

1 **Title Page**

2 **The role of HMGB1 signalling axis via RAGE and TLR4 in the immunopathology of oral**  
3 **lichen planus—a potential drug target?**

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10 **Running title:** HMGB1 signalling in oral lichen planus

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17 **Abstract Page**

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19 **The role of HMGB1 signalling axis via RAGE and TLR4 in the immunopathology of oral**  
20 **lichen planus—a potential drug target?**

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22 **Abstract** High mobility group box 1 (HMGB1) is an extremely-conserved DNA-binding protein that  
23 stabilizes nucleosomes and facilitates gene transcription in mammalian cells. When released  
24 extracellularly, HMGB1 becomes an alarmin that can mediate systemic diseases. HMGB1 signals via  
25 two main receptors: receptor for advanced glycation end products (RAGE) and toll-like receptor-4  
26 (TLR4). We hypothesized that HMGB1 expression is increased in oral lichen planus (OLP) compared  
27 with healthy controls. Therefore, HMGB1 and its receptors were mapped in tissue biopsies from 25  
28 OLP patients and 20 healthy controls by immunostaining and ImageJ analysis. HMGB1 was induced  
29 in oral keratinocytes in all OLP patients. The band-like cell infiltrate in OLP revealed intense RAGE  
30 staining. Likewise, TLR4 was overexpressed throughout OLP mucosa which co-localized with  
31 HMGB1. In conclusion, we suggest that OLP could partly be HMGB1-mediated condition by creating  
32 a proinflammatory loop cycle via RAGE- and TLR4-signalling axis, which may contribute to the  
33 disease chronicity.

34 **Key Words:** HMGB1; Receptor for advanced glycation end products; TLR4; Oral lichen planus;  
35 Oral pathology.

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40 The high mobility group box 1 protein (HMGB1) is an evolutionary ancient, non-histone,  
41 chromosomal protein that regulates many crucial processes, such as gene transcription, DNA repair,  
42 and extracellular signalling (1). HMGB1 is composed of 215 amino acids that are folded into two  
43 homologous DNA-binding units (designated as box-A and box-B) and a C-terminal tail (2, 3).  
44 Interestingly, HMGB1 functions depend on its location in the host tissue, as follows: a) the nuclear  
45 HMGB1 promotes the assembly of DNA-binding proteins and gene transcription; b) the extracellular  
46 HMGB1, when released upon cell damage, is serving as a danger-associated molecular pattern  
47 (DAMP), which induces cytokine production, and mediates inflammation (3). Indeed, HMGB1 effect  
48 is mediated via multiple pattern recognition receptors (PRRs), most importantly the receptor for  
49 advanced glycation end-products (RAGE), and toll-like receptor 4 (TLR4). In fact, the upregulation  
50 of HMGB1 and its receptors has been linked with many inflammatory and malignant disorders (4, 5).  
51 It was also shown that oral epithelial cells can produce considerable amounts of HMGB1 when  
52 challenged with TNF- $\alpha$  in a proinflammatory loop cycle (6).

53 Oral lichen planus (OLP) is one of the most common oral inflammatory diseases, which has  
54 also been considered as a risk factor for oral cancer (7, 8). Histopathologically, OLP is characterized  
55 by a liquefactive degeneration of the epithelial basal cells, deranged basement membrane, and an  
56 extensive subepithelial inflammatory cell infiltrate (9). Etiologically, several reports suggested the  
57 involvement of alarmin-mediated processes in OLP pathogenesis, which render the oral epithelium  
58 susceptible to the host immune responses (10). In spite of the ongoing efforts, there is no clear  
59 evidence of any specific intervention in managing OLP or relieving its clinical signs (11, 12).  
60 Although HMGB1 mediates the inflammatory processes in many diseases and the interest toward  
61 medications that can limit its effects is swiftly growing, its role in OLP remains obscure. Therefore,  
62 we tested the hypothesis that HMGB1 is increased in OLP and plays a proinflammatory role via its  
63 two main receptors (RAGE and TLR4).

