combined side- and top-blowing.

599 **Acknowledgements**

600 **This research has been conducted within the framework of the DIMECC SIMP research program.**
601 Outokumpu Stainless Oy, the Finnish Funding Agency for Technology and Innovation (TEKES), the
602 Graduate School in Chemical Engineering (GSCE), the Academy of Finland (projects 258319 and
603 26495), the Finnish Foundation for Technology Promotion, the Finnish Science Foundation for
604 Economics and Technology, and the Tauno Tönning Foundation are gratefully acknowledged for
605 funding this work. The first author thanks Professor Herbert Pfeifer for the possibility to conduct part
606 of the research at RWTH Aachen University. Tommi Kokkonen is acknowledged for preparation of
607 the slag microsections. In addition, Professor Rauf Hürman Eriç, Kevin Christmann and Tim Haas
608 are acknowledged for their valuable comments on this manuscript.

609 **Nomenclature**

| | | |
|-----|------------------|--|
| 610 | $c_{p,L}$ | Specific heat capacity of liquid metal [J/(kg·K)] |
| 611 | d_t | Nozzle throat diameter [m] |
| 612 | d_p | Diameter of the particle [m] |
| 613 | $C_{i,\omega}$ | Control factor of species i at the reaction interface ω |
| 614 | J_{eff} | Multiplication factor of the metal droplet generation rate |
| 615 | h_{lance} | Distance of the top lance from the surface of the steel bath [m] |
| 616 | Δh_{dis} | Specific enthalpy of dissolution into liquid iron [J/kg] |
| 617 | l_m | Latent heat of melting [J/kg] |
| 618 | m_a | Mass of the added material [kg] |

| | | |
|-----|--------------------------------|--|
| 619 | m_{bath} | Mass of the metal bath [kg] |
| 620 | \dot{m}_{md} | Metal droplet generation rate [kg/s] |
| 621 | $\dot{m}_{\text{md,eff}}$ | Effective metal droplet generation rate [kg/s] |
| 622 | MAE | Mean absolute error |
| 623 | n_{lance} | Number of exit ports in a nozzle |
| 624 | N'_B | Modified blowing number |
| 625 | p_0 | Stagnation pressure at upstream part of the top lance [Pa] |
| 626 | Re | Reynolds number |
| 627 | RMSE | Root-mean-square error |
| 628 | R^2 | Correlation coefficient (square of the Pearson product-moment correlation coefficient) |
| 629 | Sc | Schmidt number |
| 630 | Sh | Sherwood number |
| 631 | T_a | Temperature of the added material [K] |
| 632 | T_{bath} | Temperature of the steel bath [K] |
| 633 | $T_{\text{bath}}^{\text{new}}$ | Updated temperature of the steel bath [K] |
| 634 | T_m | Melting temperature of the particle [K] |
| 635 | $\dot{V}'_{G,\text{lance}}$ | Volumetric gas flow rate through top lance [Nm^3/s] |
| 636 | y_i | Mass fraction of species i in the bulk phase |
| 637 | y_i^* | Mass fraction of species i at the reaction interface |
| 638 | θ | Inclination angle of each nozzle relative to lance axis [$^\circ$] |
| 639 | ρ_p | Density of the particle [kg/m^3] |
| 640 | λ_e | Effective heat conductivity [$\text{W}/(\text{m}\cdot\text{K})$] |
| 641 | τ_m | Melting time of additions [s] |

642 **References**

- 643 [1] B. V. Patil, A. H. Chan and R. J. Choulet: *Refining of Stainless Steels*, pp. 715-741, In: R. J.
644 Fruehan: *The Making, Shaping and Treating of Steel*. 11th Edition Steel Making and Refining,
645 The AISE Steel Foundation, Pittsburgh, PA, USA, 1998.
- 646 [2] W. A. Krivsky: *Metall. Trans.*, 1973, vol. 4, pp. 1439-1447.
- 647 [3] R. J. Choulet, F. S. Death and R. N. Dokken: *Can. Metall. Q.*, 1971, vol. 10, pp. 129-136.
- 648 [4] S. K. Mehlman: *Pneumatic Steelmaking Volume Two: The AOD Process*, p. 55, Iron and Steel
649 Society, Warrendale, PA, USA, 1991.
- 650 [5] C. Wuppermann, A. Rückert, H. Pfeifer and H.-J. Odenthal: *ISIJ Int.*, 2013, vol. 53, pp. 441-449.

