

1 **Has the income of the residential area impact on the use of intensive**
2 **care?**

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14 **Intensive care and socioeconomic factors**

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1 **Abstract**

2 *Background:* The socioeconomic factors have an impact on case mix and outcome in critical illness,
3 but how these factors affect the use of intensive care is not studied. The aim of the present study
4 was to evaluate the incidence of intensive care unit (ICU) admissions in patients from residential
5 areas with different annual incomes.

6 *Methods:* Single-center, retrospective study in Northern Finland. All the non-trauma-related
7 emergency admissions from the hospital district area were included. The postal codes were used
8 to categorize the residential areas according to each area's annual median income: the low-
9 income area, €18 979 to €28 841 per year; the middle-income area, €28 879 to €33 856 per year;
10 and the high-income area, €34 221 to €53 864 per year.

11 *Results:* A total of 735 non-trauma-related admissions were included. The unemployment or
12 retirement, psychiatric comorbidities and chronic alcohol abuse were common in this population.
13 The highest incidence, 5.5 (4.6-6.7)/1000/year, was in population aged more than 65 years living in
14 high-income areas. In working-aged population, the incidence was lowest in high income areas
15 (1.5 (1.3-1.8)/1000/year) compared to middle income areas (2.2 (1.9-2.6)/1000/year, p=0.001) and
16 low income areas (2.0 (1.7-2.4)/1000/, p=0.009) Poisonings were more common in low-income
17 areas. There were no differences in outcome.

18 *Conclusion:* The incidence of ICU admission in working aged population was 25% higher in those
19 areas where the annual median income was below the median annual income of €38 775 per
20 inhabitant per year in Finland.

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22 **Keywords:** Intensive care, incidence, socio-economic factors

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2 **Introduction**

3 There are several studies that have investigated the relationship between socioeconomic factors
4 and critical illness or trauma. The main focus in previous studies has been the impact of
5 socioeconomic factors on outcome. They have shown that the causes of intensive care unit (ICU)
6 admissions vary depending on the social class or socioeconomic status.¹⁻⁵ It has also been shown
7 that the outcomes of critically ill patients vary depending on socioeconomic factors and that the
8 patients from lower social classes seem to have higher mortality, but there are also controversial
9 results.^{1,2,6} To our best knowledge there are no previous studies on the impact of different
10 socioeconomic factors on the incidence of ICU admissions. Furthermore, most of the studies on
11 the impact that such factors have on outcome have been conducted in the United States and are
12 poorly generalizable to the Nordic countries with social insurance covered national health care.

13 Having more information about the role of income level in ICU mortality and the use of ICU
14 resources is important when planning preventative interventions and organizing health resources.
15 The primary aim of the present study was to compare the incidence and causes of ICU admissions
16 of non-trauma patients from residential areas with different income levels in one northern
17 university hospital district. In addition, we also compared outcome and the length of ICU stay
18 according to residential areas.

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1 **Material and methods**

2 *Setting*

3 The retrospective study was conducted in Oulu University Hospital in Northern Finland that has a
4 primary catchment area of 403 000 inhabitants forming the hospital district. Being the largest
5 hospital in Northern Finland the university hospital also serves as tertiary referral center with a
6 geographical catchment area that comprises 49.5% of the total land area of Finland and 14% of
7 population. All neurocritical care, cardiac surgery, and the treatment of multi-traumas as well as
8 ECMO treatment of severe respiratory failure in Northern Finland, an area with 741 000
9 inhabitants, is centralized in Oulu. This study focuses only on the primary catchment area
10 population.

11 *Ethics*

12 The study protocol was approved by hospital administration. The statement from the regional
13 ethics committee was not required due to the retrospective design of the study, the local policy
14 and Finnish law.