## 64 **Materials and methods**

## 65 **Patients and samples**

66 Surgical biopsies obtained from 25 OLP patients (mean age 56 years, range 22–84) and 20 healthy  
67 control individuals (mean age 26 years, range 18–51) were enrolled in this study. OLP patients were  
68 17 females (68%) and 8 men (32%), which represented a typical age and sex distribution of OLP  
69 patients (Table 1). The patient samples were retrieved from OLP patients who have been  
70 consecutively biopsied and diagnosed at the pathology department. A total number of thirty-four  
71 patients were biopsied during the period (04.12.2012–26.02.2013). However, nine cases were  
72 excluded from the study for not meeting the inclusion criteria. The patients were selected according  
73 to the following inclusion criteria: 1) clinical and histological diagnosis of OLP lesions according to  
74 the WHO recommendation and its 2009 modification; 2) patients had no diagnosed systemic diseases  
75 at the time of sample biopsy; 3) patients have to be off of corticosteroids for at least one year at the  
76 time of biopsy; 4) patients does not present non-characteristic features. The normal controls were  
77 collected from the buccal mucosa during regular check-ups (n=4) or when extracting the third molars  
78 (n=16). The harvested biopsies were collected and processed as previously reported (9). This study  
79 was approved by the Ethics Committee of the Hospital District of Helsinki and Uusimaa  
80 (42/13/03/01/2013). All participants provided written informed consent prior to participating in the  
81 study.

## 82 **Immunohistochemical staining**

83 Tissue sections were deparaffinized in xylene and rehydrated in ethanol multi-concentration series.  
84 The antigen retrieval was performed in Micromed T/T Mega Microwave (HACKER Instruments &  
85 Industries Inc., Winnsboro, SC, USA). To block non-specific staining, sections were incubated in 1%  
86 H<sub>2</sub>O<sub>2</sub> at RT for 10 min and then incubated in 10% normal horse serum (Vector Laboratories,  
87 Burlingame, CA, USA) for 1 hour at RT. Sections were then incubated overnight at +4°C in: 1) 1  
88 µg/ml mouse anti-human monoclonal HMGB1 antibody (Abnova Corp., Taipei City, Taiwan); 2) 1  
89 µg/ml mouse anti-human monoclonal RAGE antibody (EMD Millipore, Billerica, MA, US). Biotin-

90 conjugated secondary anti-mouse antibodies (Vector Laboratories; 1:200) were applied for 1 hour at  
91 RT. ABC complex (Vector Laboratories; 1:200) was applied for 1 hour at RT. Finally, 3,3'-  
92 diaminobenzidine-tetrahydrochloride-dihydrate was applied for 10 min at RT. Counterstaining of the  
93 slides was performed using hematoxylin and mounted in Mountex (HistoLab, Gothenburg, Sweden).

#### 94 **Immunofluorescence staining**

95 The procedure was performed as described in our recent report (14). Briefly, slides were incubated  
96 overnight at +4°C in mouse anti-human monoclonal HMGB1 antibody, and rabbit polyclonal  
97 antibody raised against human TLR4 (1:500; Santa Cruz Biotechnology, Santa Cruz, CA). The slides  
98 were then incubated for 1 hour in secondary antibodies conjugated with Alexa Fluor® 488 for  
99 HMGB1 or Alexa Fluor® 568 for TLR4 (1:200, Invitrogen, Carlsbad, CA, USA). Diamidino-2-  
100 phenylindole (DAPI) was applied for 10 min at RT. Slides were mounted using ProLong® Gold  
101 Antifade Mountant (Thermo Fisher Scientific, MA, US).

#### 102 **Imaging and image analysis**

103 Leica DM6000 microscope with Leica DFC365-FX camera (Leica Microsystems, Wetzlar, Germany)  
104 were used. The analysis of the staining intensity was performed by the ImageJ software (version 1.47;  
105 National Institute of Health, Bethesda, MD, US). Three different areas per tissue section of OLP  
106 samples (n=25) and controls (n=20) were analysed at field magnification of 40x. A stop filter type  
107 was used with a hue value between 0 and 161. The relative staining intensity of each antigen was  
108 determined by applying the inverse mean grey value.

#### 109 **Statistical analysis**

110 SPSS software programme version 21.0 (IBM SPSS Statistics, SPSS Inc., Chicago, IL, USA) was  
111 used to analyze the data. The paired Student's t-test and the One-way ANOVA and post-hoc Tukey's  
112 test were used where appropriate. Data are presented as means  $\pm$  SD. Differences were considered  
113 statistically significant with  $P \leq 0.05$ .

