

1                   **Explicit IMF  $B_y$ -dependence in high-latitude**  
2                   **geomagnetic activity**

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7                   **Key Points:**

- 8                   • IMF  $B_y$  is an explicit driver of high-latitude geomagnetic activity.
- 9                   • High-latitude geomagnetic activity is suppressed in local winter for  $B_y < 0$  in  
10                   Northern Hemisphere and for  $B_y > 0$  in Southern Hemisphere.
- 11                  • Explicit  $B_y$ -effect maximizes when the Earth's dipole axis points towards night.

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## Abstract

The interaction of the solar wind with the Earth’s magnetic field produces geomagnetic activity, which is critically dependent on the orientation of the interplanetary magnetic field (IMF). Most solar wind coupling functions quantify this dependence on the IMF orientation with the so-called IMF clock angle in a way, which is symmetric with respect to the sign of the  $B_y$  component. However, recent studies have suggested that the sign of  $B_y$  is an additional, independent driver of high-latitude geomagnetic activity, leading to higher (weaker) geomagnetic activity in Northern Hemisphere (NH) winter for  $B_y > 0$  ( $B_y < 0$ ). In this paper we quantify the size of this explicit  $B_y$ -effect with respect to the solar wind coupling function, both for Northern and Southern high-latitude geomagnetic activity. We show that high-latitude geomagnetic activity is significantly (by about 40-50%) suppressed for  $B_y < 0$  in NH winter and for  $B_y > 0$  in SH winter. When averaged over all months, high-latitude geomagnetic activity in NH is about 12% weaker for  $B_y < 0$  than for  $B_y > 0$ . The  $B_y$ -effect affects the westward electrojet strongly but hardly at all the eastward electrojet. We also show that the suppression of the westward electrojet in NH during  $B_y < 0$  maximizes when the Earth’s dipole axis points towards the night sector, i.e., when the auroral region is maximally in darkness.

## 1 Introduction

The interaction of the solar wind and the interplanetary magnetic field (IMF) with the terrestrial magnetic field generates geomagnetic activity and various other phenomena in the near-Earth space. One of the main goals of solar-terrestrial physics is to understand the details of the different physical processes involved in this interaction. A better theoretical understanding of this interaction will allow, e.g., for a better prediction of geomagnetic activity and related space weather hazards, such as the charging and loss of satellites and geomagnetically induced currents in power lines.

The most important parameter for solar wind-magnetosphere coupling is the north-south ( $B_z$ ) component of the IMF in the geocentric solar magnetospheric (GSM) coordinate system, which controls magnetic reconnection at the subsolar magnetopause [Dungey, 1961]. Accordingly, IMF  $B_z$  is the key parameter also for geomagnetic activity, and is included in different solar wind-magnetosphere coupling functions, such as the Kan-Lee electric field  $E_{KL} = vB_T^2 \sin^2(\theta/2)$  [Kan and Lee, 1979] and the Newell universal cou-

43 pling function  $d\Phi_{MP}/dt = v^{4/3} B_T^{2/3} \sin^{8/3}(\theta/2)$  [Newell *et al.*, 2007]. In these expres-  
44 sions  $v$  is solar wind speed,  $B_T = \sqrt{B_z^2 + B_y^2}$  and  $\theta = \arctan(B_y/B_z)$  is the so-called  
45 clock angle. The same clock-angle dependence as in  $E_{KL}$  also appears in the recently  
46 developed Borovsky coupling function [Borovsky and Birn, 2014]. Note that IMF  $B_y$  is  
47 included in these coupling functions, but its effect is independent on its polarity (sign),  
48 due to the symmetry of factors appearing in  $B_T$  and  $\theta$ . In this paper we use the Newell  
49 universal coupling function because it is optimized for high-latitude geomagnetic indices,  
50 such as the  $AL$  index [Davis and Sugiura, 1966], which primarily measures the westward  
51 electrojet in the Northern Hemisphere (NH). However, the main results of this paper do  
52 not depend on the choice of the coupling function.

53 While the polarity of IMF  $B_y$  does not have any independent role in the solar wind-  
54 magnetosphere coupling functions, it plays a significant role in modulating the IMF  $B_z$ -  
55 component observed in the GSM coordinate system via the Russell-McPherron (RMP)  
56 effect [Russell and McPherron, 1973]. The Russell-McPherron effect arises due to the  
57 seasonally (and diurnally) changing angle between the solar equatorial plane and the GSM  
58 z-axis. During spring (fall) an equatorial IMF vector pointing toward (away from) the  
59 Sun has a southward  $B_z$ -component in the GSM coordinate system, which enhances ge-  
60 omagnetic activity at this time. This effect is included in the solar wind-magnetosphere  
61 coupling functions. Note also that the RMP effect maximizes on April 5 and October  
62 5, i.e., the maximum effect is shifted from the equinoxes toward the following solstices.

63 There are also some magnetospheric and ionospheric phenomena for which the po-  
64 larity of IMF  $B_y$  plays an independent role. For example, *Svalgaard* [1968] and *Mansurov*  
65 [1969] showed that the daily variation of the magnetic field at high latitudes depends on  
66 the IMF sector polarity. *Friis-Christensen et al.* [1972] showed that this Svalgaard-Mansurov  
67 effect is due to the  $B_y$ -component of the IMF ( $B_x$  being insignificant). Later studies us-  
68 ing ground-based magnetic field observations [Friis-Christensen *et al.*, 1985] and radar  
69 measurements [Ruohoniemi and Greenwald, 1996, 2005; Pettigrew *et al.*, 2010] have shown  
70 that IMF  $B_y$  controls the shape of polar cap convection pattern and the amplitude of  
71 the cross-polar cap potential.

72 Recently, *Laundal et al.* [2016] and *Friis-Christensen et al.* [2017] showed that there  
73 is a seasonally dependent effect of the IMF  $B_y$  polarity in the  $AL$  index. They found that  
74 in NH winter (NH summer)  $|AL|$  is greater (smaller) under  $B_y > 0$  than under  $B_y <$

75 0. This is partly supported by *Smith et al.* [2017], who showed that the auroral electro-  
76 jet currents (not differentiating westward or eastward electrojets), derived from obser-  
77 vations of different polar-orbiting satellites, are enhanced in NH winter for  $B_y > 0$  and  
78 in the southern hemisphere (SH) winter for  $B_y < 0$ . However, *Smith et al.* [2017] did  
79 not find significant  $B_y$  polarity effect in the summer hemisphere.

80 In this paper we perform a detailed study on the effect of IMF  $B_y$  to the high-latitude  
81 geomagnetic activity using geomagnetic indices from both hemispheres. We will show  
82 that the Russell-McPherron effect can lead to a significant bias in any statistical stud-  
83 ies quantifying the effect of  $B_y$ , if not properly accounted for. We will show that there  
84 is a strong, seasonally varying *explicit*  $B_y$ -dependence which is not due to the RMP ef-  
85 fect and which is not included in the coupling functions that describe the interaction be-  
86 tween solar wind and geomagnetic activity (but do include, e.g., the RMP effect). The  
87 paper is organized as follows. Section 2 gives details of different databases and geomag-  
88 netic indices used in this paper. In Sections 3 and 4 we study the effect of IMF  $B_y$  to  
89 the  $AL$  and  $AU$  indices, respectively. In Section 5 we study the universal time (UT) de-  
90 pendence of the  $B_y$ -effect, and in Section 6 the  $B_y$ -effect in the Southern Hemisphere  
91 using the  $K$ -index of the Syowa station. In Section 7 we study possible biases to our re-  
92 sults caused by IMF  $B_x$ -component. Finally, we discuss our results and give our conclu-  
93 sions in Section 8.

## 94 2 Data

95 In this paper we use the hourly mean values of solar wind speed and the different  
96 IMF components in 1966-2015 from the OMNI2 database (<https://omniweb.gsfc.nasa.gov/>)  
97 time-shifted to the Earth's orbit, and the hourly  $AL$  and  $AU$  indices in 1966-2015 as prox-  
98 ies of high-latitude geomagnetic activity in the Northern Hemisphere. The  $AL$  and  $AU$   
99 indices are defined as the momentarily lowest ( $AL$ ) and highest ( $AU$ ) deviations in the  
100 horizontal magnetic field measured by a network of twelve stations at geomagnetic lat-  
101 itudes ranging from  $60^\circ\text{N}$  to  $71^\circ\text{N}$ . The  $AL$  and  $AU$  indices are proxies for the intensi-  
102 ties of the westward and eastward electrojets in the Northern Hemisphere, respectively.

103 Due to the small number of long-running magnetic stations at southern high lat-  
104 itudes, there are no equivalents of  $AL$  or  $AU$  indices available for the Southern Hemi-  
105 sphere. In this paper we use the geomagnetic  $K$ -index measured at the Japanese Syowa

106 station in 1966-2015 (geographic coordinates 69.0°S, 39.5°E; corrected geomagnetic co-  
 107 ordinates: 65.6°S, 118°E). This is the longest-running geomagnetic index measured at  
 108 a site, which is located in the proximity of the southern auroral electrojets.