15 Demographic data including age, gender, chronic diseases, and medications, chronic alcohol
16 abuse, and occupation were retrieved from the medical records and the patient data management
17 system (PDMS). The causes of the admissions were retrieved from the electronic medical records.
18 The data concerning severity of illness, including Acute Physiology and Chronic Health Evaluation
19 (APACHE II)⁷ and Sequential Organ Failure Assessment (SOFA)⁸ scores, the routing of ICU
20 admission, ICU length of stay (LOS), and hospital mortality, were obtained from the PDMS.
21 Therapeutic Intervention Scoring System (TISS)⁹ was used to compare ICU resources between the

1 groups. Alcohol abuse, unemployment, and retirement were recorded if these conditions were
2 mentioned in the medical records.

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5 *Residential area*

6 Statistics Finland provides open data including median annual income per each inhabitant of each
7 postal code area in Finland. The postal code of each patient in the study population was retrieved
8 from the PDMS, and these postal codes were matched to the database of Statistics Finland. There
9 were admissions from 133 postal code areas with a total population of 284 280 inhabitants aged
10 18 years or older, and 59 800 of them were older than 65 years. The lowest median annual income
11 of a postal code area of the patients in the study population was €18 979 and the highest €53 864.
12 The postal codes were ranked according to the annual median income of the area by using the
13 year 2013 as the index year. The study population was divided into three income area categories—
14 low-income area, middle-income area, and high-income area—according to the rank. Annual
15 median income ranged in the low-income areas from €18 979 to €28 841, in the middle-income
16 areas from €28 879 to €33 856, and in the high-income areas from €34 221 to €53 864. The
17 median annual income in Finland in year 2013 was €38 775 and 20% of the population had median
18 annual income less than €19200¹⁰. The residential area was considered urban if it was located to
19 the centers or suburban areas of the main towns in the hospital district area.

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22 *Statistics*

1 The data was analyzed using SPSS (IBM SPSS Statistics for Windows, Version 22.0, Armonk, NY).
2 Proportional data were expressed as numbers (n) and percentages (%). The incidences of annual
3 ICU admissions and crude ICU admission-related mortality were presented per 1000 inhabitants
4 per year with 95% confidence intervals. The incidences between income areas were compared
5 using Poisson regression. Continuous data are expressed as medians and 25th to 75th percentiles
6 [25th-75th PCT]. Kaplan-Meier survival curves were drawn and comparison between curves was
7 performed using log-rank test. The categorical data was tested using Pearson's chi square and the
8 continuous variables were tested using non-parametric Kruskal-Wallis test. Two-tailed *P*-value less
9 than 0.05 was considered statistically significant.

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11 **Results**

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13 ***Patients***

14 There were a total of 2158 ICU admissions during the year 2014. After excluding the admissions
15 resulting from cardiac surgery (n=631, 29.2%), elective surgery (n=329, 15.2%), and trauma
16 (n=213, 9.9%), there were 985 admissions (45.6%) that fulfilled the inclusion criteria for the study.
17 Of these, a total 735 admissions (34.1% of all ICU admissions in 2014) were in patients older than
18 18 years living in the hospital district area, and these were included in the study. The number of
19 individual patients was 687.

20 There were 245 admissions in each income category with 230 individual patients from low-income
21 areas and 226 and 231 patients from middle- and high-income areas, respectively. The number of
22 residents in all the income areas combined was 284 280. The number of residents older than 65

1 years was 59 800. The proportion of residents older than 65 years living in low- and middle-
2 income areas was 41 221 of 170 894 (24.1%) in contrast to 18 579 of 113 384 (16.4%, $P<0.001$) in
3 high-income areas. The income groups did not differ in terms of gender, age, or severity of illness.
4 The middle-income areas were less urbanized compared with the low- and high-income areas
5 (Table 1). <insert table 1 here>

6 Psychiatric diagnosis was recorded in 37 of the 245 (15.1%) patients from the high-income areas
7 when the corresponding rate in low- and middle-income areas combined was 96 of 490 (20.0%,
8 $P=0.14$). Daily psychotropic medication was recorded in 35 of 245 (14.3%) of the patients from the
9 high-income areas in contrast to 101 of 490 (20.6%, $P=0.037$) in the low- and middle-income areas
10 combined. The unemployment and retirement rate in the working-aged population was 22.9% (96
11 of 418) and the rate of chronic alcohol abuse was recorded in 34.6% of the admissions (254 of
12 735).