- 651 [6] G. Staudinger and S. Dimitrov: AISTech2007, Indianapolis, IN, USA, 2007, pp. 14.
- 652 [7] T. Watanabe and T. Tohge: *Tetsu-to-Hagané*, 1973, vol. 59, pp. 1224-1236.
- 653 [8] T. Tohge, Y. Fujita and T. Watanabe: Proceedings of the 4th Process Technology Conference,
654 Chicago, IL, USA, 1984, pp. 129-36.
- 655 [9] N. Kikuchi, K. Yamaguchi, Y. Kishimoto, S. Takeuchi and H. Nishikawa: *Tetsu-to-Hagané*, 2002,
656 vol. 88, pp. 32-39.
- 657 [10] Y. Uchida, N. Kikuchi, K. Yamaguchi, Y. Kishimoto, S. Takeuchi and H. Nishikawa:
658 Proceedings of the 2nd International Conference on Process Development in Iron and
659 Steelmaking, Luleå, Sweden, 2004, pp. 69-78.
- 660 [11] H.-L. Zhu, J.-H. Wei, G.-M. Shi, J.-H. Shu, Q.-Y. Jiang and H.-B. Chi: *Steel Res. Int.*, 2007, vol.
661 78, pp. 305-310.
- 662 [12] C.-B. Shi, X.-M. Yang, J.-S. Jiao, C. Li and H.-J. Guo: *ISIJ Int.*, 2010, vol. 50, pp. 1362-1372.
- 663 [13] J.-H. Wei, Y. Cao, H.-L. Zhu and H.-B. Chi: *ISIJ Int.*, 2011, vol. 51, pp. 365-374.
- 664 [14] V.-V. Visuri, M. Järvinen, A. Kärnä, E.-P. Heikkinen, P. Kupari and T. Fabritius: *A*
665 *Mathematical Model for Reactions during Top-Blowing in the AOD Process: Derivation of the*
666 *Model*, Process Metallurgy Research Unit, University of Oulu, unpublished research, 2016.
- 667 [15] E. Schürmann and K. Rosenbach: *Arch. Eisenhüttenwes.*, 1973, vol. 44, pp. 761-768.
- 668 [16] S. Masuda, M. Taga, H. Nakajima and K. Ieda: *Tetsu-to-Hagané*, 1986, vol. 72, pp. 1301-1308.
- 669 [17] G. Lindstrand, P. G. Jönsson and A. Tilliander: Proceedings of the ISIJ-VDEh-Jernkontoret Joint
670 Symposium, Osaka, Japan, 2013, pp. 106-13.
- 671 [18] W. Münchberg, K. Koch, H. Zörcher and W. Rubens: *Stahl Eisen*, 1992, vol. 112, pp. 49-59.
- 672 [19] V.-V. Visuri, M. Järvinen, P. Sulasalmi, E.-P. Heikkinen, J. Savolainen and T. Fabritius: *ISIJ*
673 *Int.*, 2013, vol. 53, pp. 603-612.
- 674 [20] V.-V. Visuri, M. Järvinen, J. Savolainen, P. Sulasalmi, E.-P. Heikkinen and T. Fabritius: *ISIJ*
675 *Int.*, 2013, vol. 53, pp. 613-621.
- 676 [21] M. Järvinen, A. Kärnä, V.-V. Visuri, P. Sulasalmi, E.-P. Heikkinen, K. Pääskylä, C. De Blasio,
677 S. Ollila and T. Fabritius: *ISIJ Int.*, 2014, vol. 54, pp. 2263-2272.
- 678 [22] O. V. Zayakin, V. I. Zhuchkov and E. Y. Lozovaya: *Steel Transl.*, 2007, vol. 37, pp. 416-418.
- 679 [23] J. Li, G. Brooks and N. Provatas: *Metall. Mater. Trans. B*, 2005, vol. 36, pp. 293-302.
- 680 [24] GTT-Technologies GmbH: FactSage 7.0, 2015.
- 681 [25] J.-H. Wei and D.-P. Zhu: *Metall. Mater. Trans. B*, 2002, vol. 33, pp. 111-119.