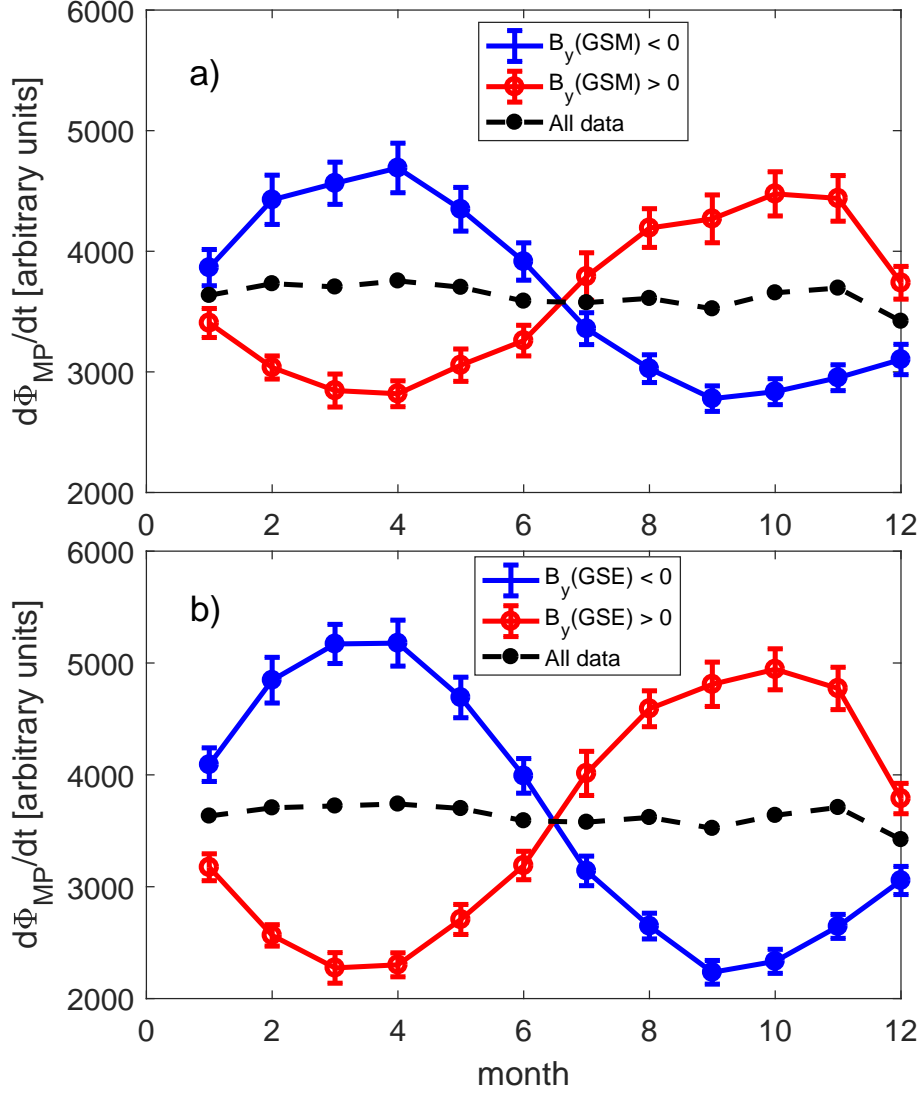
### 109 **3 $AL$ index and solar wind coupling functions for positive and neg-** 110 **ative $B_y$**

111 Figure 1 shows the superposed monthly averages of the Newell universal coupling  
 112 function  $d\Phi_{MP}/dt$  separately for  $B_y > 0$  (away from the Sun) and  $B_y < 0$  (toward  
 113 the Sun) conditions in 1966-2015. The polarity of  $B_y$  is defined in GSM coordinates in  
 114 Fig. 1a and in GSE coordinates in Fig. 1b. However,  $d\Phi_{MP}/dt$  is calculated in the GSM  
 115 coordinates in both Fig. 1a and 1b. Figure 1 verifies the well-known,  $B_y$ -dependent sea-  
 116 sonal variation, with maxima in  $d\Phi_{MP}/dt$  in spring for  $B_y < 0$  and in fall for  $B_y > 0$   
 117 conditions. This is in agreement with the Russell-McPherron effect, according to which,  
 118 a toward (away) oriented field line attains an enhanced southward component in the GSM  
 119 frame in spring (fall). Because a typical IMF field line lies close to the ecliptic plane ( $xy$ -  
 120 plane in GSE coordinates), defining the sign of  $B_y$  in GSE coordinates yields a stronger  
 121 seasonal variation in Fig. 1b than in Fig. 1a.

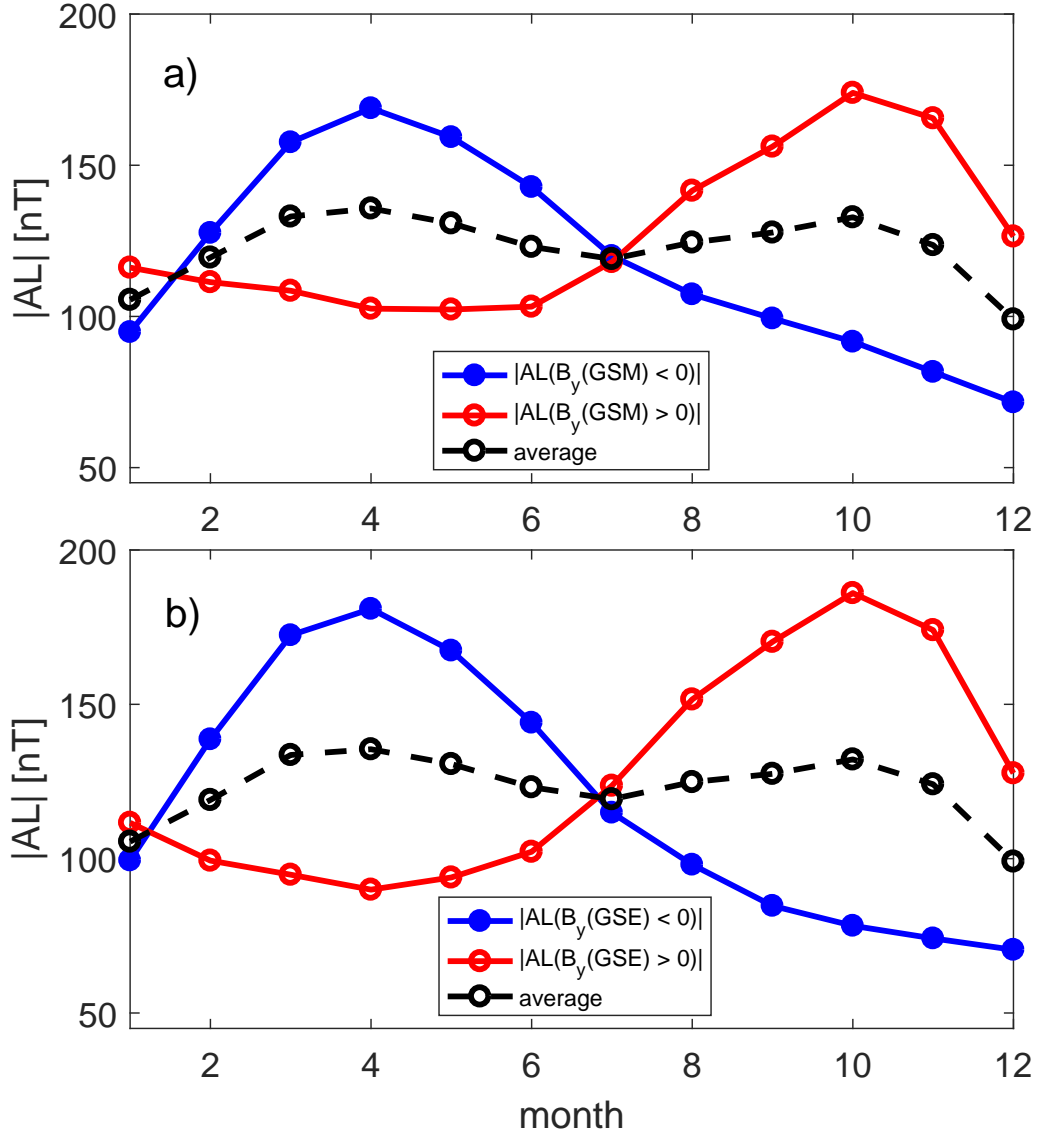
126 Figure 2 shows the superposed monthly averages of the  $|AL|$  index for the two  $B_y$   
 127 polarities, with the sector division made in the two coordinate systems. As the solar wind  
 128 driver  $d\Phi_{MP}/dt$ , also the  $AL$  index exhibits maxima in spring for  $B_y < 0$  and in fall  
 129 for  $B_y > 0$ , with the same peak months (April and in October) as in Fig. 1. As noted  
 130 above, April and October are the months of the maximum effect of the RMP mechanism.

134 There are, however, significant differences between the seasonal patterns of  $d\Phi_{MP}/dt$   
 135 and the  $AL$  index. While the peaks and, especially, the minima of  $d\Phi_{MP}/dt$  (Figure 1)  
 136 are roughly equal for the two polarities of  $B_y$ , the minimum of the  $|AL|$  index (Figure  
 137 2) in winter for  $B_y < 0$  is much lower than the minimum in spring/summer for  $B_y >$   
 138 0. There are actually five consecutive months (September-January) during which  $|AL(B_y <$   
 139  $0)|$  is below any of the superposed monthly values of  $|AL(B_y > 0)|$ . Thus, the fall-winter  
 140 response of  $|AL|$  to solar wind driving for  $B_y < 0$  conditions is considerably weaker than  
 141 expected from the seasonal distribution of the solar wind driver function.