13 *The causes of admissions*

14 A total of 102 (41.6%) of the 245 admissions from high income areas were admitted from
15 emergency department (ED) when the corresponding rates in low- and middle-income areas were
16 128 (52.2%) and 129 (52.7%, $P=0.022$) (Table 1.)

17 The most common causes for the admissions were respiratory, neurological and cardiovascular
18 causes. The causes of admissions did not differ between the income areas in patients aged
19 between 18 and 65 years or in the patients older than 65 years. Respiratory causes were the most
20 common causes in the group of younger patients and neurological causes among the older
21 patients (Table 2). Poisonings were more common in low-income areas compared with middle-
22 and high-income areas in patients aged between 18 and 65 years (20 of 138 vs 25 of 280, $P=0.04$).
23 <insert table 2 here>

1 *The incidence of ICU admission*

2 The incidence of ICU admission was 2.6 per 1000 inhabitants per year (95% CI, 2.4-2.8). The
3 incidence was lowest in working-aged population living in the high-income areas (1.5 [95% CI, 1.3-
4 1.8]) and highest in people older than 65 years living in the high-income areas (5.5 [95% CI, 4.6-
5 6.7]). The incidence of ICU admissions in working-aged population was significantly lower in high-
6 income areas compared with middle-income areas ($p=0.001$ and $p=0.009$) (Table 3). *<insert table 3
7 here>*

8 *Outcome*

9 During the 735 ICU admissions, death occurred in 59 patients (8.0%), and 50 of the 676 ICU
10 survivors (7.4%) died during the hospital stay. There were no differences in in-hospital mortality or
11 LOS between the income groups (Table 4). A total of 235 of the 687 patients (34.2%) had died by
12 the end of the year 2015. There were no differences in mortality during the follow-up between the
13 income groups in total ($P=0.90$), in working-aged population ($P=0.45$) or in patients older than 65
14 years ($P=0.35$) (Figure 1, Figure 2, Figure 3). *<insert table 4. and figures here>*

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20 **Discussion**

1 The main finding of the present study is that there were significant differences in the incidence of
2 ICU admissions depending on the income of the residential area. This is a novel finding. The lowest
3 incidence was found among the 18- to 65-year-olds and the highest in persons older than 65 years,
4 both in the high-income residential area. The incidence in working-aged population was 25%
5 higher in low- and middle-income areas compared with high-income areas. There were no
6 differences in short-term or long-term mortality between the areas.

7 Results from previous studies have indicated differences in case mix and outcome in ICU-admitted
8 patients from different social classes or ethnic backgrounds.^{1, 2, 6} In the present series from an area
9 with fully covering social insurance system, we showed a difference in the incidence of ICU
10 admissions, which was an unexpected finding. Persons aged between 18 and 65 years from low-
11 and middle-income areas were more often admitted to ICU compared with persons from high-
12 income areas. We did not find major differences in the patient demographics, except the lower
13 urbanization rate in the middle-income area, higher rate of use of psychoactive drugs and higher
14 rate of poisonings in the low-income areas and higher rate of admissions from ED in low—and
15 middle income areas, to explain the difference in the ICU admissions. Also, the severity-of-illness
16 scores were comparable between the patient populations from the three different income areas.
17 Although the number of inhabitants older than 65 years in the high-income area was smaller than
18 in the other two income areas, the incidence of intensive care admissions was the highest.
19 However, this was not significantly different from the incidence in the same population in the low-
20 and middle-income areas.