- 682 [26] B. K. Rout, G. Brooks, Subagyo, M. A. Rhamdhani and Z. Li: *Metall. Mater. Trans. B*, 2016,
683 vol. 47, pp. 3350-3361.
- 684 [27] S. Sarkar, P. Gupta, S. Basu and N. B. Ballal: *Metall. Mater. Trans. B*, 2015, vol. 46, pp. 961-
685 976.
- 686 [28] K. Koch, W. Münchberg, H. Zörcher and W. Rubens: *Stahl Eisen*, 1992, vol. 112, pp. 91-99.
- 687 [29] P. Ternstedt, R. Gyllenram, J. Bengtsson and P. G. Jönsson: Proceedings of the 4th International
688 Conference on Modelling and Simulation of Metallurgical Processes in Steelmaking, Düsseldorf,
689 Germany, 2011, pp. 1-5.
- 690 [30] T. Deb Roy, D. G. C. Robertson and J. C. C. Leach: *Ironmaking Steelmaking*, 1978, vol. 5, pp.
691 207-210.
- 692 [31] A. E. Semin, A. P. Pavlenko, T. Andzhum and E. A. Shuklina: *Steel USSR*, 1983, vol. 13, pp.
693 95-97.
- 694 [32] P. Sjöberg: *Some aspects on the scrap based production of stainless steels*, , Doctoral thesis,
695 Royal Institute of Technology, Stockholm, Sweden, 1994.
- 696 [33] B. Kleimt, R. Lichterbeck and C. Burkat: Proceedings of the 5th European Oxygen Steelmaking
697 Conference, Aachen, Germany, 2006, pp. 511-8.
- 698 [34] J.-H. Wei and D.-P. Zhu: *Metall. Mater. Trans. B*, 2002, vol. 33, pp. 121-127.
- 699 [35] C. Delhaes, A. Hauck and D. Neuschütz: *Steel Res.*, 1993, vol. 64, pp. 22-27.
- 700 [36] M. Brunner: *Scan. J. Metall.*, 1998, vol. 27, pp. 37-43.
- 701 [37] D. R. Swinbourne, T. S. Kho, B. Blanpain, S. Arnout and D. E. Langberg: *Miner. Process. Extr.*
702 *Metall.*, 2012, vol. 121, pp. 23-31.
- 703 [38] O. Wijk: *Stainless Steelmaking in Converters*, pp. 280-301, In: T. A. Engh: Principles of Metal
704 Refining, Oxford University Press, Oxford, United Kingdom, 1992.
- 705 [39] K. Koch, F.-J. Hahn, H. Maas and P. Schmöle: *Arch. Eisenhüttenwes.*, 1983, vol. 54, pp. 99-102.
- 706 [40] S.-Y. Kitamura, K. Okohira and A. Tanaka: *Trans. Iron Steel Inst. Jpn*, 1986, vol. 26, pp. 33-39.
- 707 [41] T. Kuwano, S. Maruhashi and Y. Aoyama: *Tetsu-to-Hagané*, 1973, vol. 59, pp. 863-873.
- 708 [42] K. Yamada, H. Azuma, T. Hiyama and N. Nishimae: *Tetsu-to-Hagané*, 1983, vol. 69, pp. 775-
709 781.
- 710 [43] D. Li, H. Chi and S. Shao: *Mater. Sci. Forum*, 2007, vol. 561-565, pp. 1039-1042.
- 711 [44] J.-H. Wei and H.-Y. Zuo: *Steel Res. Int.*, 2007, vol. 78, pp. 863-875.
- 712 [45] J.-H. Wei, H.-L. Zhu, H.-B. Chi and H.-J. Wang: *ISIJ Int.*, 2010, vol. 50, pp. 26-34.
- 713 [46] J.-H. Wei, Y. He and G.-M. Shi: *Steel Res. Int.*, 2011, vol. 82, pp. 693-702.