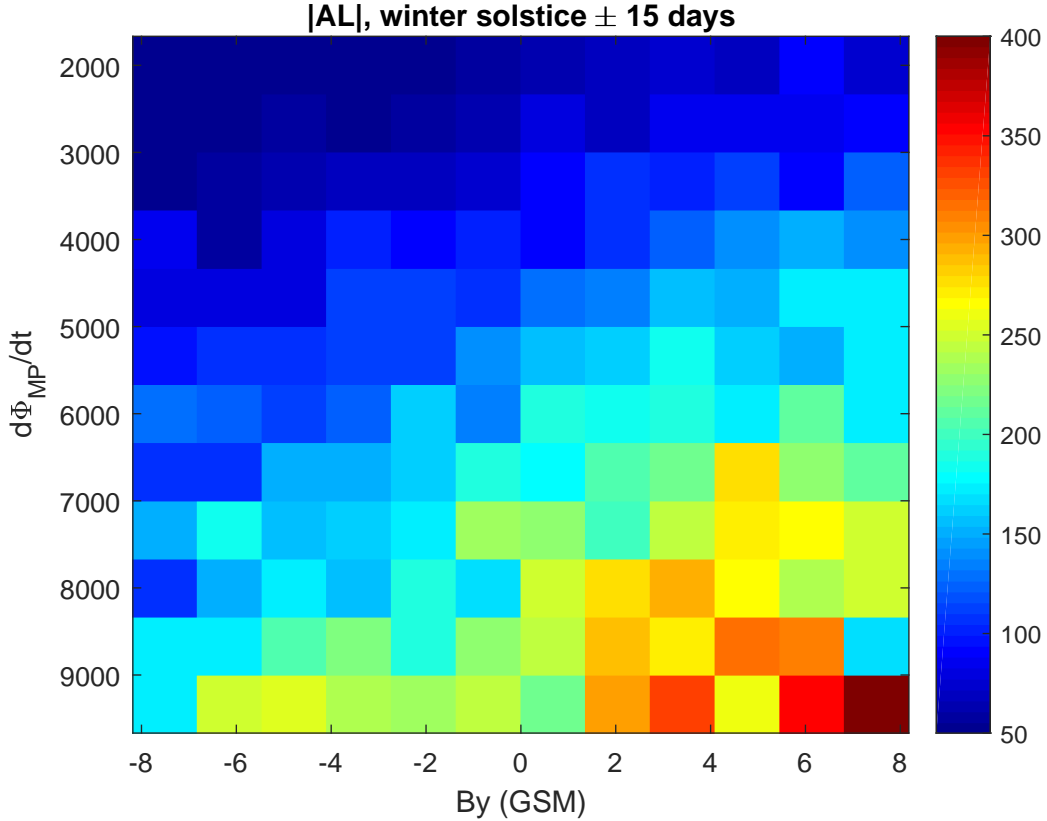
142 Because the seasonal patterns in Figures 1 and 2 are primarily due to the Russell-  
 143 McPherron effect, Figure 2 includes the RMP modulation of the strength of solar wind



122 **Figure 1.** Superposed monthly averages of the Newell universal coupling function  $d\Phi_{MP}/dt$   
 123 in GSM coordinate system for the two polarities of IMF  $B_y$ . The polarity of IMF  $B_y$  is defined  
 124 in GSM coordinates in panel a) and in GSE coordinates in panel b). Standard errors of the  
 125 superposed monthly averages are denoted by vertical bars.



131 **Figure 2.** Superposed monthly averages of the  $|AL|$  index for the two polarities of IMF  $B_y$ .  
 132 The polarity of IMF  $B_y$  is defined in GSM coordinates in panel a) and in GSE  
 133 panel b).

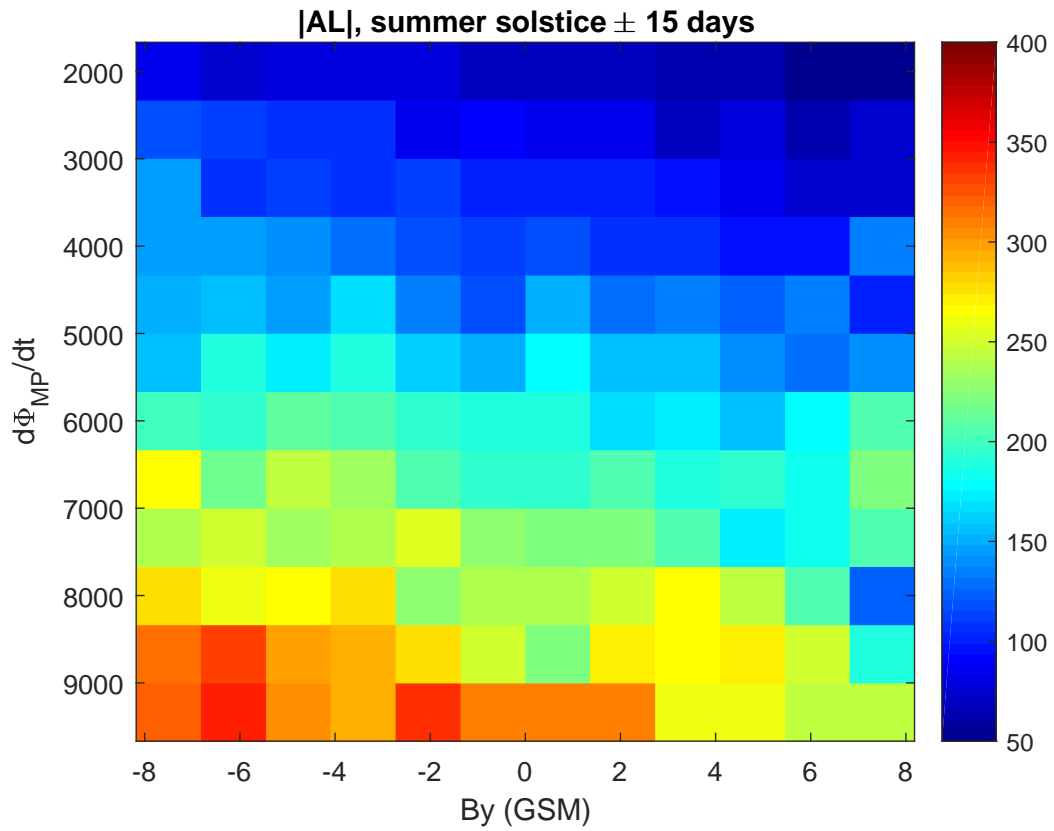


153 **Figure 3.** Averages of  $|AL|$  in 1966-2015 (in color code) during different values of  $d\Phi_{MP}/dt$   
 154 and  $B_y$ . Only data within  $\pm 15$  days from the NH winter solstice (Dec 21) are included.

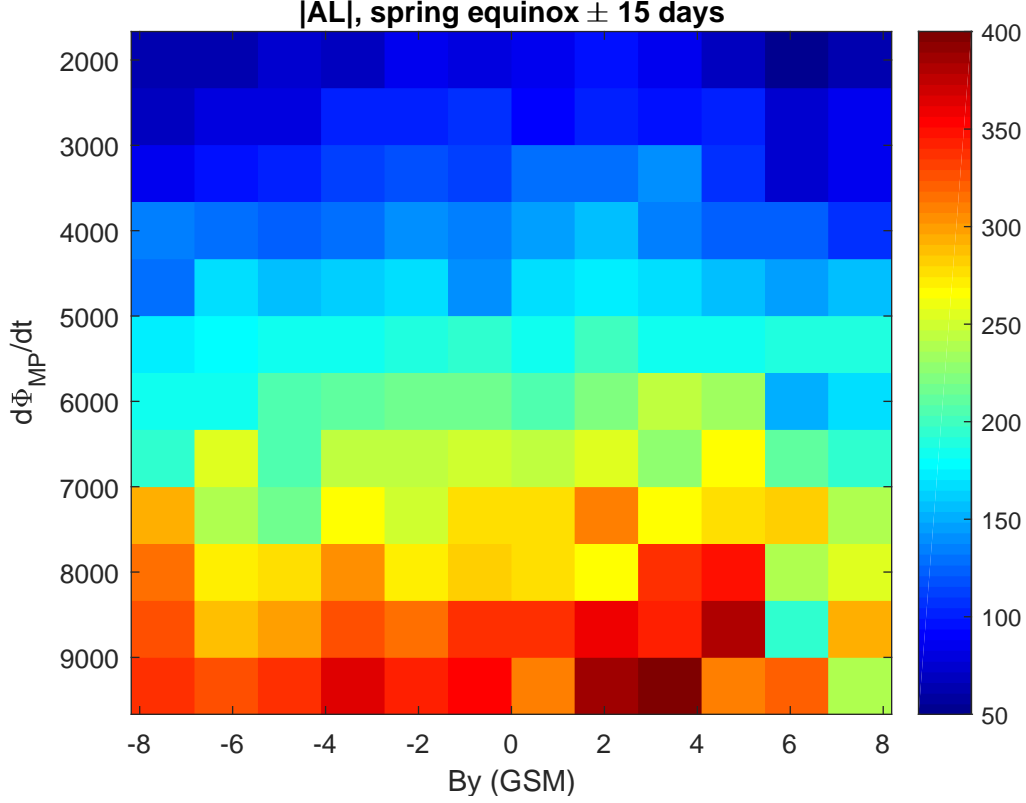
144 driving via the  $B_y$ -symmetric clock angle. To separate the possible explicit  $B_y$ -effect on  
 145 the  $AL$  index, we study the response of  $AL$  to  $B_y$  during given values of the solar wind  
 146 driver function. Figure 3 shows the average values of  $|AL|$  in 1966-2015 for different mea-  
 147 sured values of  $B_y(\text{GSM})$  and  $d\Phi_{MP}/dt$  around winter solstice (December 21  $\pm 15$  days).  
 148 Figure 3 shows a clear asymmetry in the response of the  $AL$  index to  $B_y(\text{GSM})$ : for a  
 149 given value of  $d\Phi_{MP}/dt$ ,  $|AL|$  increases with increasing  $B_y(\text{GSM})$ . An opposite, but slightly  
 150 weaker  $B_y$ -dependence can be seen in Figure 4 around the summer solstice (June 21  $\pm 15$   
 151 days). Thus, there is an explicit  $B_y$ -dependence in  $|AL|$ , which suppresses  $|AL|$  for  $B_y <$   
 152  $0$  in NH winter and for  $B_y > 0$  in NH summer.

