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2 **Long-term dysglycemia as a risk factor for faster cognitive decline during aging:**
3 **a 12-year follow-up study**

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24

25 **Abstract**

26 **Aims**

27 This longitudinal study evaluated associations between glucose metabolism and cognitive
28 performance during a 12-year follow-up.

29 **Methods**

30 We included 714 subjects, which were followed from the age 55 to 70 years. Using oral glucose
31 tolerance tests the population was classified as normoglycemic (NGT) and based on WHO
32 diagnostic criteria for diabetes and prediabetes. Cognitive performance was assessed with a verbal
33 fluency (category) test and wordlist learning tests of CERAD-nb, a verbal fluency (letter) test, and
34 trail-making tests A and B.

35 **Results**

36 Compared to the normal group subjects with long-lasting prediabetes showed significantly greater
37 decline (4.6 versus 2.9 words) on the verbal fluency (category) test ($p = 0.041$); subjects with long-
38 lasting type 2 diabetes showed significantly greater decline (13 versus 6 s) on the trail making A
39 test ($p=0.021$) and on the wordlist learning test (3.3 versus 1.7 words) ($p = 0.013$); and a combined
40 group of subjects with prediabetes or incident type 2 diabetes showed significantly greater cognitive
41 decline (3.8 versus 2.9 words) in the verbal fluency (category) test ($p = 0.039$).

42 **Conclusion**

43 Prediabetes was associated with cognitive decline during aging. This finding should be incorporated
44 into prevention strategies, because both type 2 diabetes and dementia are increasing world-wide.

45 **Keywords** cognition, cognitive decline, cohort, glucose tolerance

46

47 **1. Introduction**

48 Type 2 diabetes has convincingly proven to be associated with accelerated cognitive decline and is
49 a risk factor for dementia (1)(2)(3). Cross-sectional studies (4) (5) and previous systematic reviews
50 (6) (7) have shown that also prediabetes (i.e., impaired fasting glucose [IFG], impaired glucose
51 tolerance [IGT], or both) and elevated glycated haemoglobin (HbA1c) -levels were associated with
52 impaired cognitive function. However, less is known about the longitudinal association between
53 prediabetes and cognitive changes during aging. Our systematic review (6), of longitudinal
54 population based studies in age groups over 65 years showed conflicting results on the association.
55 We found that only four of the 13 articles reported results focusing on prediabetes and cognitive
56 decline. Three of these studies reported positive association (8) (9) (10) and one (11) reported no
57 detrimental effect on cognition. In Rouch et al's (8) study prediabetes was reported to be linked to
58 a decline in one of the tests they used, and Marseglia et al (9) reported an independent association
59 with accelerated cognitive decline measured by MMSE. Xu et al's (10) study showed that
60 prediabetes accelerated the progression from mild cognitive impairment to dementia. These studies
61 defined prediabetes according to fasting or random blood glucose level, or by using HbA1c
62 measurement. In none of them oral glucose tolerance test was used as a marker of prediabetes. The
63 discrepancies between the results of those studies might have been due to variations in study
64 design, in follow-up times and in measures of different domains of cognitive function. Follow-up
65 times in these studies varied from 6.4 to 9 years and the age of participants from > 60 to >75 years.
66 Moreover, only two studies used several cognitive tests in assessment of cognition (8) (11) , and
67 two studies (9) (10) used only the Mini-mental State Examination (MMSE) as measure of cognitive
68 function. . The studies of our review evaluated cognitive decline in dementia free populations,
69 targeting to find out if normal cognitive decline during aging is accelerated by diabetes or
70 prediabetes.

71 The present study aimed to evaluate longitudinal associations between glucose metabolism and
72 cognitive function and population based Oulu 45 cohort study in which time period spanned 12
73 years. Oral glucose tolerance tests (OGTTs) and five different cognitive tests, both at baseline and
74 at the end of follow-up. Our hypothesis was that early onset of diabetes and prediabetes might
75 accelerate cognitive decline during aging.

77 **2. Materials and Methods**

78 **2.1 Study Population**

79 Our study cohort, called the Oulu 45 cohort, is a prospective population-based health survey of an
80 aging population including all individuals born in 1945 and residing in the city of Oulu, in northern
81 Finland, on 31 December 2001. The study aimed to find factors for maintaining individual health,
82 well-being, and functional abilities. At baseline, 1332 individuals living in Oulu in 2001 were
83 invited to the survey, and 993 participated. Twelve years later, 922 individuals were alive and
84 invited to a follow-up survey, and 714 participated (77%). Finally, data were available on cognitive
85 tests and OGTTs at both time points for 664 individuals (72%; Figure 1.). The characteristics of the
86 study population at baseline and at follow-up compared to characteristics of those who were lost
87 during the follow-up are shown in Table 1.

88 The Oulu 45 cohort was followed from an initial average age of 56.8 (standard deviation [SD]: 0.5)
89 years in 2001-2003 until the average age of 68.9 (SD: 0.5) years in 2013-2015. The average follow-
90 up time was 12.1 years (SD: 0.5).

91 This follow-up study was approved by the Ethics Committee of the Northern Ostrobothnia
92 Hospital District in Oulu, Finland (EETTMK 12/2013). It was performed in accordance with
93 the Declaration of Helsinki. The participants were given oral and written information about the
94 study, and all who participated provided written consent to use data for research, including consent
95 to link the data to hospital registries. All data were analyzed only at the group level, and the
96 personal identification codes were replaced with research id-numbers.