## 114 **Results**

115 HMGB1 expression was mostly confined to the basal cell layers in the normal oral epithelium, and it  
116 was localized intracellularly and within the nuclei (Fig. 1A). In contrast, HMGB1 staining was intense  
117 in OLP throughout the epithelial layers in the intra- and extracellular spaces (Fig. 1B). Additionally,  
118 HMGB1 was intensely positive in the inflammatory cell infiltrate in the subepithelial lamina propria  
119 in OLP compared with few positive cells in the normal controls. The overall HMGB1 staining was  
120 strongly induced in OLP compared with the normal controls (Paired t-test;  $P = 0.01$ ; Fig. 1C). The  
121 upper and middle epithelial layers, in addition to the lamina propria, revealed an upregulated  
122 expression of HMGB1 in OLP (One-way ANOVA,  $P = 0.01$ ,  $0.001$ , and  $0.01$ , respectively), while  
123 the expression was somewhat similar at the basal layers in both OLP and controls (Fig. 1D).

124 RAGE was positively expressed throughout the normal oral epithelium with more tendency towards  
125 the uppermost and middle layers (Fig. 2A). Similarly, RAGE receptors were observed in all epithelial  
126 layers of OLP samples, but it was strongly expressed in the inflammatory cell infiltrate of the lamina  
127 propria region (One-way ANOVA,  $P = 0.001$ ; Fig. 2BC). HMGB1-RAGE double staining results  
128 were not different between OLP and controls except in the subepithelial inflammatory cell region  
129 (data not shown).

130 TLR4 was detectable mainly in the basal layer of the normal oral mucosa, with a few positive cells  
131 in the middle layer (Fig. 3A). On the other hand, TLR4 staining was markedly increased among the  
132 superficial, the intermediate, and the basal cell layers, in addition to the subepithelial inflammatory  
133 cell infiltrate in OLP specimens (Fig. 3B). The double immunofluorescence staining revealed strong  
134 and consistent HMGB1-TLR4 signalling axis in OLP mucosa compared with the normal controls  
135 (Fig. 3CD). There were no noticeable differences in the findings between the reticular type group  
136 ( $n=14$ ) and the group of other types ( $n=11$ ).

## 137 **Discussion**

138 Oral epithelial cells are capable to initiate and orchestrate potent inflammatory responses by  
139 releasing various DAMPs, such as HMGB1, when they are exposed to pathogens or undergo necrosis  
140 (6). Extracellular HMGB1 can initiate innate immunity and potent pro-inflammatory cytokine  
141 production, followed by an adaptive immune response. We report that oral keratinocytes express  
142 ubiquitous nuclear HMGB1 at the “dividing” basal layers, where it acts as a transcriptional regulator  
143 of DNA. In OLP, HMGB1 expression was noticeably increased. Such dramatic change could be  
144 attributed to certain exogenous OLP-relevant stimuli of HMGB1, such as bacterial LPS, or by  
145 endogenous cytokines, such as TNF- $\alpha$  and IFN- $\gamma$  (15, 6). HMGB1-carrying DNA breaks could  
146 passively leak out from the damaged basal keratinocytes in OLP. In fact, HMGB1 appears to be an  
147 active contributor to oral diseases. We previously showed that HMGB1 is highly increased in  
148 recurrent aphthous ulcer patients. Additionally, SUPIC et al. found that *HMGB1* polymorphism could  
149 be utilized as an indicator of oral cancer progression and could be associated with an increased oral  
150 cancer incidence in OLP patients (17). In this context, HMGB1 is induced in many head and neck  
151 cancers, where it is correlated with nodal metastasis and poor prognosis (18). HMGB1 was also  
152 upregulated in several systemic disorders such as lupus erythematosus, diabetes and rheumatoid  
153 arthritis (3).

154 HMGB1 can virtually bind with multiple members of PRR-family, such as RAGE and TLR4.  
155 We showed that oral epithelial cells express RAGE under the normal physiological conditions. Once  
156 released extracellularly, HMGB1 binds to RAGE<sup>+</sup> cells and induces immune cell migration to the  
157 “danger” site, where it can also enhance the later immunosuppressive capacity of regulatory T cells  
158 (Treg) (3, 5). It is therefore reasonable to assume that such strong RAGE<sup>+</sup> inflammatory cell infiltrate  
159 in OLP could possibly be associated with the inhibitory pathway to compensate the insufficient Treg  
160 population in OLP (7).