157 Figures 5 and 6 show the average  $|AL|$  as a function of  $B_y(\text{GSM})$  and  $d\Phi_{MP}/dt$   
 158 around spring and fall equinoxes (March 20  $\pm 15$  days and September 22  $\pm 15$  days, re-  
 159 spectively). In spring the dependence of  $|AL|$  for a given value of  $d\Phi_{MP}/dt$  is quite sym-  
 160 metric with respect to the sign of  $B_y$ . Only very large  $B_y > 0$  values lead to suppressed





155 **Figure 4.** Averages of  $|AL|$  in 1966-2015 (in color code) during different values of  $d\Phi_{MP}/dt$   
 156 and  $B_y$ . Only data within  $\pm 15$  days from the NH summer solstice (Jun 21) are included.



164 **Figure 5.** Averages of  $|AL|$  in 1966-2015 (in color code) during different values of  $d\Phi_{MP}/dt$   
 165 and  $B_y$ . Only data within  $\pm 15$  days from the NH spring equinox (Mar 20) are included.

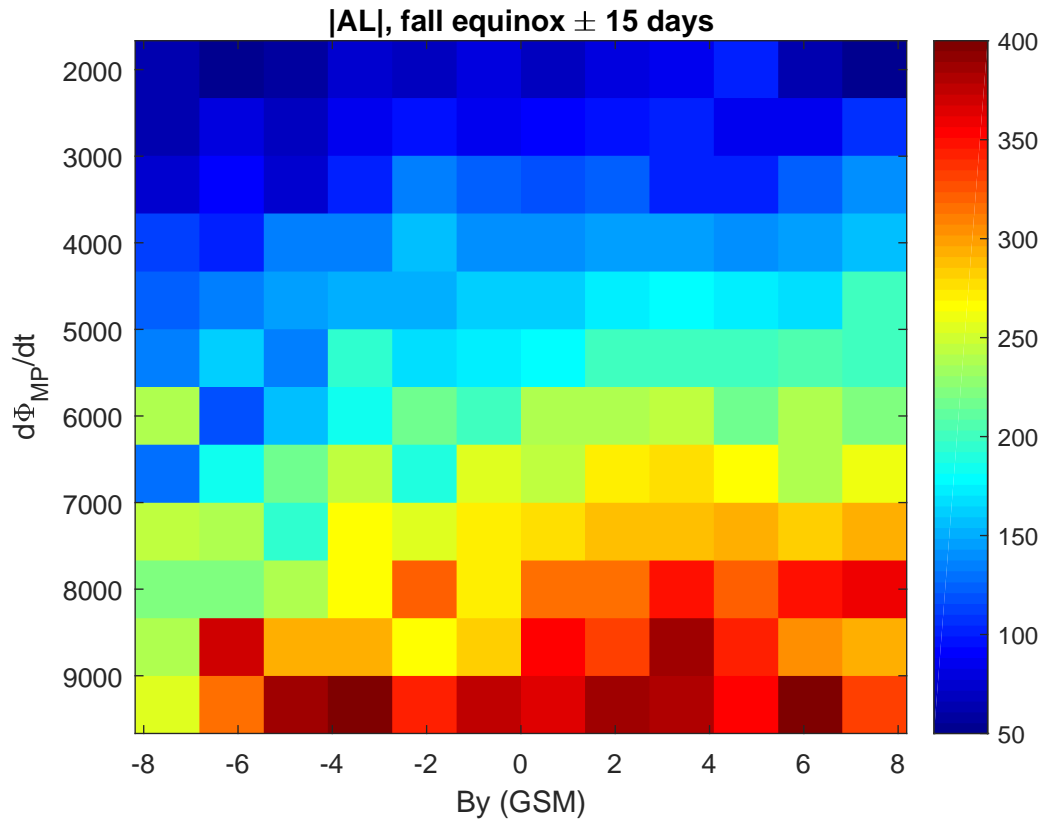
161  $|AL|$ . (Because this is only seen for one polarity of  $B_y$ , there is no saturation of  $AL$  for  
 162 large values of  $|B_y|$ ). However, Figure 6 shows a weak but quite systematic increase of  
 163  $|AL|$  with  $B_y$  in fall, in analogy to Fig. 3.

168 In principle, the  $B_y$ -effect seen, e.g., in winter (see Fig. 3) might well be due ei-  
 169 ther to the enhancement of the  $|AL|$ -index for  $B_y > 0$  or to the suppression of  $|AL|$  for  
 170  $B_y < 0$ . To study this further, we show in Figure 7 the ratios between the measured  
 171 and predicted values of the  $|AL|$  index

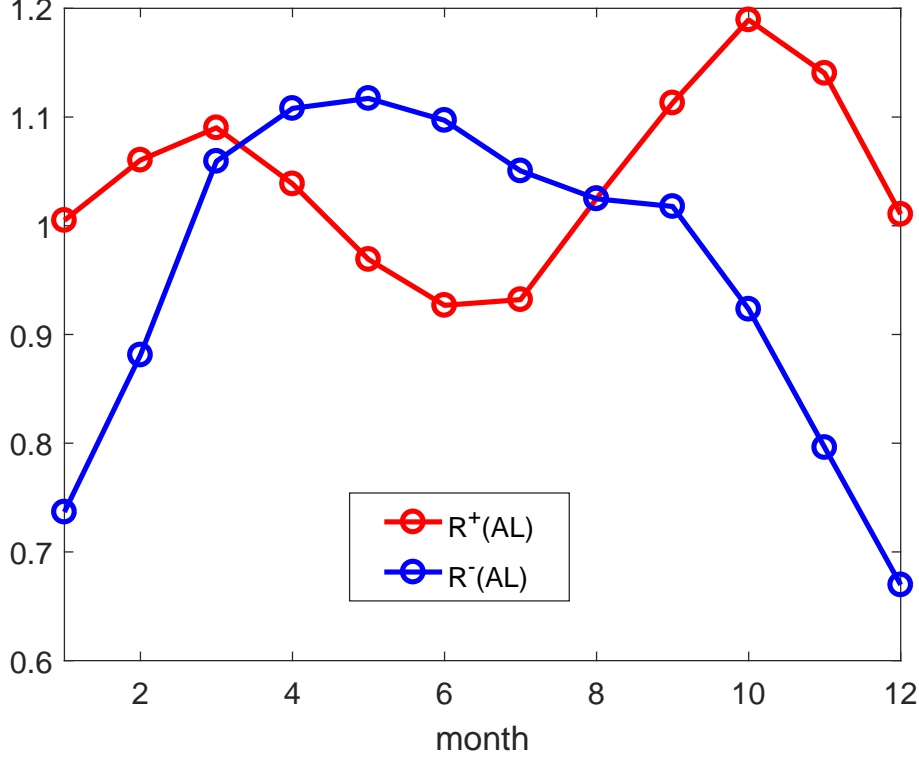
$$R^+(AL) = \frac{|AL(B_y > 0)|}{|a \cdot d\Phi_{MP}/dt(B_y > 0) + b|} \quad (1)$$

$$R^-(AL) = \frac{|AL(B_y < 0)|}{|a \cdot d\Phi_{MP}/dt(B_y < 0) + b|} \quad (2)$$

172 where the coefficients  $a = 0.024 \text{ nT}^{1/3}/(\text{km/s})^{4/3}$  and  $b = 12.3 \text{ nT}$  are obtained from  
 173 the linear least squares fit using all hourly solar wind and  $AL$  data in 1966-2015 with-  
 174 out  $B_y$ -separation. Figure 7 shows that the ratio  $R^-(AL)$  is significantly below 1 in win-  
 175 ter months, reaching the minimum of 0.67 in December. On the contrary,  $R^+ \approx 1$  in



166 **Figure 6.** Averages of  $|AL|$  in 1966-2015 (in color code) during different values of  $d\Phi_{MP}/dt$   
 167 and  $B_y$ . Only data within  $\pm 15$  days from the NH fall equinox (Sep 22) are included.



181 **Figure 7.** Ratios of measured and predicted values of the  $|AL|$  index for  $B_y > 0$  and  $B_y < 0$   
182 ( $R^+(AL)$  and  $R^-(AL)$ , respectively; see Eqs. 1 and 2)

176 winter months. This proves that the explicit  $B_y$ -effect *suppresses* geomagnetic activity  
177 for  $B_y < 0$  in winter rather than enhances it for  $B_y > 0$ . The remaining semiannual  
178 variation in  $R^+(AL)$  is probably mostly due to the so-called equinoctial effect [Cliver *et al.*,  
179 2000; Lyatsky *et al.*, 2001], which modulates the relation between the solar wind driver  
180 and geomagnetic activity.

