

# Efficient rainwater harvesting planning using socio-environmental variables and data-driven geospatial techniques

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## Abstract

Water scarcity is increasing worldwide due to population growth and climate variability/change. As a supplementary water resource, Rainwater harvesting (RWH) is a possible solution for dealing with water scarcity, particularly in arid and semi-arid regions with considerable water demand and high variability in precipitation and unexpected extreme events (floods and droughts). The success of RWH systems significantly depends on the location of RWH structures and usually selecting suitable sites is challenging for decision-makers and managers. This paper presents an approach for mapping suitable sites for RWH structures using socio-environmental variables and artificial intelligence algorithms (AIAs). Based on FAO recommendations, the most important conditioning variables for RWH systems are elevation, slope, aspect, precipitation, temperature, distance from the river, curve number (CN), land use, geology, soil type, population density, distance from road, and distance from lakes. An ensemble model was developed based on AIAs, socio-environmental variables, and existing RWH projects, and used for RWH suitability mapping in the large Maharloo-Bakhtegan basin, Iran. Model performance was evaluated using receiver operating characteristic (ROC) and Kappa index. Using the best-performing model, threshold values for

32 conditioning variables were determined from probability curves (PC). The results showed that land  
33 use, precipitation, soil type, CN and slope were the most important variables for RHW sites, with  
34 the lowest correlation and autocorrelation. The suitability map indicated that 9.7% (3070 km<sup>2</sup>) of  
35 Maharloo-Bakhtegan basin had very high suitability for RWH systems. **Thus, in RWH suitability**  
36 **mapping for large area**, climate, hydrological, geological, agricultural, topographical, human and  
37 socio-economic parameters should be considered to enable efficient RWH planning. Probability  
38 curves revealed that the optimum parameter range ( $\alpha$ ) in Maharloo-Bakhtegan basin was  
39 precipitation 357-428 mm, temperature 12.80-15.16 °C, slope 3-6%, elevation 1612-1975 m asl,  
40 distance from lake 32-45 km, distance from river 11.4-15.9 km, distance from road 2.59-4.80 km.  
41 The RWH suitability map presented can assist decision-makers, hydrologists, and natural  
42 resources planners in finding suitable locations for constructing RWH systems.

43 **Keyword:** Water scarcity; RWH planning; AIAs; probability curve (PC); optimum range

44

## 45 **1. Introduction**

46 Water is essential to human life and socio-economic development, particularly for agricultural,  
47 industrial and domestic consumption ([Şahin and Manioğlu, 2019](#); [Ali et al., 2020](#), [Torabi Haghghi](#)  
48 [et al., 2020](#)). During recent decades, increasing water shortages and climate change at local and  
49 global scale have led to more demand for effective alternative water resources, such as rainwater  
50 harvesting (RWH), in arid and semi-arid regions ([Jha et al., 2014](#); [Imteaz and Moniruzzaman,](#)  
51 [2020](#); [Alim et al., 2020b](#)). Besides water scarcity, arid and semi-arid regions are experiencing  
52 unexpected flood events due to climate change. RWH systems can be used to collect and store  
53 rainwater during wet seasons (with intensive precipitation), for use during water shortages in dry  
54 seasons, which also increase soil moisture, recharge aquifers, and rise the capacity of small-holder

55 farmers to irrigate with rainwater harvested, for more agricultural production (Gupta, 1994;  
56 Aladenola and Adeboye, 2010; Sepehri et al., 2018). The use of RWH systems to supply non-  
57 potable and potable water has been reported in many studies, by e.g. Lee et al. (2016) in Malaysia,  
58 Gómez and Teixeira (2017) in Brazil, Kisakye and Van der Brugge (2018) in Uganda, and Bashar  
59 et al. (2018) in Bangladesh. All those countries are suffering from water scarcity and all promote  
60 the use of rainwater for different purposes. RWH is a well-known approach to increase available  
61 water for agriculture and domestic use by recharging groundwater, thus improving small-scale  
62 agricultural production (Nachshon et al., 2016; Mucheru-Muna et al., 2017; De Souza and Ghisi,  
63 2020). However, water consumption is increasing due to population growth, industrialisation,  
64 agricultural development, changes in human lifestyles, and climate change. Against this  
65 background, RWH systems can be considered important water resources for agriculture or even  
66 freshwater supply during water shortages (Glendenning et al., 2012; Sepehri et al., 2018; Rahaman  
67 et al., 2019). RWH structures can also provide flood control during heavy rain events (Rosmin et  
68 al., 2015; Zhang et al., 2018; Liu et al., 2020). For example, they can be used in settlements and  
69 cultivated areas to collect water from rooftop and non-rooftop areas, such as residential buildings,  
70 roads or cultivated areas (Campisano et al., 2017; Wei et al., 2018; Mugo and Odera, 2019).