161 TLR4/MD-2 complex is a vital component for HMGB1-induced cytokine production and  
162 inflammation (3). Recently, we showed that TLR4 is upregulated in oral lichenoid lesions (19).

163 Noteworthy, anti-TLR4 antibodies has significantly reduced the HMGB1-mediated cytokine release  
164 by immune cells, such as macrophages (4). Such highly upregulated TLR4 status in OLP lesions may  
165 translate the involvement of HMGB1-TLR4 axis in OLP, which may contribute to the disease  
166 chronicity (19). However, the results should be interpreted with caution as TLR4 upregulation in OLP  
167 could also be ascribed to various DAMPs/PAMPs other than HMGB1 (e.g. S100A8, S100A9 and  
168 bacterial lipopolysaccharides) and thus further experiments using specific HMGB1 blockers are  
169 needed.

170         Based on our findings, we suggest the involvement of HMGB1-RAGE and HMGB1-TLR4  
171 downstream signalling pathways in OLP, possibly by inducing the proinflammatory loop cycle,  
172 which constitutes a pathognomonic feature of OLP. However, we acknowledge some limitations in  
173 our report such as the lack of functional HMGB1 experiments; such experiments are warranted to be  
174 performed in the future. Additionally, the difference in age between the OLP patients and controls is  
175 another limitation in our study. This could partly be attributed to the fact that OLP is more common  
176 in adult population, while the normal samples were collected from relatively younger volunteers.  
177 However, it is unlikely that such gap would have a major impact on the findings, since HMGB1  
178 expression was also low in other normal mucosal tissues (e.g. colon) obtained from both young and  
179 old age controls compared with overexpressed patient samples (20).

180         Indeed, if further studies could successfully translate HMGB1 experiments into  
181 pharmaceutical applications, the presented findings may impart a significance in the clinical setting.  
182 In such occasion, HMGB1-based medication could be used to ameliorate OLP symptoms or even to  
183 treat the disease. Of note, the actual mainstay treatment of OLP (i.e. corticosteroids) which ameliorate  
184 the inflammation, showed significant reduction of extracellular synovial HMGB1 in arthritis patients  
185 and thus suggesting a possible interference with HMGB1-signalling pathway (4). Indeed, targeting  
186 DAMP-PRRs pathways by local blockers or neutralizing antagonists that specifically attenuate  
187 HMGB1-mediated pathogenic responses without compromising the innate immunity remains a

188 challenge. Nevertheless, the novel selective HMGB1-inhibitors (e.g. the tetramer peptide P5779)  
189 have shown promising outcomes as therapeutic targets in many inflammatory diseases, which indicate  
190 that such approaches are feasible (4, 5, 21).

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195 *Conflicts of interests* – The authors declare no conflict of interests.

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## 255 **Figure legends**

256 *Fig. 1.* (A) HMGB1 expression in normal oral mucosa was localized to the basal cell layers (*arrows*).  
 257 (B) HMGB1 was observed in the nuclei, cytoplasm and epithelial intercellular spaces in oral lichen  
 258 planus (OLP). (C) Overall HMGB1-immunoexpression was increased in OLP compared with the  
 259 normal controls. (D) HMGB1 expression was induced in the upper and middle oral epithelial cell  
 260 layers in addition to the inflammatory cell infiltrate in OLP. Scale bar = 100 µm.

261 *Fig. 2.* (A) Moderate expression of RAGE was seen in normal oral epithelial cells. (B) RAGE  
262 expression was induced in oral lichen planus (OLP) in the band-like inflammatory cell infiltrate. (C)  
263 The staining intensity of RAGE was noticeably induced in the lamina propria of OLP than in the  
264 normal control. Scale bar = 100  $\mu$ m.

265 *Fig. 3.* (A) TLR4 was expressed in the basal cell layers in the normal oral mucosa with very faint  
266 existence in the middle epithelial layers. (B) TLR4 was strongly induced in all the epithelial layers  
267 and the subepithelial inflammatory cell infiltrate in oral lichen planus (OLP). (C) HMGB1-TLR4  
268 double immunofluorescence staining revealed weak expression in the normal mucosa (*white arrows*).  
269 (D) Strong co-localization between HMGB1 and TLR4 was seen in OLP lesion. Blue: DAPI, Green:  
270 HMGB1, Red: TLR4. Scale bar= 100  $\mu$ m.