183 To further quantify the strength of the explicit  $B_y$ -effect in the  $AL$  index, we de-  
184 fine the ratio

$$R_{meas}^{+/-}(AL) = \frac{|AL(B_y > 0)|}{|AL(B_y < 0)|} \quad (3)$$

185 calculated from the measured values of  $|AL|$  and the corresponding ratio predicted from  
186 the solar wind driver function

$$R_{pred}^{+/-}(AL) = \frac{a \cdot d\Phi_{MP}/dt(B_y > 0) + b}{a \cdot d\Phi_{MP}/dt(B_y < 0) + b} \quad (4)$$

187 These ratios are shown in Figure 8a. While  $R_{meas}^{+/-}(AL)$  and  $R_{pred}^{+/-}(AL)$  show qualita-  
 188 tively similar seasonal variations, there are some significant differences. In particular,  
 189 as expected from the comparison of Figures 1 and 2 and Figure 7,  $R_{meas}^{+/-}$  attains signif-  
 190 icantly higher values than  $R_{pred}^{+/-}$  in October, November, December and January. Inter-  
 191 estingly,  $R_{meas}^{+/-} > 1$  even in January, when the Russell-McPherron effect already favors  
 192  $B_y < 0$ , leading to  $R_{pred}^{+/-} < 1$ . This strongly implies that the winter minimum of  $|AL(B_y <$   
 193  $0)|$  is a major effect in high-latitude geomagnetic activity, which is not due to the Russell-  
 194 McPherron effect.

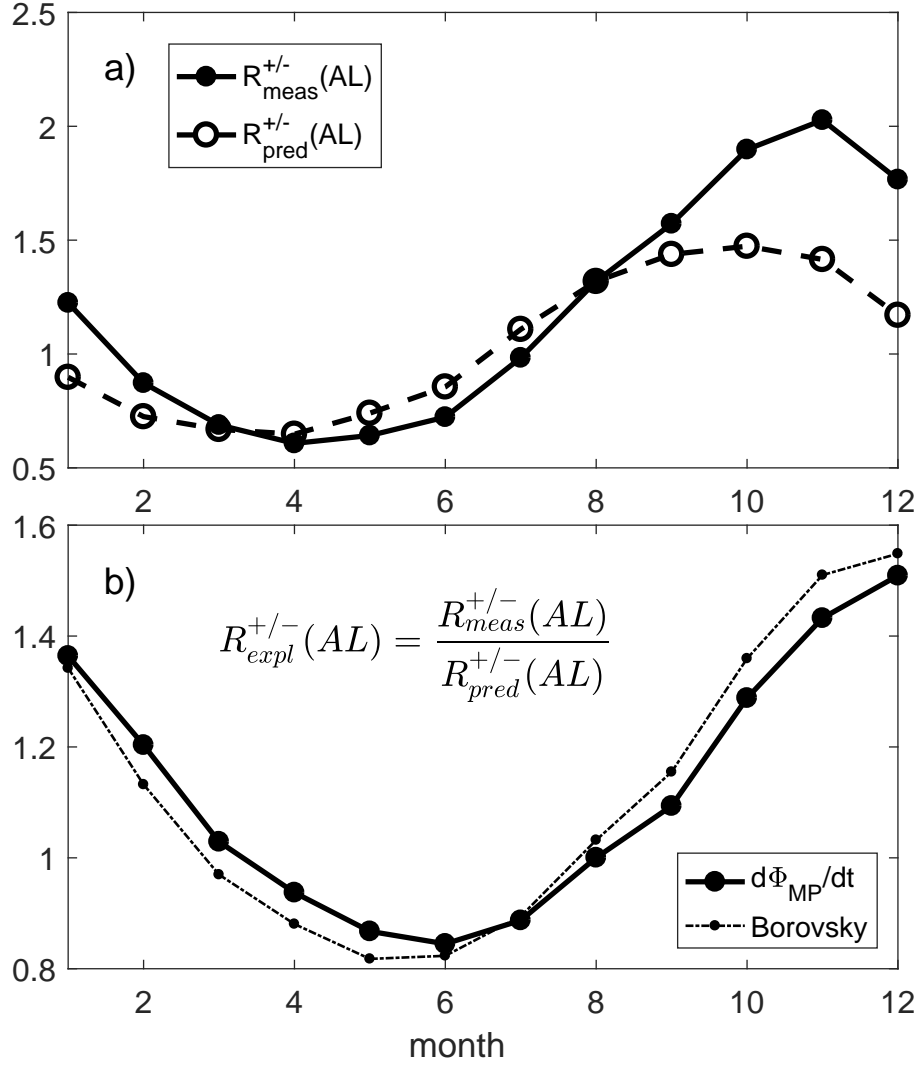
198 Figure 8b shows the ratio of ratios

$$R_{expl}^{+/-}(AL) = \frac{R_{meas}^{+/-}(AL)}{R_{pred}^{+/-}(AL)}, \quad (5)$$

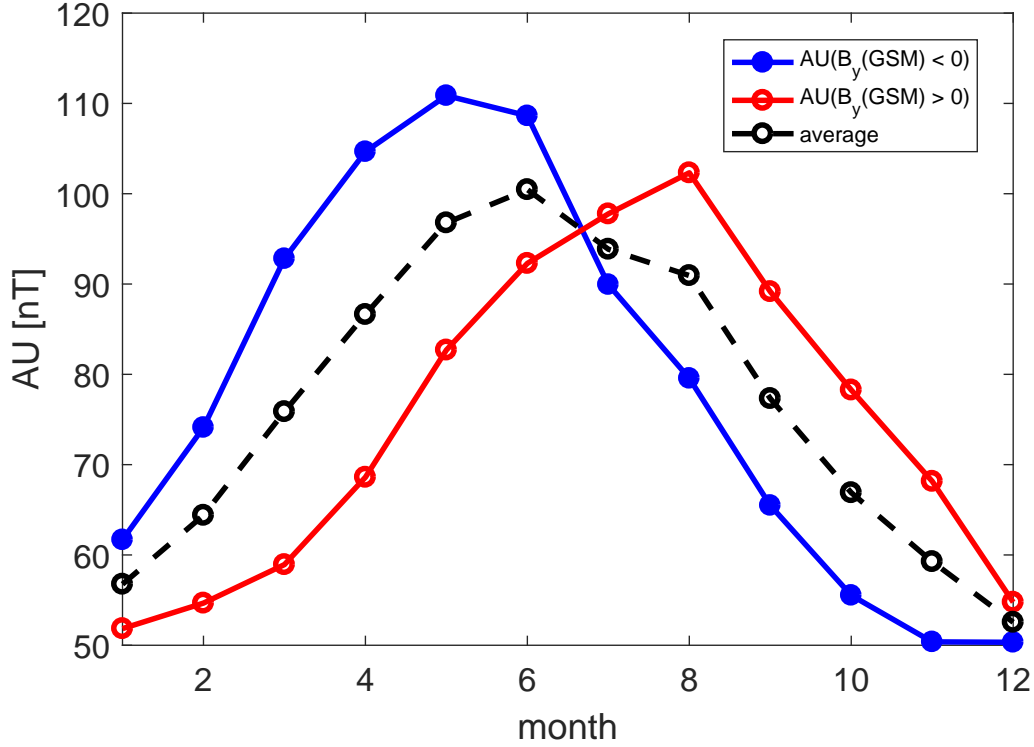
199 which maximizes in winter and minimizes in summer. For this plot we have included also  
 200 the similar ratio  $R_{expl}^{+/-}(AL)$  calculated using the Borovsky coupling function [Borovsky  
 201 and Birn, 2014], yielding a very similar result as  $d\Phi_{MP}/dt$ . This gives confidence that  
 202 the results obtained in this paper are not limited to one specific coupling function. (Note  
 203 also that even the clock angle dependencies are somewhat different in Newell and Borovsky  
 204 functions). The ratio  $R_{expl}^{+/-}$  quantifies the strength of the explicit  $B_y$ -effect by remov-  
 205 ing not only the RMP effect but also other known causes of seasonal variation, like the  
 206 equinoctial effect. Note also that the maximum and the minimum of the ratio  $R_{expl}^{+/-}(AL)$   
 207 occur exactly at summer and winter solstices. This (together with Figure 7) indicates  
 208 that the response of the westward electrojet (of the Northern Hemisphere) to solar wind  
 209 driving is considerably weaker in winter but slightly stronger in summer for  $B_y < 0$  than  
 210 for  $B_y > 0$ . Averaging  $R_{expl}^{+/-}(AL)$  over all 12 months yields to the overall average of  
 211 1.12. Thus, the overall annual response of the westward electrojet to solar wind driving  
 212 is 12% weaker for  $B_y < 0$  than for  $B_y > 0$ . During the winter months (Nov-Jan) the  
 213 ratio  $R_{expl}^{+/-}(AL)$  is 1.44, indicating a highly significant effect.