71 Many global investigations applied various criteria and methodologies to study suitable sites of  
72 RWH. In Iraq, Ibrahim et al. (2019), and Hashim and Sayl (2020) determined suitable locations  
73 for RWH by the weighted linear combination (WLC) and some criteria including climatological,  
74 physical characteristics, and socio-economic parameters in GIS. Al-Ruzouq et al. (2019) applied  
75 hybrid GIS decision-making and machine learning techniques to identify the best location for  
76 RWH structures in the United Arab Emirates. In North-eastern Guatemala, Wu et al. (2018) have  
77 used an Analytical Hierarchy Process (AHP) and GIS to determine suitable sites for the

78 implementation of RWH projects. They have employed six sub-criteria: distance from roads  
79 potential runoff; slope; land use; distance from agricultural land and soil texture. Also, in some  
80 studies, the potential sites for RWH were identified using remote sensing coupled with GIS  
81 techniques (Pareta and Pareta, 2020; Singh et al., 2021; Patel et al., 2021). In a research that was  
82 done by FAO (2003), some criteria including geographical suitability, technical and environmental  
83 suitability, socio-economic suitability and also, associated indicators including agro-ecological  
84 zones, storage capacity, soil quality, multiple uses of water, costs, management and maintenance  
85 capacity and gender were used in the multi-criteria analysis method. The selection of the suitable  
86 site for RWH was carried out by the weights of the criteria in GIS software. Also, Kahinda (2008)  
87 used model builder in ArcGIS to combine the physical, socio-economic, and ecological criteria  
88 maps to identify RWH sites in South Africa. They express that the acceptable assessment of RWH  
89 location cannot be achieved by ignoring socio-economic factors. In another research, Adham et  
90 al., (2018) used model builder in ArcGIS to recognize the best location to construct RWH in Iraq.  
91 In this study, some biophysical factors, including slope, land use, stream order, soil texture, and  
92 runoff depth, were considered for mapping RWH locations.

93 According to the literature, the possibility to construct RWH systems varies locally and relies on  
94 several factors, e.g. socio-environmental conditions, climate pattern, water treatment method, and  
95 even availability of construction materials (Domènech and Saurí, 2011; García Soler et al., 2018;  
96 Hofman-Caris et al., 2019; Al-Batsh et al., 2019; De Souza and Ghisi, 2020; Alim et al., 2020a;  
97 Rahman et al., 2020; Ali et al., 2020). Previous studies have shown that RWH can even be  
98 conducted on existing buildings, using small-scale structures. The RWH can efficiently diminish  
99 the water shortage due to increased demand for freshwater, climate change/variability,  
100 urbanization, population growth, and flooding conditions. An accurate site selection of the RWH

101 is one of the requirements to have an efficient RWH. In this regard, considering the appropriate  
102 socio-environmental variables plays an important role in identifying suitable sites for RWH  
103 projects. In this study, land suitability for the **construction of RWH structures was mapped for a**  
104 **large area** (Maharloo-Bakhtegan basin in Iran) by considering different socio-environmental  
105 variables and using artificial intelligence algorithms (AIAs). To our knowledge, this is a novel  
106 application for AIAs as an efficient rainwater harvesting planning. Other data-driven geospatial  
107 techniques have been employed to identify suitable sites for RWH structures for domestic purposes  
108 in Maharloo-Bakhtegan basin. Existing RWH structures in the study area were considered in RWH  
109 location mapping. An inventory of these structures was conducted through field surveys and  
110 available local data. The structures identified included artificial recharge structures, flood  
111 spreading structures, crescent pools, bench terraces, farm and storage ponds, percolation tanks,  
112 contour ridges, and bunds.

113 The suitability of land for constructing new RWH structures was assessed by: i) comparing the  
114 outputs from three different AIAs (support vector machines (SVM), maximum entropy (MaxEnt),  
115 genetic algorithm for rule-set prediction (GARP)); ii) developing a spatial framework for RWH  
116 suitability mapping by applying new socio-environmental conditioning variables; iii) developing  
117 an ensemble model for RWH that exploited the advantages of the individual AIAs, to increase the  
118 accuracy of the results; and iv) developing probability curves, threshold values and optimum  
119 ranges for socio-environmental variables affecting the feasibility of RWH systems.

## 120 **2. Materials and methods**

### 121 **2.1. Study area**

122 The study area, Maharloo-Bakhtegan basin (31,511 km<sup>2</sup>), lies in Fars province, southern Iran  
123 (29°1'-31°15'N, 51°41'-54°30'E) (Fig. 1). Mountainous areas and plains in the basin occupy

124 16,630 km<sup>2</sup> and 14,881 km<sup>2</sup>, respectively (Hedayat et al., 2017; Abou Zaki et al., 2019).  
125 Precipitation in the region is influenced by the Mediterranean regime and changes at different  
126 altitudes, so that mean annual precipitation varies from 200 mm in low-lying areas in the southeast  
127 of the basin to over 700 mm in the northwest heights. The dominant climate in the region is arid  
128 and semi-arid, with cool winters and dry summers (Torabi Haghghi et al., 2020). For climate  
129 reasons, water supply in Maharloo-Bakhtegan basin has always been a challenge. In recent  
130 decades, consecutive droughts due to low annual precipitation and excessive exploitation of water  
131 resources have led to failure of water resources to meet water demand (Haghghi and Keshtkaran,  
132 2008; Choubin et al., 2014). Decreasing vegetation cover has led to increased runoff and soil  
133 erosion, and associated damage to surface water quality. These negative changes in the quality and  
134 quantity of water resources have resulted in socio-economic problems such as migration and  
135 poverty (Tabrizi et al., 2010; Kiani et al., 2017; Torabi Haghghi et al., 2020). Over millennia,  
136 inhabitants of Maharloo-Bakhtegan basin used their traditional knowledge to deal with water  
137 scarcity by constructing local structures to collect and store rainwater (Shah and Abadi, 2006). The  
138 population of the study area is about 2912721 that the per capita water consumption in this area is  
139 about 270 liters per day. Also, the area of irrigated lands in the region is 6818.43 km<sup>2</sup> and due to  
140 the variety of crops in the region, the per capita consumption varies per hectare. However,  
141 considering that more than 90% of water consumption belongs to the agriculture sector, as a result,  
142 due to the lack of surface water resources in the Maharloo-Bakhtegan basin, the volume of  
143 groundwater withdrawal is more than allowed and this amount has a significant impact on surface  
144 water resources such as drying of the Kor River (main river of the Maharloo-Bakhtegan basin),  
145 subsidence in plains of the Maharloo-Bakhtegan basin, economic losses due to the reduction of  
146 cultivated lands in downstream of the Kor River and the drying of Mahraloo and Bakhtegan Lakes.