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22 One explanation for the difference in the need of intensive care could be found in the
23 unemployment and retirement rates. The difference in unemployment was not statistically

1 significant, but there was a trend towards a higher rate on unemployment in the low- and middle-
2 income areas. Being included in the occupational health care may have improved disease
3 prevention in high-income areas and partly decreased the risk of critical illness. The higher rate of
4 ICU admissions from the ED in low-income areas suggests a limited access to occupational – and
5 primary health care services. It is notable that the unemployment was remarkably high in this
6 material, affecting 23% of the working-aged population, and therefore the role of occupational
7 health care in disease prevention was probably limited in this patient material. Secondly, there
8 was a difference between the income areas in the use of psychoactive medication and the
9 presence of psychiatric comorbidities in that both were more common in low- and middle-income
10 areas compared to high-income areas. Moreover, we found a lower rate of poisonings in the
11 middle- and high-income areas, indicating better psychiatric well-being of the population. It is
12 known that psychiatric conditions are linked to an increase in somatic comorbidities, which in turn
13 may lead to an increased need for intensive care.^{11, 12} We did not include the cigarette smoking to the
14 collected data, which can be considered as a limitation since smoking habits may have an impact on the
15 general health of the population.

16 Thirdly, the middle-income areas were less urbanized compared with low- and high-income areas.
17 Some regional differences in morbidity and mortality have been reported. We have previously
18 shown differences in trauma types and mortality in urban and rural areas in Northern Finland.¹³
19 Moreover, recent study from Northern Finland showed a decrease in the use of primary health
20 care in population from lower social class and in populations living in the rural areas.¹⁴ The health
21 care providers are usually located in urban centers and therefore the reachability of the health
22 care services may be compromised, especially in the most rural areas.

1 To our knowledge, this is the first study in Nordic countries that has aimed to evaluate differences
2 in the incidences of ICU admission between areas of different income. A large number of
3 admissions were included, and the study was performed in a country with fully social security
4 cover. Moreover, the population included in the study was located in an area where only one
5 hospital provides intensive care, which minimized the risk of selection bias. The results of the
6 present study are generalizable to areas where the health care system is paid for by the state. In
7 the light of the present results more effort should be made to prevent conditions leading to ICU
8 admissions in the low- and middle-income areas. The most significant differences between areas
9 were found in psychiatric comorbidity and poisoning-related admissions. Moreover, the high
10 unemployment rate in the study population raises the question of the extent to which the lack of
11 occupational health care may have affected the present results.

12 The present results suggest, that to decrease the need of intensive care admissions, a focus should
13 be in reachability of primary health care services providing disease prevention and good care of
14 chronic somatic and psychiatric conditions. Good somatic care including preventive measures
15 aimed to chronic diseases, cigarette smoking, obesity and alcohol consumption as well as suicide
16 prevention should be the focus.

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18 *Limitations*

19 The main weakness of the present study is that we were not able to compare the incidences of ICU
20 admission with incidences of hospital admission in the postal code areas included in the study.
21 Thus we cannot evaluate the use of general healthcare resources in this population that could
22 have affected the ICU use. Secondly, we were not able to present individual annual income data
23 that would have enabled us to study more homogenous patient groups; instead, we used the

1 annual median income of the residential areas. However, the method of the present study has
2 been used in the previous studies.^{1,2} The resource planning in the health care system is based on
3 the residential areas instead of individual income and therefore this setting produces results that
4 have stronger clinical relevance. Furthermore, the categorization of patients into the income
5 categories was arbitrary. However, the median income of low- and middle income areas was
6 below the annual median income of Finnish population, which was €38775 in 2013.¹⁰

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8 In summary, the incidence of ICU admission in working aged population was 25% higher in those
9 areas where the annual median income was below the annual median income in Finland. There
10 were no differences in hospital or long-term mortality. Psychosocial comorbidities, including
11 unemployment, use of psychoactive drugs, and chronic alcohol abuse, are common in patients
12 admitted to ICU in Northern Finland.

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9 <Figure 1. The Kaplan-Meier survival curves of the 687 non-trauma patients admitted to ICU>

10 <Figure 2. The Kaplan-Meier survival curves of the 387 non-trauma patients aged 65 years or
11 younger admitted to ICU>

12 <Figure 3. The Kaplan-Meier survival curves of the 300 non-trauma patients older than 65 years
13 admitted to ICU>

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