#### 214 **4 AU index for positive and negative $B_y$**

215 Figure 9 shows the superposed monthly averages of the  $AU$  index for the two IMF  
 216  $B_y$  polarities in 1966-2015. The  $AU$  index shows a very strong annual (summer-winter)  
 217 variation, related to varying illumination of the ionosphere [see, e.g., Finch et al. [2008]]  
 218 which can be seen in the overall average of  $AU$  (also included in Figure 9). The seasonal  
 219 variation of illumination strongly affects  $AU$  because the intensity of eastward electro-



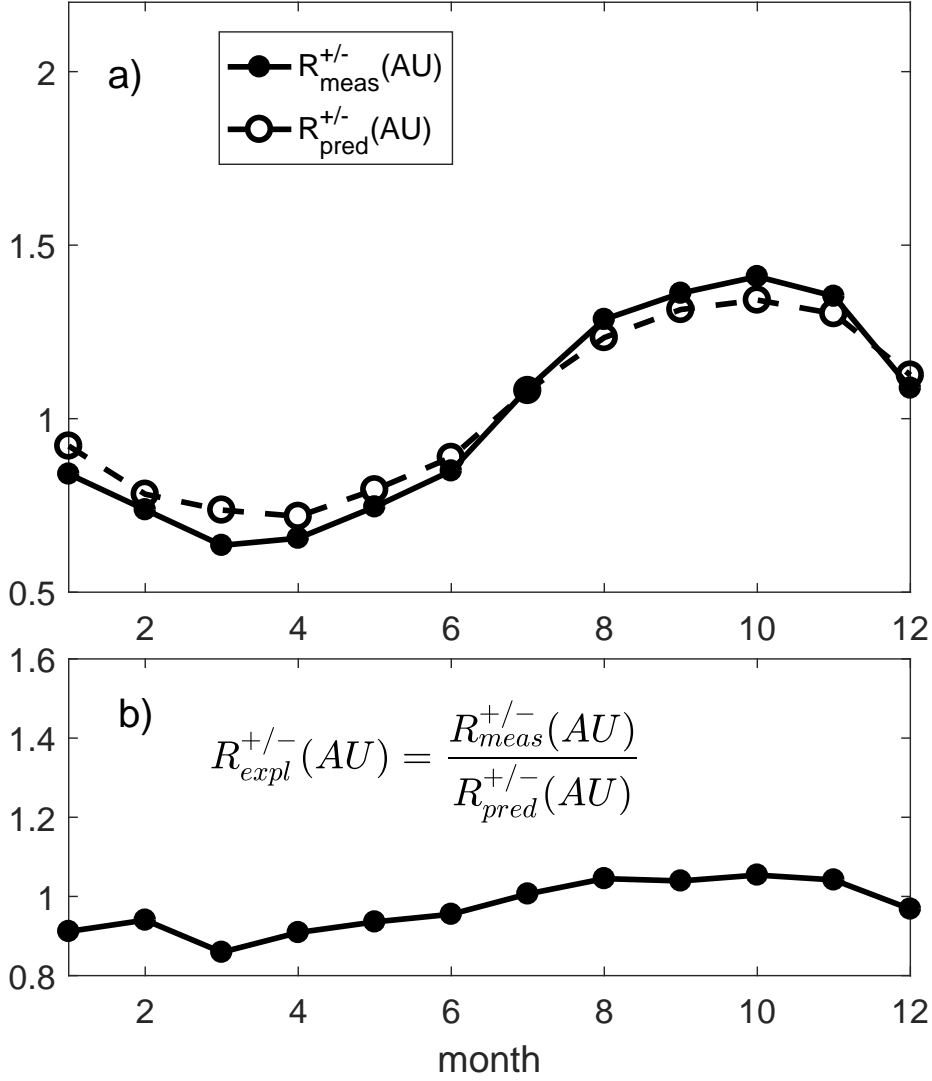
195 **Figure 8.** a) Measured ( $R_{meas}^{+/-}$ ) and predicted ( $R_{pred}^{+/-}$ ) ratios of  $|AL(B_y > 0)|/|AL(B_y < 0)|$   
 196 b) Ratio of measured and predicted ratios  $R_{expl}^{+/-} = R_{meas}^{+/-}(AL)/R_{pred}^{+/-}(AL)$ . Ratio  $R_{expl}^{+/-}$  is calcu-  
 197 lated for  $d\Phi_{MP}/dt$  and the Borovsky coupling function.



224 **Figure 9.** Averages of the  $AU$  index under different polarities of IMF  $B_y$  as a function  
 225 of month.

220 jet maximizes at the afternoon sector, where ionospheric conductivity is dominated by  
 221 solar EUV radiation. Figure 9 shows that the IMF  $B_y$ -component shifts the annual max-  
 222 imum of  $AU$  to May for  $B_y < 0$  and to August for  $B_y > 0$ , i.e., always towards the  
 223 corresponding RMP month (April and October, respectively).

226 Figure 10a shows the measured ratio  $R_{meas}^{+/-}(AU) = AU(B_y > 0)/AU(B_y < 0)$   
 227 and the corresponding predicted ratio  $R_{pred}^{+/-}(AU)$  calculated in the same way as for the  
 228  $AL$  index above (now  $a = 0.0129 \text{ nT}^{1/3}/(\text{km/s})^{4/3}$  and  $b = 29.7 \text{ nT}$ ). Unlike for the  
 229  $AL$  index,  $R_{meas}^{+/-}(AU)$  and  $R_{pred}^{+/-}(AU)$  are very similar and their ratio  $R_{expl}^{+/-}(AU)$  de-  
 230 picted in Figure 10b remains close to one for all months. (The overall mean of  $R_{expl}^{+/-}(AU)$   
 231 is 0.97). Note how closely Figure 10a reproduces the seasonal pattern of the Russell-McPherron  
 232 effect. This proves that the Russell-McPherron effect plays almost an exclusive role in  
 233 varying the seasonal variation of the  $AU$  index with IMF  $B_y$  polarity (Figure 9). Thus,  
 234 the  $AU$  index does not have any notable *explicit* dependence on the IMF  $B_y$ -component



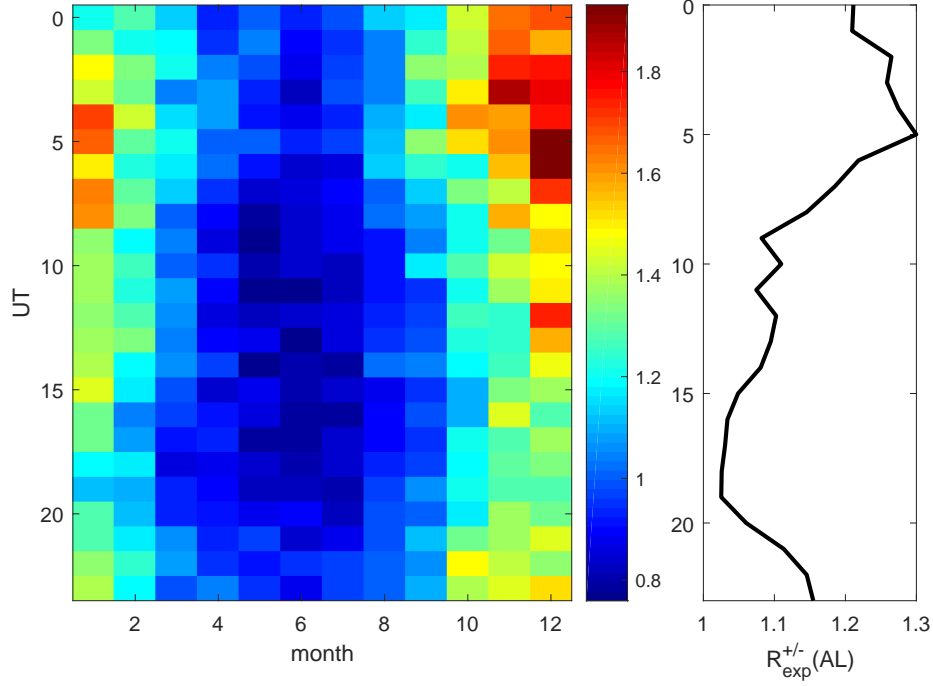
237 **Figure 10.** a) Measured and predicted ratios of  $AU(B_y > 0)/AU(B_y < 0)$  ( $R_{meas}^{+/-}(AU)$ )  
 238 and  $R_{pred}^{+/-}(AU)$ , respectively) b) Ratio of measured and predicted ratios  $R_{expl}^{+/-}(AU) =$   
 239  $R_{meas}^{+/-}(AU)/R_{pred}^{+/-}(AU)$ .

235 beyond the Russell-McPherron effect. Thus, there is an *explicit*  $B_y$ -effect only in the west-  
 236 ward electrojet.

## 240 5 UT dependence of the explicit $B_y$ effect in $AL$

241 Figure 11 shows the ratio  $R_{expl}^{+/-}(AL)$  in different months and different UT hours.  
 242 While the ratio shows qualitatively the same seasonal pattern for all UT hours as in Fig.  
 243 8, the highest values are found around 5 UT and the lowest values approximately 12 hours



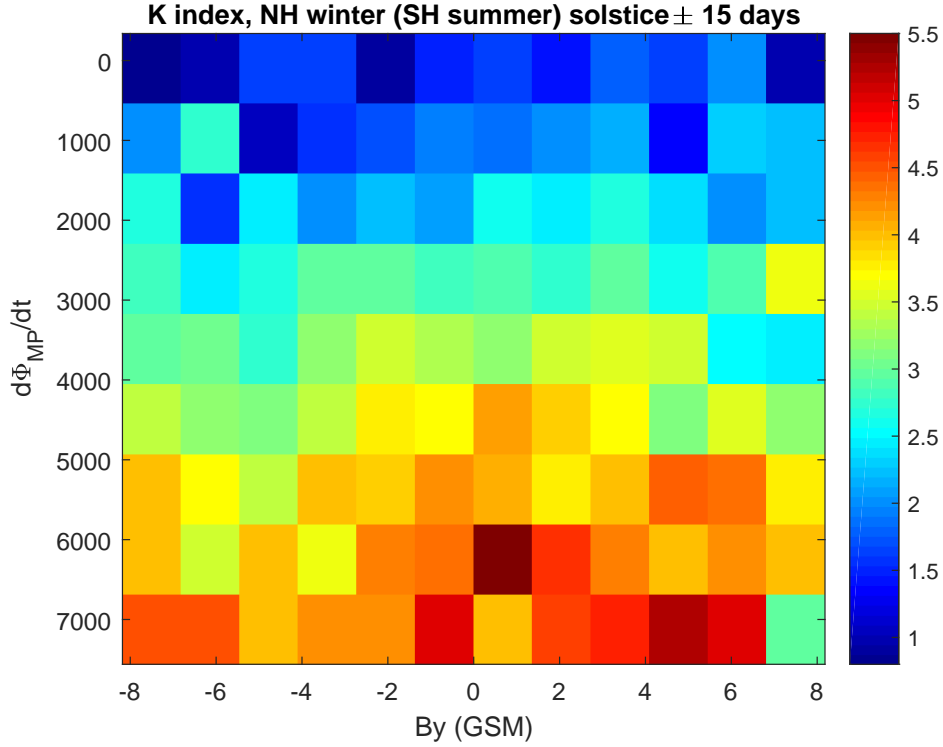


252 **Figure 11.** Left: Ratio  $R_{expl}^{+/-}(AL)$  calculated separately in different UT hours and months.  
 253 Right:  $R_{expl}^{+/-}(AL)$  in different UT hours averaged over all months.