147 This means that in addition to surface and groundwater, special attention should be paid to  
148 rainwater harvesting methods as supplementary sources (Zehtabian et al., 2010; Ahmadi et al.,  
149 2018). Considering the arid and semi-arid climate of the Maharloo-Bakhtegan basin and high  
150 potential for intense flash flooding during the rainy season, identifying suitable areas for RWH  
151 structures can be a way forward for sustainable agriculture and water utilisation.

152 **Fig. 1. SOMWHERE HERE**

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## 154 **2.2. Materials**

### 155 **2.2.1. RWH systems**

156 **Since in this study, all selected RWH structures, which have been constructed over the Maharloo-**  
157 **Bakhtegan basin (as large area), the main purpose of this study was to map the suitability of the**  
158 **RWH structures for water supply based on collecting runoff from intensive precipitation. RWH at**  
159 **the large-scale over the basin (the area where the rainfall or water runoff is initially captured) can**  
160 **be suitable for farmers and even natural ecosystems.** The collected water has an acceptable quality  
161 for irrigation purposes. Other benefits can briefly be summarized as increasing soil moisture levels,  
162 flood control, and increasing the groundwater level through artificial recharge. Rainwater  
163 harvesting can be led to achieving higher crop yields, encourage farmers to diversify their  
164 enterprises, increasing production, optimizing crop patterns and Livestock development (FAO,  
165 2003; De Winnaar et al., 2007; Mbilinyi et al., 2007; Kahinda et al., 2008; Adham et al., 2018). In  
166 this study different types of RWH systems were considered in RWH suitability mapping, with  
167 historical RWH structures in Maharloo-Bakhtegan basin providing the most important  
168 information. A total of 167 existing RWH structures in the basin, including artificial recharge  
169 structures, flood spreading structures, pools, bench terraces, farm and storage ponds, percolation

170 tanks, contour ridges and bunds, were considered (Fig. 2). Information on these structures was  
171 obtained in field surveys and in documents published by the Forest, Range and Watershed  
172 Management Organization of Fars province in recent years. The dataset on locations of RWH  
173 structures was divided 70:30 into two groups by the random split method in ArcGIS 10.5, with  
174 70% of the data used for training (n=117) and 30% for testing (n=50).

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**Fig. 2. SOMWHERE HERE**

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### 178 **2.2.2. Relative environmental and human variables**

179 Determining potential areas for RWH is important for making the best use of water availability  
180 and land productivity in semi-arid regions. However, selecting suitable sites for RWH structures  
181 on large-scale regions is challenging since the essential socio-environmental data are often lacking.  
182 This paper presents a geographic information system (GIS)-based and AIAs-based that uses socio-  
183 environmental data and some remote sensing (RS), limited field survey to identify potential sites  
184 for RWH structures. The Food and Agriculture Organization (FAO) has identified six main factors  
185 for soil and water conservation: climate, hydrology, topography, agriculture, soils, and socio-  
186 economic variables (FAO, 2003; Kahinda et al., 2008; Adham et al., 2018). Based on this, social  
187 and environmental conditioning variables were selected in this study: elevation, slope, and aspect  
188 (topographical factors); precipitation and temperature (climatological factors); distance from the  
189 river and curve number (CN) (hydrological factors); land use/land cover (LULC) (agricultural  
190 factor); geology and soil type (geological and pedological factors); and population density,  
191 distance from road and distance from lake (human or socio-economic factors). These variables  
192 were divided into two classes: i) continuous raster or non-discrete, where cell values show gradual  
193 changes (such as elevation, precipitation and temperature), and ii) categorical raster or discrete,

194 which have different types of distinct themes in a given raster map (such as land use map). All  
195 factors and the method used for preparing variables are explained briefly below:

196 **Elevation:** A digital elevation model (DEM) with 30 m resolution showing the 1412-3922 m asl  
197 altitude variation in Maharloo-Bakhtegan basin was obtained from the Forest, Range and  
198 Watershed Management Organization of Fars province (Fig. 3a).

199 **Slope:** Slope plays an important role in generation of runoff by influencing the speed of overflow  
200 and consequently infiltration rate. For RWH systems, some researchers recommend avoiding areas  
201 with slope  $\leq 5\%$ , because of irregular runoff distribution and high erosion rates in those areas (De  
202 Winnaar et al., 2007; Ziadat et al., 2012). The DEM (30-m resolution) was used with the slope tool  
203 Spatial Analyst to generate a slope map for Maharloo-Bakhtegan basin. Slope was grouped into  
204 four classes:  $<0-5\%$ ,  $5-12\%$ ,  $12-25\%$ ,  $25-40\%$  and  $>40\%$  (Fig. 3b).

205 **Aspect:** Maharloo-Bakhtegan is a mountainous basin and receives different amounts of solar  
206 radiation on different aspects of hillsides, which influences the rate of evapotranspiration by  
207 influencing the temperature and surface warming. As the basin is located in the northern  
208 hemisphere, its north-facing slopes are less exposed to sunlight than south-facing slopes, leading  
209 to differences in evapotranspiration rate (Fig. 3c).