- 714 [47] T. Haas, V.-V. Visuri, A. Kärnä, E. Isohookana, P. Sulasalmi, R. H. Eric, H. Pfeifer and T.
715 Fabritius: *Physical Modelling of the Effect of Slag and Top-Blowing on Mixing in the AOD*
716 *Process*, pp. 999-1008, In: R. G. Reddy, P. Chaubal, P. C. Pistorius and U. Pal: *Advances in*
717 *Molten Slags, Fluxes, and Salts: Proceedings of the 10th International Conference on Molten*
718 *Slags, Fluxes and Salts 2016*, The Minerals, Metals & Materials Society, Seattle, WA, USA,
719 2016.
- 720 [48] T. M. J. Fabritius, P. T. Mure, P. A. Kupari, V. A. Juntunen and J. J. Härkki: *Steel Res.*, 2001,
721 vol. 72, pp. 237-244.
- 722 [49] E. Virtanen, T. Fabritius and J. Härkki: *Proceedings of the 2nd International Conference on*
723 *Process Development in Iron and Steelmaking*, Luleå, Sweden, 2004, pp. 155-64.
- 724 [50] P. R. Scheller and F.-J. Wahlers: *ISIJ Int.*, 1996, vol. 36, pp. S69-S72.
- 725 [51] S. C. Koria and K. W. Lange: *Metall. Trans. B*, 1984, vol. 15, pp. 109-116.
- 726 [52] S. C. Koria and K. W. Lange: *Arch. Eisenhüttenwes.*, 1984, vol. 55, pp. 581-584.
- 727 [53] S. C. Koria and K. W. Lange: *Ironmaking Steelmaking*, 1986, vol. 13, pp. 236-240.
- 728 [54] S. C. Koria and K. W. Lange: *Ironmaking Steelmaking*, 1983, vol. 10, pp. 160-168.
- 729 [55] K.-Y. Lee, H.-G. Lee and P. C. Hayes: *ISIJ Int.*, 1998, vol. 38, pp. 1242-1247.
- 730 [56] F. Ji, M. Rhamdhani, Subagyo, M. Barati, K. S. Coley, G. A. Brooks, G. A. Irons and S.
731 Nightingale: *High Temp. Mater. Proc.*, 2003, vol. 22, pp. 359-367.
- 732 [57] M. Alam, J. Naser and G. Brooks: *Metall. Mater. Trans. B*, 2010, vol. 41, pp. 636-645.
- 733 [58] Subagyo, G. A. Brooks, K. S. Coley and G. A. Irons: *ISIJ Int.*, 2003, vol. 43, pp. 983-989.
- 734 [59] Q. L. He and N. Standish: *ISIJ Int.*, 1990, vol. 30, pp. 305-309.
- 735 [60] T. M. J. Fabritius, M. J. Luomala, E. O. Virtanen, H. Tenkku, T. L. J. Fabritius, T. P. Siivola and
736 J. J. Härkki: *ISIJ Int.*, 2002, vol. 42, pp. 861-867.
- 737 [61] M. Alam, J. Naser, G. Brooks and A. Fontana: *ISIJ Int.*, 2012, vol. 52, pp. 1026-1035.
- 738 [62] C. K. Lee, J. H. Neilson and A. Gilchrist: *Iron Steel Int.*, 1977, vol. 50, pp. 399-410.
- 739 [63] A. Kärnä, M. Järvinen and T. Fabritius: *Mater. Sci. Forum*, 2013, vol. 762, pp. 686-690.
- 740 [64] H. Lohe: *Fortschr. -Ber. VDI-Z.*, 1967, Reihe 3, Nr. 15, pp. 1-59.
- 741 [65] R. L. Steinberger and R. E. Treybal: *AIChE Journal*, 1960, vol. 6, pp. 227-232.
- 742 [66] L. A. Baker, N. A. Warner and A. E. Jenkins: *Trans. Met. Soc. AIME*, 1964, vol. 230, pp. 1228-
743 1235.
- 744 [67] L. A. Baker, N. A. Warner and A. E. Jenkins: *Trans. Met. Soc. AIME*, 1967, vol. 239, pp. 857-
745 864.