244 later around 17-19 UT. This can be best seen in the right panel, which shows the aver-  
 245 ages of  $R_{expl}^{+/-}(AL)$  over all 12 months. Interestingly, at 5 UT the Earth's dipole axis points  
 246 towards the night sector (anti-sunward direction) in the Northern Hemisphere, while the  
 247 maximal tilt towards the noon (sunward direction) takes place at 17 UT. This UT vari-  
 248 ation of  $R_{expl}^{+/-}(AL)$ , together with its seasonal variation discussed above (see Fig. 8), strongly  
 249 indicate that the explicit  $B_y$ -dependence in the  $AL$  index is related to (lack of) illumi-  
 250 nation and is effective when the auroral region of the Northern Hemisphere is maximally  
 251 in darkness.

## 254 6 IMF $B_y$ -effect in the Southern Hemisphere

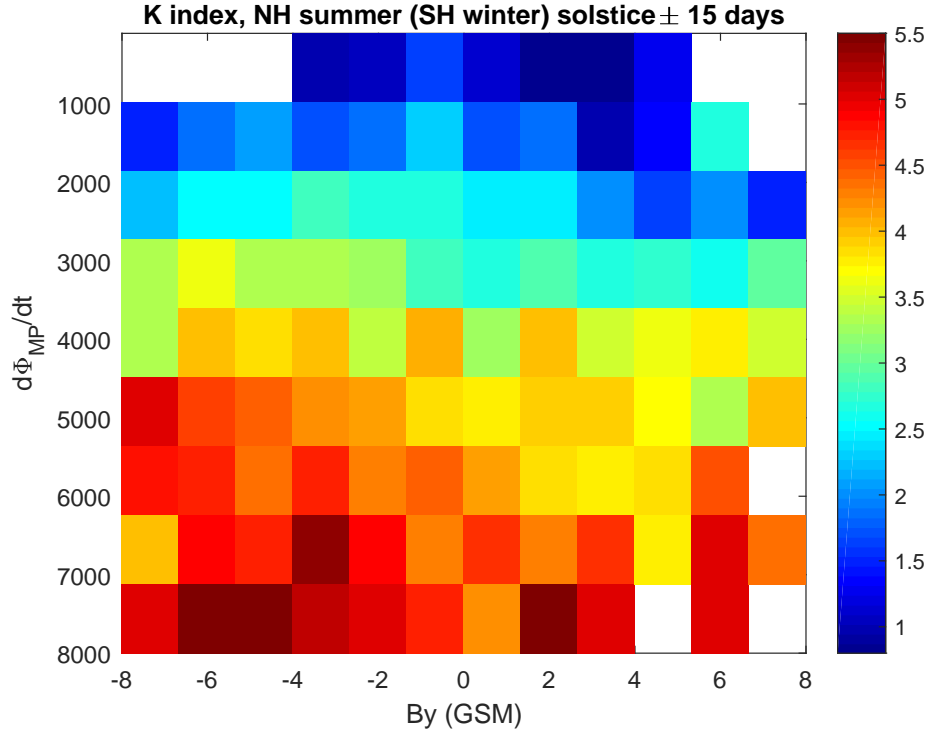
255 In order to study whether the explicit  $B_y$ -dependence also appears in the high-latitude  
 256 geomagnetic activity of the Southern Hemisphere, we repeat the above analysis using  
 257 the  $K$ -index of the Japanese Syowa station. Because the Syowa station is located close  
 258 to the Southern auroral region, its  $K$ -index is primarily affected by the auroral electro-  
 259 jets. Since Syowa  $K$ -index is a local measure of geomagnetic activity, we cannot study



263 **Figure 12.** Averages of the  $K$ -index of Syowa station in color code during different levels of  
 264  $d\Phi_{MP}/dt$  and  $B_y$  in NH winter (SH summer).

260 the UT variation. Here we only use the two three-hour  $K$ -index bins (0-2 UT and 3-6  
 261 UT corresponding 21-23 LT and 0-2 LT) closest to the local midnight sector, where the  
 262 effect of the westward electrojet is largest.

265 Figures 12 and 13 show the averages of the Syowa  $K$ -index for different values of  
 266  $d\Phi_{MP}/dt$  and  $B_y$  in Northern Hemisphere winter and summer, or SH summer and win-  
 267 ter, respectively, in analogy with Figures 3 and 4 for the  $|AL|$  index. The stronger and  
 268 more systematic dependence of the Syowa  $K$ -index on  $B_y$  polarity is seen during NH sum-  
 269 mer (SH winter), when geomagnetic activity decreases with  $B_y$  for a given  $d\Phi_{MP}/dt$ . A  
 270 weaker  $B_y$ -dependence is seen during NH winter (SH summer). These effects are further  
 271 quantified in Figure 14a, which shows the monthly ratios  $R_{meas}^{+/-}(K)$  and  $R_{pred}^{+/-}(K)$ . The  
 272 predicted values of the  $K$ -index are calculated from simultaneous three-hour averages  
 273 of  $d\Phi_{MP}/dt$  ( $a = 0.00029 \text{ nT}^{-2/3}/(\text{km/s})^{-4/3}$  and  $b = 1.22$ ). The explicit  $B_y$ -dependence  
 274 of the Syowa  $K$ -index is clearly seen in Figure 14b, which shows the ratio  $R_{expl}^{+/-}(K)$ . The  
 275 explicit  $B_y$ -effect maximizes during SH winter (in June), when the  $K$ -index is suppressed



280

**Figure 13.** Same as Figure 12, but for NH summer (SH winter).

276

for  $B_y > 0$  and  $R_{expl}^{+/-}(K) = 0.81$ . Thus, the suppression of high-latitude geomagnetic

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activity in local winter is due to  $B_y > 0$  in SH and  $B_y < 0$  in NH. Figures 12 and 14b

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also show that in SH summer  $B_y < 0$  leads to a slight suppression of the  $K$ -index and

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$R_{expl}^{+/-}(K) > 1$ .

281

The explicit  $B_y$ -effect is notably weaker in the (local) Syowa  $K$ -index than in the

282

$AL$  index covering a range of longitudes in NH (compare Figures 8b and 14b). The max-

283

imum  $B_y$ -effect in the Syowa  $K$ -index occurs in June, when  $1/R_{expl}^{+/-}(K) \approx 1.22$ , while

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the maximum of  $R_{expl}^{+/-}(AL)$  in December is about 1.5. Thus,  $R_{meas}^{+/-}(K)$  is 22% smaller

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than expected from the solar wind coupling function in June, while  $R_{meas}^{+/-}(AL)$  is 50%

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larger than expected in December. This difference in the magnitude of the explicit  $B_y$ -

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effect is probably due to the UT variation of the  $B_y$ -effect, which in the SH is shifted by

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12 hours from the UT the variation of NH. Thus, the strongest  $B_y$ -effect for the South-

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ern Hemisphere (around 17 UT) is not observed in the local night sector of Syowa (UT

290

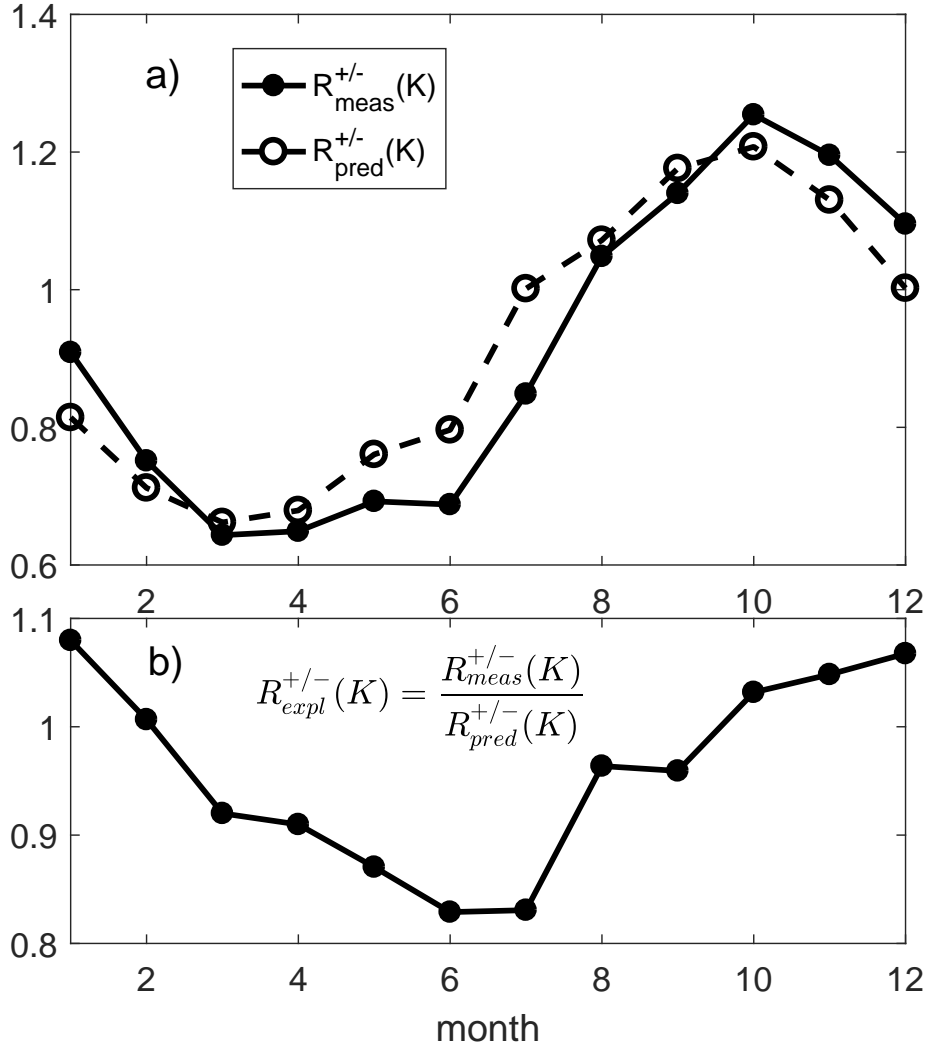
21-02). Summarizing, there is an opposite explicit  $B_y$ -dependence in local winter in the

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Southern Hemisphere, with  $B_y > 0$  conditions leading to suppressed geomagnetic ac-

292

tivity.