210 **Precipitation:** Since the amount of rainfall in a particular year can not represent the climate of a  
211 region, so the long-term average of precipitation was used in the modeling. Data on mean annual  
212 precipitation 1989-2019 at 29 meteorological stations (Table 1), obtained from Iranian  
213 Meteorological Organization (IRIMO) and Fars Water Authority, were used to produce a spatial  
214 precipitation distribution map for the basin by applying the Kriging interpolation method in  
215 ArcGIS GIS 10.5. Mean annual precipitation varied from 201 mm in the southeast to 668 mm in  
216 the northwest of the basin (Fig. 3d).

217 **Temperature:** Since the amount of temperature in a particular year can not represent the climate  
218 of a region, so the long-term average of temperature was used in the modeling. Data on annual  
219 temperature 1989-2019 at 29 meteorological stations ([Table 1](#)), obtained from IRIMO and Fars  
220 Water Authority, were used to produce a spatial temperature distribution map for Maharloo-  
221 Bakhtegan basin by applying the Kriging interpolation method in ArcGIS GIS 10.5. Mean annual  
222 temperature varied from 8.45 °C in the northeast to 15.88 °C in the southeast of the basin ([Fig.](#)  
223 [3e](#)).

224 **Distance from river:** In Maharloo-Bakhtegan basin, small structures play an important role for  
225 collecting surface runoff during the rainy season with its intensive rainfall events. Since part of the  
226 collected runoff is used for agricultural crops and tree plantations, the efficiency of RWH depends  
227 on appropriate site selection of farm and storage ponds. In this regard, analysis of distance from  
228 river is important, because at shorter distances soil permeability is higher and this leads to more  
229 infiltration and less potential for storing the collected surface runoff in RWH structures. Hence  
230 distance from river was considered as another variable in RWH suitability mapping ([Fig. 3f](#)).  
231 Moreover, dendritic drainage patterns due to the linking of streams have homogeneous soil texture  
232 and a lack of structural control.

233 **Curve Number:** CN can be used to identify areas with high potential for runoff generation and  
234 was thus selected as a hydrological factor for RWH mapping, using the Soil Conservation Service  
235 (SCS) method ([Fig. 3g](#)). Based on the LULC map and the Hydrologic Soil Groups (HSG), a  
236 lumped CN value for each pixel was generated using CN runoff extension in ArcGIS 10.5 software  
237 ([Darabi et al., 2014](#)). CN values range from 0 to 100, with higher values indicating greater potential  
238 of an area to produce runoff (more contribution of rainfall to runoff) ([Krois and Schulte, 2014](#)).

239 **Land use land cover:** LULC plays an important role in the runoff produced in each precipitation  
240 event in a watershed. Areas with a high density of vegetation have higher interception and  
241 infiltration rates, and thus lower potential to generate runoff (Fig. 2d) (Kahinda et al., 2008). A  
242 LULC map was prepared using Landsat 8 satellite/Operational Land Imager (OLI) images  
243 acquired from the USGS dataset for 4 June 2019. In a pre-processing step, atmospheric correction  
244 of Landsat-OLI data was carried out using QUick Atmospheric Correction (QUAC) in ENVI 5.5  
245 software. Using the maximum likelihood method (supervised classification), a land use map was  
246 then prepared in the ENVI 5.3 software (Torabi Haghighi et al., 2018). Six types of land use and  
247 land cover were identified: Rain-fed area, irrigated area, residential area, water body, rangeland  
248 and bare soil, which occupied an area of 289.79 km<sup>2</sup> (0.92%), 6818.43 km<sup>2</sup> (21.06%), 272.18 km<sup>2</sup>  
249 (0.86%), 1497.97 km<sup>2</sup> (4.75%), 22573.59 km<sup>2</sup> (71.52%) and 109.52 km<sup>2</sup> (0.35%), respectively  
250 (Fig. 3h).

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**Fig. 3.** SOMWHERE HERE

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**Table 1.** SOMWHERE HERE

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256 **Population density:** Inclusion of population density as a socio-economic criterion is highly  
257 recommended by FAO (2003), to ensure the success of RWH systems and to increase the adoption  
258 of RWH technology by local people and farmers. In this study, five classes of population density  
259 within Maharloo-Bakhtegan basin were considered: <18, 18-36, 36-66, 66-200 and >200 persons  
260 km<sup>-2</sup> (Fig. 4a).

261 **Distance from road:** Distance from road was used as an indicator of infrastructure development  
262 to access RWH systems (Li et al., 2015). Data on distance from road were extracted using the  
263 distance module in Arc GIS 10.5 and a road map as a raster map (Fig. 4b).

264 **Distance from lake:** Since construction of RWH structures and collection of rainwater (surface  
265 runoff) upstream and near lakes reduces the inflow to lakes, distance from man-made reservoirs  
266 (e.g. Doroudzan and Mollasadra dams) and natural lakes (e.g. Maharloo and Bakhtegan lakes) was  
267 considered (Fig. 4c).

268 **Geology:** Geology of watershed can influence the quality of harvested rainwater, e.g. salt  
269 formation can create saline runoff, have a significant impact on the quality of collected water and  
270 increase water treatment costs. Therefore, choosing an appropriate location for rainwater  
271 harvesting structures in terms of geological formations is important (Ghimire et al., 2017). The  
272 geology of Maharloo-Bakhtegan basin was divided into four formations: Quaternary, limestone,  
273 granite-granodiorite and sandstone-shale (Fig. 4d).