- 746 [68] P. Wu, Y. Yang, M. Barati and A. McLean: *Metall. Mater. Trans. B*, 2014, vol. 45, pp. 2211-
747 2221.
- 748 [69] R. Kronig and J. C. Brink: *Appl. Sci. Res.*, 1951, vol. 2, pp. 142-154.
- 749 [70] P. H. Calderbank: *Chem. Engr.*, 1967, vol. 45, pp. 209-233.
- 750 [71] F. Oeters: *Metallurgie der Stahlherstellung*, p. 162/174/337, Verlag Stahleisen mbH, Düsseldorf,
751 Germany, 1989.
- 752 [72] W. Rubens: *Untersuchung der Schlackenwege und des Verschleißes des feuerfesten*
753 *Ausmauerung bei modifizierten AOD-Verfahren zur Erzeugung rostfreier Stähle*, p. 45, Doctoral
754 thesis, Clausthal University of Technology, Clausthal-Zellerfeld, Germany, 1988.
- 755 [73] G. Brooks, Y. Pan, Subagyo and K. Coley: *Metall. Mater. Trans. B*, 2005, vol. 36, pp. 525-535.
- 756 [74] N. Dogan, G. A. Brooks and M. A. Rhamdhani: *ISIJ Int.*, 2011, vol. 51, pp. 1093-1101.
- 757
- 758

759 **List of tables**

760 **Table 1.** Geometry of the top lance.

761 **Table 2.** Additions during processing.

762 **Table 3.** Samples and predictions for steel composition and temperature.

763 **Table 4.** Samples and predictions for slag composition.

764 **Table 5.** Statistical indicators for predicted compositions and temperatures.

765 **Table 6.** Carbon removal efficiency in the studied process stage.

766 **Table 7.** Carbon removal efficiency attributable to top-blowing.

767 **List of figures**

- 768 **Figure 1.** Example of a blowing procedure for a 120 metric ton AOD vessel. Adapted from^[5].
- 769 **Figure 2.** Oxygen content as a function of carbon content. Adapted from^[15,16].
- 770 **Figure 3.** Fraction of solid Cr_2O_3 and total solids as a function of measured Cr_2O_3 content.
- 771 **Figure 4.** Effect of the parameter J_{eff} on the predicted carbon content in heat 54872.
- 772 **Figure 5.** Predicted and measured C, Cr, Mn and Ni in heat 37826.
- 773 **Figure 6.** Predicted and measured C, Cr, Mn and Ni in heat 38032.
- 774 **Figure 7.** Predicted and measured C, Cr, Mn and Ni in heat 37878.
- 775 **Figure 8.** Predicted and measured steel bath temperature in heat 38032.
- 776 **Figure 9.** Predicted and measured CRE in heat 37826.
- 777 **Figure 10.** Predicted and measured CRE in heat 54870.
- 778 **Figure 11.** Effect of the top-blowing gas mixture on the predicted carbon content in heat 38034.
- 779 **Figure 12.** Effect of the top lance position on the predicted carbon content in heat 38034.
- 780 **Figure 13.** Predicted Sauter mean diameter and surface area of the metal droplets in heat 38034.
- 781 **Figure 14.** Control factors at the cavity interface in heat 54872.
- 782 **Figure 15.** Control factors at the metal droplet interface in heat 54872.
- 783 **Figure 16.** A spherical metal droplet in a slag sample (40x magnification).
- 784