293 **Figure 14.** a) Measured and predicted ratios of  $K(B_y > 0)/K(B_y < 0)$  ( $R_{meas}^{+/-}(K)$ )  
 294 and  $R_{pred}^{+/-}(K)$ , respectively). b) Ratio of measured and predicted ratios  
 295  $R_{expl}^{+/-}(K) = R_{meas}^{+/-}(K)/R_{pred}^{+/-}(K)$ .

## 7 Effect of IMF $B_x$

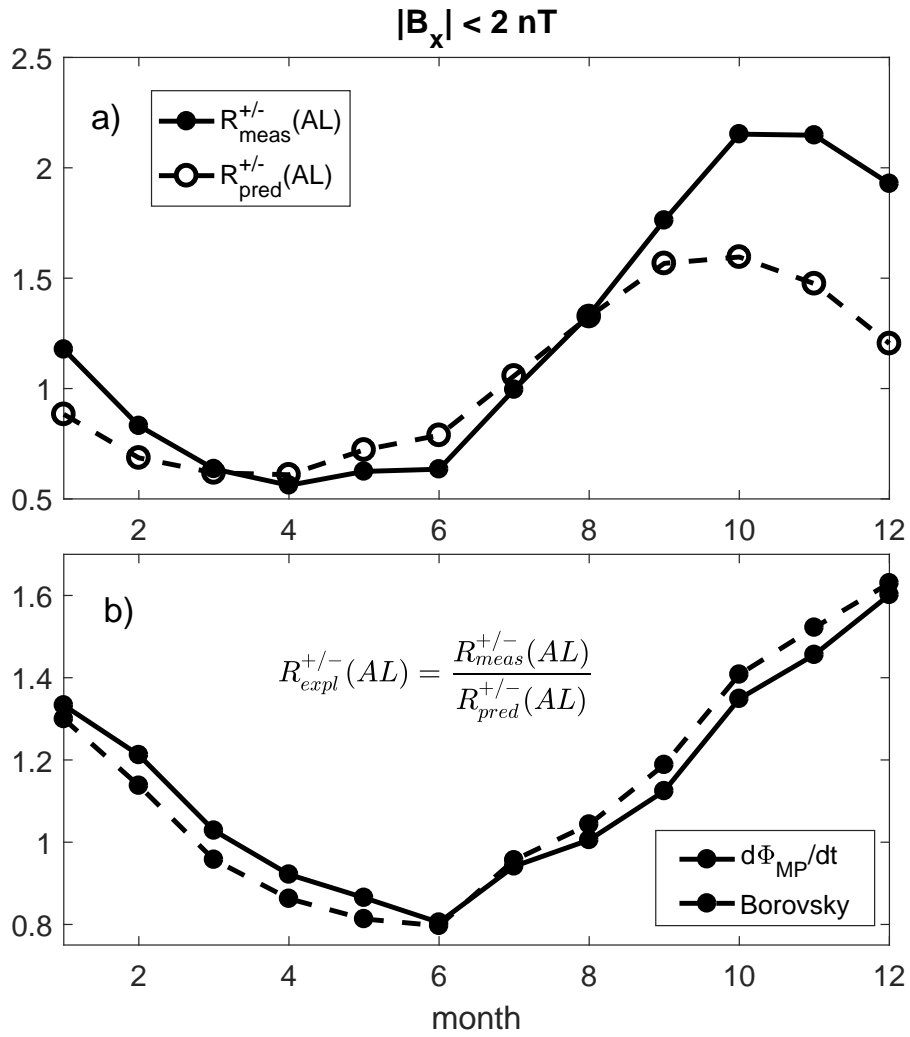
In earlier sections we have quantified the effect of IMF  $B_y$  to high-latitude geomagnetic activity without considering a possible effect of IMF  $B_x$ . Because a typical IMF field line follows the Parker spiral, there is a well-known anticorrelation between  $B_y$  and  $B_x$ . Thus, because  $B_y$  and  $B_x$  are not independent, the analysis in the earlier sections could be biased by  $B_x$ . To test whether effect of IMF  $B_y$  dominates over  $B_x$ , in Figure 15 we repeat the above analysis depicted in Figure 8 under the additional constraint that  $|B_x| < 2$  nT. Because there are no significant differences between Figures 8 and 15, we can conclude that the possible  $B_x$ -effect is much weaker than the  $B_y$ -effect.

We note that *Laundal et al.* found that auroral currents are only weakly ( $\leq 10\%$ ) affected by  $B_x$ . They suggested that the solar wind-magnetosphere coupling is more efficient when the tilt angle of the Earth's magnetic field and  $B_x$  have the same sign, when the reconnection line moves towards subsolar magnetopause [*Hoilijoki et al.*, 2014], making reconnection more efficient. This would lead to strongest geomagnetic activity for  $B_x > 0$  ( $B_x < 0$ ) in NH summer solstice at 17 UT (winter solstice at 5 UT). Thus, the  $B_x$ -effect should have similar seasonal/UT variation as the  $B_y$ -effect, making the separation of these two effects even more difficult. Detailed future studies are needed for more accurate quantification of  $B_x$ -effect and its physical mechanism.

## 8 Discussion and conclusions

In this paper we have studied how the IMF  $B_y$ -component affects to high-latitude geomagnetic activity, using geomagnetic indices from both hemispheres. We have confirmed the earlier observations (*Laundal et al.* [2016]; *Friis-Christensen et al.* [2017]; *Smith et al.* [2017]) that the IMF  $B_y$  polarity and amplitude modulate the strength of the westward electrojet so that the westward electrojet is weaker for  $B_y < 0$  in NH winter and for  $B_y > 0$  in SH winter. We have shown here that this explicit  $B_y$ -dependence is not due to the Russell-McPherron effect or other known effects in the solar wind-magnetosphere coupling (such as the equinoctial effect). We have also demonstrated that the explicit  $B_y$ -effect leads to suppression (for  $B_y < 0$ ) rather than enhancement (for  $B_y > 0$ ) of high-latitude geomagnetic activity in NH winter.

Furthermore, we have shown that the explicit  $B_y$ -effect depends strongly on UT. The strongest  $B_y$  effect to the  $AL$  index is observed at 5 UT in (NH) winter, when the



**Figure 15.** Same as Figure 8, but for  $|B_x| < 2 \text{ nT}$ .

328 Earth's dipole axis points towards the night. This UT variation, together with the sea-  
329 sonal variation, verify that the explicit  $B_y$ -dependence of high-latitude geomagnetic ac-  
330 tivity maximizes when the local auroral region is maximally shadowed during local win-  
331 ter solstices.

332 *Ruohoniemi and Greenwald [2005]* and *Pettigrew et al. [2010]* have found that the  
333 ionospheric convection in NH (measured by the cross-polar cap potential) is stronger in  
334 winter for  $B_y > 0$  and in summer for  $B_y < 0$ . They also found that the IMF  $B_y$ -effect  
335 is especially strong in the dawn convection cell, which is connected to the westward elec-  
336 trojet. Thus, these studies are in agreement with the order of  $B_y$ -dependence and with  
337 our finding of a strong  $B_y$ -effect in the westward electrojet but not in the eastward elec-  
338 trojet. *Friis-Christensen et al. [2017]* suggested that  $B_y$  modulates the intensity of the  
339 substorm current wedge, possibly explaining the  $B_y$ -dependence in the  $AL$  index. Our  
340 results imply that the substorm current wedge is suppressed for  $B_y < 0$  in NH winter  
341 (rather than enhanced for  $B_y > 0$ ). While this effect is consistent with the observations  
342 of this paper, its physical mechanism still remains unknown. Further studies are needed  
343 to better understand the physical mechanism behind the explicit  $B_y$ -effect in high-latitude  
344 geomagnetic activity. The results of this paper are important for understanding and pre-  
345 dicting space weather effects at high latitudes and for understanding the connection be-  
346 tween long-term geomagnetic activity and solar wind variations.

## 347 Acknowledgments

348 We acknowledge the financial support by the Academy of Finland to the ReSoLVE Cen-  
349 tre of Excellence (project no. 272157). The solar wind data and the  $AL$  index were down-  
350 loaded from the OMNI2 database (<http://omniweb.gsfc.nasa.gov/>). The  $K$ -index  
351 of the Syowa station was downloaded from the National Institute of the Polar research,  
352 Japan at <http://polaris.nipr.ac.jp>.

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