274 **Soil type:** Soil type affects the rate of infiltration and consequently the amount of surface runoff.  
275 It is thus a critical conditioning variable for site selection for RWH structures, particularly if these  
276 structures are intended to store water for domestic and agricultural use (Mbilinyi et al., 2007). The  
277 soil types in Maharloo-Bakhtegan basin were divided into eight categories: Aridisols,  
278 Entisols/Aridisols, Entisols/Inceptisols, Inceptisols, Playa, Rock Outcrops/Entisols, Rock  
279 Outcrops/Inceptisols and Salty Plain (Fig. 4e).

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281 **Fig. 4.** SOMWHERE HERE

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283 **2.3. Methods**

284 Mapping of RWH suitability consisted of following steps: i) Considering existing RWH systems  
285 ii) using environmental and human conditioning variables; iii) applying AIAs, iv) performing  
286 geographic information system (GIS) analysis and generating suitability maps using AIAs, and v)  
287 developing a robust model and identifying important variables using performance criteria.

288

### 289 **2.3.1. Artificial Intelligence Algorithms (AIAs)**

#### 290 *Support vector machines (SVM)*

291 The SVM algorithm, originally introduced by Vapnik (1963), is a supervised modelling algorithm  
292 based on strong generalisation capability with high strength and capacity to handle big data  
293 (Antonanzas et al., 2015; Choubin et al., 2018). SVM has been effectively used in different  
294 classification and regression environmental problems, mainly due to its high capacity to deal with  
295 large non-linear and high-dimensional datasets (Colkesen et al., 2016). Statistical learning theory  
296 is the theoretical basis of SVM, which assumes that each input variable has a separate dependency  
297 to each response (output) variable and that dependency of input variables on one another is  
298 sufficient to determine the rules which can be applied for spatial modelling of the response variable  
299 from independent input variables (Huang and Zhao, 2018). SVM thus follows the rule of structural  
300 error mineralisation (Wang et al., 2020).

301 The kernel mathematical function in SVM transforms data into two classes, based on the specific  
302 purpose and type of data. Based on the literature (Pranckevičius et al., 2017; Choubin et al., 2019),  
303 in this study the radial basis function (RBF) was used to data transformation in R software using  
304 ‘e1071’ package The RBF kernel is defined as (Vert et al., 2004; Cura, 2020):

$$K(x_1, x_2) = \exp\left(-\frac{\|x_1 - x_2\|^2}{2 \times \sigma^2}\right) \quad (1)$$

305 where  $K(x_1, x_2)$  is the RBF kernel,  $x_1$  and  $x_2$  are two features of the kernel,  $x_1 - x_2$  is Euclidean  
306 distance of two features and  $\sigma$  is a free parameter. The RBF kernel value declines with distance  
307 and ranges between zero and one.

### 308 *Genetic algorithm for rule-set prediction (GARP)*

309 GARP, based on a genetic algorithm (Stockwell, 1999), is widely used in the environmental  
310 sciences (Moghaddam et al., 2020). GARP limits environmental conditions by a random set of  
311 mathematical rules, where each gene is considered a rule and the cluster of genes is combined in  
312 random behaviours (Moghaddam et al., 2020). Genetic evolution models by the iterative process  
313 for training and validation inspired the GARP model, which analyses the relationship between  
314 environmental variables and response variables (Boeckmann and Joyner, 2014; Darabi et al.,  
315 2019). For different outputs, GARP as a stochastic approach applies the best-subset method to  
316 select the best run and consequently best result. More detailed explanation of the GARP model is  
317 provided by Darabi et al. (2019). In this study, GARP was applied (using Open modeler) for the  
318 first time in RWH suitability mapping in Maharloo-Bakhtegan basin.

### 319 *Maximum Entropy (MaxEnt)*

320 The popular MaxEnt model estimates uncertainty of all conditioning variables, probability of the  
321 occurrence of a variable and estimation error variations (Phillips et al., 2006). MaxEnt is useful  
322 for geographical distribution modelling based on the most significant environmental conditions  
323 (Moreno et al., 2011). It uses the occurrence locations of RWH and a set of environmental  
324 conditioning variables to predict RWH suitability. MaxEnt also uses the uniform probability  
325 distribution function, where the spatial probability of RWH practice is equal at all pixels (Moreno  
326 et al., 2011; Mamun et al., 2018; Kariminejad et al., 2020; Fernandez-Manso et al., 2019). The

327 output of MaxEnt, which generally varies from 0 (lowest) to 1 (highest), was used here to  
328 determine the geographical distribution of RWH suitability in Maharloo-Bakhtegan basin.

### 329 *Ensemble model*

330 An ensemble model was built from the two best AIAs in terms of model performance. The  
331 ensemble model was constructed by the following steps: *i*) choosing the best two AIAs based on  
332 performance criteria, *ii*) combining these models using R program, to gain all their advantages and  
333 avoid disadvantages, and *iii*) evaluating the created ensemble model using performance criteria  
334 and selecting the most important socio-environmental variables in the RWH suitability map.

### 335 **2.3.2 Predictive performance of the models**

336 Model performance was assessed using receiver operator characteristic-area under the curve  
337 (ROC-AUC) and Kappa value. The ROC-AUC value is commonly used to evaluate models in  
338 distributional modelling and represents the trade-off between two rates as the false-positive and  
339 true-positive rates on the X and Y axes (Darabi et al., 2019). On plotting the false-positive fraction  
340 against the true-positive fraction for all training and testing points for all possible thresholds, any  
341 model that creates a curve with a low number of false-positive at high true-positives is considered  
342 a good model, commonly quantified by calculating AUC. The AUC value varies from 0 to 1, where  
343 1 shows the model can distinguish faultlessly between presence and absence data and 0.5 indicates  
344 that the model is no better than random prediction. AUC values of 0.51-0.60 indicate weak  
345 performance, 0.61-0.70 average, 0.71-0.80 good, 0.81-0.90 very good and 0.90-1.00 excellent  
346 accuracy of the model (Pourghasemi et al., 2017). The Kappa statistic was applied to calculate the  
347 likelihood of agreement by chance based on null hypothesis assessment. According to Monserud  
348 and Leemans (1992), Kappa value is classified into five class:  $K < 0.4$  poor,  $0.4 < K < 0.55$

349 moderate,  $0.55 < K < 0.85$  good,  $0.85 < K < 0.99$  excellent, and  $0.99 < K < 1.00$  perfect (Darabi  
350 et al., 2019).

#### 351 **2.4. Determining threshold values for conditioning variables**

352 Probability curves (PC) for socio-environmental variables were created based on the constructed  
353 RWH systems. The PC represents the probability of establishment (P1) and the probability of non-  
354 establishment (P0) for different RWH systems. Based on the probability values, threshold values  
355 were determined with a set of continuous conditioning variables (as raster maps). For each of the  
356 socio-environmental variables (precipitation, temperature, elevation, slope, distance from the lake,  
357 distance from river, and distance from road), PC was created based on the selected model (that  
358 with the highest accuracy). Optimum ranges for all socio-environmental variables and based on all  
359 constructed RWH systems between lowest and highest thresholds were then considered.

### 360 **3. Results**

#### 361 **3.1. Contribution of socio-environmental variables**

362 The most important socio-environmental variables for SVM model prediction were slope,  
363 precipitation, LULC and CN, those for MaxEnt were slope, precipitation, soil type and LULC, and  
364 those for GARP were LULC, soil type, precipitation, CN and slope (Table 2). For the ensemble  
365 model, the most important variables, with the lowest correlation (Cor-test) and autocorrelation  
366 (AC-test), were LULC (Cor-test 0.000, AC-test 0.000), precipitation (Cor-test 0.001, AC-test  
367 0.000), soil type (Cor-test 0.002, AC-test 0.000), CN (Cor-test 0.0013, AC-test 0.0001) and slope  
368 (Cor-test 0.0018, AC-test 0.001) .

369

370

**Table 2.** SOMWHERE HERE

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372

373 **3.2. Model performance**

374 The precision and proficiency of the SVM, MaxEnt, GARP and ensemble models, based on ROC-  
375 AUC and Kappa index, are shown in [Table 3](#). The highest ROC-AUC values were obtained for  
376 the ensemble model (0.957 and 0.865 for training and testing datasets, respectively), followed by  
377 GARP (0.935 and 0.847, respectively), MaxEnt (0.838 and 0.829, respectively) and SVM (0.830  
378 and 0.781, respectively) (as shown in [Table 3](#)). The AUC values for the training and testing  
379 datasets for all models are presented in [Fig. 5](#).

380

381 **Fig. 5.** SOMWHERE HERE

382

383 For Kappa index, SVM, MaxEnt, GARP and the ensemble model achieved a value of 0.789, 0.814,  
384 0.892 and 0.922, respectively, for the training dataset, and 0.758, 0.802, 0.880 and 0.901,  
385 respectively, for the training dataset, indicating excellent performance of all models ([Table 2](#)).

386

387 **Table 3.** SOMWHERE HERE

388

389 **3.2. Suitable RWH area**

390 Based on the spatial distribution of RWH structures using the SVM, MaxEnt, GARP and ensemble  
391 models, the most suitable areas for RWH systems were mapped ([Fig. 6a-6d](#)). All models gave a  
392 similar overall spatial pattern, with high suitability for RWH in the south and southwest of  
393 Maharloo-Bakhtegan basin, but the spatial detail of each model varied at local scales. Regions  
394 with the lowest (0.00) and highest (1.00) suitability for RWH systems were effectively identified  
395 using all four models. Using the natural break method in ArcGIS 10.7, the spatial distribution of  
396 RWH suitability was divided into five classes: very low, low, moderate, high and very high

397 suitability, the spatial distribution zones for which are presented in Fig. 6e-6h. The RWH  
398 suitability maps obtained with all models indicated that the south and southeast of the basin are  
399 best suited for RWH systems.

400

401

**Fig. 6. SOMWHERE HERE**

402

403 Table 4 presents information on the area and percentage area of the different RWH suitability  
404 zones. In terms of area, the RWH suitability zone maps obtained with the SVM, MaxEnt, GARP  
405 and ensemble models (Fig. 6e-6h) showed that the area with very high suitability represented  
406 7.57%, 8.72%, 11.72%, and 9.73% of total basin area according to SVM, MaxEnt, GARP and the  
407 ensemble model, respectively (Table 4). The maps also revealed that most of Maharloo-Bakhtegan  
408 basin was in the low suitability zone, which occupied 29.29%, 29.27%, 29.81% and 32.21% of  
409 total area according to SVM, MaxEnt, GARP and the ensemble model, respectively.

410

411

**Table 4. SOMWHERE HERE**

412

### 413 **3.5. Probability curves (PC) for ensemble model**

414 Fig. 7 shows the proposed PC, which represent P1 of RWH structures. Using PC, optimum ranges  
415 (or recommended ranges) for construction of RWH systems for all continuous variables  
416 (precipitation, temperature, elevation, slope, distance from lake, distance from river and distance  
417 from road) were determined based on the ensemble model (which showed the best performance)  
418 and threshold values. Threshold values were varied at 50% P1 and P0 for each continuous variable.

419 Table 5 presents threshold values and optimum ranges for the different socio-environmental  
420 variables. As shown in Fig. 7a, for precipitation values >357 mm, P1 was greater than P0 for RWH

421 systems and P1 increased strongly with increasing precipitation. However, for precipitation  
422 values >380 mm, P1 for RWH systems increased very slowly. Thus 357-428 mm was considered  
423 the optimum precipitation range ( $\alpha_{\text{prcp}}$ ) for implementation of RWH systems. P1 for RWH systems  
424 increased with increasing temperature (Fig. 7b). With temperature  $\leq 12.80$  °C, P1 for RWH systems  
425 was lower than P0, while with temperature >12.80 °C, P1 was greater than P0 and increased with  
426 increasing temperature. Thus 12.80-15.16 °C was considered the optimum temperature range  
427 ( $\alpha_{\text{temp}}$ ) for implementation of RWH systems. P1 for RWH systems increased with increasing slope,  
428 but at slope >6% P1 was less than P0 (Fig. 7c). Thus 3-6% was considered the optimum slope  
429 range ( $\alpha_{\text{slope}}$ ) for implementation of RWH systems. At elevation up to 1975 m asl, P1 was greater  
430 than P0 for RWH systems, while at altitude 1975-2150 m asl P1 was less than P0. Thus 1612-1975  
431 m asl was considered the optimum elevation range ( $\alpha_{\text{elevation}}$ ) for implementation of RWH systems.  
432 As shown in Fig. 7e and Fig. 7f, P1 for RWH systems increased as distance from lake and distance  
433 from river increased (these two variables showed similar P1 values). The optimum range for  
434 distance from lake ( $\alpha_{\text{lake}}$ ) and distance from river ( $\alpha_{\text{river}}$ ) for implementation of RWH systems was  
435 considered to be 32-45 km and 11.4-15.9 km, respectively. The average probability of success for  
436 RWH systems decreased with increasing distance from road, with P1 being greater than P0 up to  
437 4.8 km from road (Fig. 7g). The optimum range for both distance from river ( $\alpha_{\text{river}}$ ) and distance  
438 from road ( $\alpha_{\text{road}}$ ) for establishment of RWH systems was considered to be up 11.4 and 4.8 km.  
439 Table 5 summarises the optimum range ( $\alpha$ ) for all socio-environmental variables.

440

441

**Table 5.** SOMWHERE HERE

442

443

**Fig. 7.** SOMWHERE HERE

#### 444 4. Discussion

445 During the last decades, a rapid increase in population and problems related to an inefficient water  
446 supply, ensuring water availability is a challenging issue in arid and semi-arid regions with  
447 unexpected weather events (drought and floods events) and an intensive climate. RWH is a green  
448 technique for improving agricultural productivity and it is a well-known method for collecting and  
449 storing rainwater for different purposes (potable, agricultural, engineering, and comprehensive  
450 purposes). Rainwater harvested as an unconventional water alternative and well-known  
451 technology delivers many profits to the environment and society, which should be considered as a  
452 socio-environmental responsibility of communities over the world in water resources management  
453 and conservation to reduce rising demand on conventional water resources (Hafizi Md Lani et al.,  
454 2018; Sheikh, 2020). Under future changes, it can provide a water supply in periods of water  
455 shortages and can mitigate flooding following extreme rainfall events in arid and semi-arid regions  
456 (Sepaskhah and Fooladmand, 2004; Sepehri et al., 2018; Rahaman et al., 2019; Gonela et al.,  
457 2020). Recently, numerous studies have focused on how to use harvested rainwater effectively,  
458 e.g. by avoiding the use of potable water for non-potable usages and by reducing small-scale  
459 drawdowns outside the main system. However, most studies have found that small-scale RWH  
460 structures are frequently used to supply drinking water at household level in rural areas (Domènech  
461 and Saurí, 2011; Naddeo et al., 2013; Alim et al., 2020a; Alim et al., 2020b). In contrast, there are  
462 bigger projects which collect and harvest rainfall from a bigger area (e.g. basin) which provide  
463 more water for environmental, agricultural, and even rural community consumptions and usually  
464 invested by the government and local communities therefore we called them "RWH planing at  
465 large-scale". Some researchrers have reported that RWH from the rooftops and tank systems were  
466 considered as small-scale and they mentioned that the feasibility of RWH at the small-scale

467 intended to produce drinking water for rural communities (Lani et al., 2018; Alim et al., 2020a;  
468 Cardoso et al., 2020). Several parameters such as roof size, tank size, water demand, and daily  
469 filtration rate were taken into consideration to examine the performance RWH for the small-scale  
470 (Rahman et al., 2012; Alim et al., 2020a). Methodology presented in this study was considered a  
471 RWH planing at the large-scale and it was useful for water supply and runoff potential collection.  
472 RWH planing at the large-scale is suitable with the point of use for farmers and natural ecosystems  
473 and water collected has the acceptable quality for agricultural purposes (harvested runoff water  
474 from rainwater is in large quantities and volume, it is contaminated by sediment on the catchment).  
475 Other benefits include increasing soil moisture levels and increasing the groundwater level via  
476 artificial recharge. Rainwater harvesting and its application to achieving higher crop yields can  
477 encourage farmers to diversify their enterprises, such as increasing production, upgrading their  
478 choice of crop, purchasing larger livestock animals, or investing in crop improvement inputs such  
479 as irrigation infrastructure, fertilizers, and pest management (FAO, 2003; De Winnaar et al., 2007;  
480 Mbilinyi et al., 2007; Kahinda et al., 2008; Adham et al., 2018).

481 The present study considered the feasibility of RWH suitable spatial mapping in arid and semi-  
482 arid regions where rainfall is low and freshwater availability is limited. Direct use of stored  
483 rainwater in dryland can increase agricultural productions. RWH at the large-scale, has  
484 considerable volume of rainwater and runoff which can be collected in water conservation  
485 structures such as recharge pond or check/cross dams. However, by using efficient methods that  
486 rely on scientific principles, efficiency planning can be implemented RWH structures at the large-  
487 scale and help to achieve the sustainable management of water resources. Usually RWH systems  
488 at small size scale are used for the water supply of one or several plants, humans, or animals such  
489 as rooftop harvesting. On the other hand, some usual RWH structures are improved to supply urban

490 population demand, groundwater recharge, agriculture and hydroelectric power which are at large  
491 size scale such as flood spreading systems, pitting, furrowing and banquette, dams, Check dams.  
492 Future management of water resources in arid and semiarid areas will need to adapt to climate  
493 change, particularly in developing regions such as the Rift Valley dryland of Ethiopia (Muluneh  
494 et al., 2017). This is critical in achieving food security and sustainable development. Sannigrahi et  
495 al. (2020) concluded that climate change will also result in changes in ecosystem services and that  
496 RWH can help combat water shortages and secure the ecosystem services that nature provides to  
497 humankind. RWH as a nature-based solution can also help prevent land degradation (Keesstra et  
498 al., 2018) and support afforestation and farming, with trees contributing to global warming  
499 reductions by sequestering carbon (Abbas et al., 2017) and irrigated agricultural crops enriching  
500 the soil with organic matter (Novara et al., 2019). This study mapped suitable locations for  
501 rainwater harvesting in Maharloo-Bakhtegan basin, which is providing information for decision-  
502 makers, hydrologists, and natural resources planners for designing future RWH systems.

503

## 504 **5. Conclusions**

505 **Rainwater harvesting (RWH) strategies at the large-scale** are an important primary water source  
506 that can be a useful climate change adaptation policy by RWH's multi-functionality and high  
507 adaptability in many arid and semi-arid zones, which comprise 40% of land on Earth. It is the main  
508 and most important method for drinking water supply in arid and semi-arid parts of Iran, where  
509 people have stored rainwater in underground reservoirs (especially in the Fars province) for  
510 centuries. In recent decades, low prices and easy access to groundwater resources through  
511 technological developments have made extraction of groundwater a more widely used water  
512 resource. This is leading to declining groundwater resources and depletion of aquifers, so to meet

513 the growing demand for water use of RWH is being reconsidered. Recent developments in GIS  
514 and remote sensing techniques, accompanied by experimental and traditional applications of RWH  
515 systems, have made it possible to develop new approaches and procedures to identify suitable sites  
516 for RWH structures. In this study, the criteria for various RWH structures were determined based  
517 on FAO guidelines, which set ideal limits for RWH conditioning environmental and human  
518 factors. Mean annual precipitation of 350-390 mm/year was identified as a suitable range for most  
519 RWH structures and a steep slope for RWH structures was shown to be unsuitable. The suitability  
520 of areas for constructing RWH structures increased with increasing distance from lakes and dams,  
521 especially in the upstream direction. However, according to field data, only 17 out of 167 existing  
522 RWH structures (~10%) have been constructed upstream of dams (Doroudzan, Sivand and  
523 Mollasadra reservoir dams). Also, the main conclusions of this study are as follows:

- 524 • RWH suitability mapping can be conducted using AIAs, providing reliable results without  
525 expensive field surveys and complicated data.
- 526 • Remote sensing and GIS are useful techniques for locating and implementing RWH  
527 structures in regions with little data.
- 528 • RWH suitability maps can be used in risk reduction strategies when implementing RWH  
529 systems.
- 530 • Appropriate design of **RWH at the large-scale** is essential for sustainable water resource  
531 management and efficient planning to level out water availability intra-annually and  
532 mitigate natural hazards such as floods and droughts.
- 533 • A new approach for RWH suitability mapping based on probability curves, threshold  
534 values and optimum ranges for conditioning socio-environmental variables proved useful.

- 535 • Considering the dynamic of some conditioning variables in determining the suitable  
536 locations of RWH structures leads to different results over time. For example, precipitation  
537 and temperature are variable over time, and they have temporarily been affecting model  
538 outputs. Therefore, precipitation and temperature were considered as dynamic variables  
539 over time, and long-term (1989-2019) precipitation and temperature data were considered.
- 540 • Since in this study the relationship between the catchment area and capacity of the RWH  
541 structures were not assessed, it would be highlighted the analysis relationship between  
542 catchment area and capacity of the RWH structures, can be considered as future work and  
543 directions.
- 544 • However, harvested runoff water from rainwater is in large quantities and volume, it is  
545 contaminated by sediment on the catchment, and construction and treatment costs are very  
546 high. Therefore, compared to other rainwater harvesting methods (e.g. roof water  
547 harvesting), **RWH at the basin scale** is commonly preferred to use related to low-water  
548 quality consumptions and it is a cost-effective technique.
- 549 • Generally, our methodology assessed the **implementation aspect of RWH at the large area**  
550 and the findings highlight a potential solution to the sustainable water utilization given  
551 global climate change.
- 552 • **However, the methodology as presented in this study provides a valuable screening of large**  
553 **areas, it can easily be adapted to include other information or criteria with other spatio-**  
554 **temporal resolutions.**

555

## 556 **Acknowledgments**

557 The authors would like to acknowledge the Iranian Meteorological Organization (IRIMO) and  
558 Fars Water Authority for their contributions in providing data and express special thanks to Maa\_ja  
559 VESITEKNIIKAN TUKI project number 41878 for the financial support of this study.

560

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