97 The data were collected by trained study nurses through interviews, structured questionnaires on
98 health and well-being, and clinical measurements, both at baseline and at follow-up. Clinical
99 measurements were performed on two separate days. The first examination day included the basic
100 anthropometric measurements (weight, height, hip, and waist circumference), clinical
101 measurements, and blood sampling, after an overnight fasting period. The second examination day
102 included cognitive function and performance tests in a restful room, at noon and in the afternoon to
103 standardize the testing conditions.

104

105 **2.1.1 Sociodemographic characteristics, health behavior, and self-reported factors**

106 Interviews and structured questionnaires were conducted to collect data on marital status, basic
107 education, professional education, employment, smoking and alcohol use, subjective health and

108 well-being, life satisfaction, self-experienced physical condition, and physical activity. Marital
109 status was divided into four categories: unmarried, married, widowed, and divorced. The level of
110 education was used to measure the socioeconomic status of the study population. The educational
111 level was classified according to professional education. Basic education was divided into three
112 categories: primary school, middle school, and undergraduate. Professional education was divided
113 into four categories: no education/courses, vocational school, college/polytechnic, and
114 academy/university.

115 Tobacco smoking was categorized as a non-smoker, current smoker, or former smoker. Alcohol
116 consumption was measured with standardized questions, and the weekly amount (g/d) of alcohol
117 was calculated. Information was collected on the frequency of use and typical quantity per occasion
118 for three different alcoholic beverages: mild drinks; wines; and spirits. The frequency of use was
119 measured on a 10-point scale (1 = never to 10 = daily). Information was collected on the quantities
120 consumed on a typical occasion for the three beverage types, as follows: the number of 0.33-L
121 bottles of mild drinks; the number of glasses (=16 cL) or 0.75-L bottles of wine; and the number of
122 4-cL shots or 0.5-L bottles of spirits. The typical quantities were evaluated on a 9-point scale (1 =
123 none to 9 = 15 bottles or more). One bottle of mild drink was assumed to contain one standard unit
124 of alcohol (=12 g ethanol); wine was assumed to contain 13% alcohol; and spirits were assumed to
125 contain 38% alcohol. Based on these stipulations, the consumption of alcohol was calculated in
126 grams per day (g/d) for each beverage type by multiplying the frequency by the quantity. Then all
127 the beverage types were summed to determine the total amount of alcohol consumed daily (12).
128 Self-reported general health was categorized as: very good, good, or acceptable/weak. Life
129 satisfaction was categorized as: very satisfied, satisfied, quite satisfied, or not satisfied. Self-
130 reported physical condition was categorized as: very good, quite good, average, or poor. Physical
131 activity was categorized according to the frequency of physical exercises performed on a monthly
132 or weekly basis.

133 **2.1.2 Clinical measurements**

134 Depression status was classified with 21-Item Beck`s Depression Scale (BDI-21, 0-13 = normal,
135 ≥ 14 = depression) (13). Seated systolic (SBP) and diastolic (DBP) blood pressure and heart rate
136 were measured after at least five minutes rest twice with an automatic electronic blood pressure
137 monitor (Omron M4, HEM-722C1-E) on their upper left arm, and the mean of the two
138 measurements was used in the analyses. Subjects were classified according to whether they had
139 been diagnosed with hypertension, and the mean blood pressure was calculated. Blood pressure was
140 classified according to blood pressure measurements, and blood pressure changes were categorized

141 as follows (systolic/diastolic, in mmHg): >140/90 at both baseline and at follow-up; >140/90 at
142 baseline and decreased during follow-up; <140/90 at baseline and increased during follow-up; or
143 <140/90 at both baseline and at follow-up. Weight and height were measured with standard
144 protocols and the body mass index (BMI, kg/m²) was calculated..

145 **2.2 Glucose metabolism and diabetes**

146 All participants without a previous diagnosis of diabetes mellitus were invited to participate in a 2-h
147 OGTT after a 10-h fasting period, at both baseline and follow-up. Blood samples were obtained in
148 the fasting state, immediately before the intake of 75-g glucose, and then at 30, 60, and 120 min
149 after the intake. The glucose tolerance status was classified according to WHO criteria for diabetes
150 mellitus, as follows: diabetes = fasting plasma glucose level ≥ 7 mmol/L or 2-h plasma glucose level
151 > 11 mmol/L; impaired fasting glucose (IFG) = fasting plasma glucose level 6.1-6.9 mmol/L;
152 impaired glucose tolerance (IGT) = 2-h plasma glucose level 7.8-11 mmol/L; and normal glucose
153 tolerance (NGT) = fasting plasma glucose level ≤ 6.0 mmol/L and 2-h plasma glucose level < 7.8
154 mmol/L. We classified the diabetes status mainly based on the OGTT, but when the 2-h value was
155 missing, we used the fasting (0 h) value. Prediabetes was defined as IFG or IGT. Glucose values
156 were determined from whole blood at baseline. However, glucose measures differ between whole
157 blood and venous plasma samples; therefore, we applied a correction factor of 1.11 to convert
158 baseline fasting blood glucose to plasma glucose values (14).

159 Participants were classified as: normal (normal blood glucose in both 2003 and 2015, N=264); new
160 prediabetes (NewPre: normal blood glucose in 2003 and prediabetes in 2015, N= 204); ~~previous~~
161 ~~stable~~ prediabetes (~~PrevStab~~Pre: prediabetes in both 2003 and 2015, N=47); new diabetes
162 (NewDiab: normal blood glucose or prediabetes in 2003, and diabetes in 2015, N= 116); and
163 ~~previous stable~~ diabetes (~~PrevStab~~Diab: diabetes in 2003, and in 2015, N= 33). In this context
164 ~~previous stable~~ prediabetes or ~~previous~~ diabetes means that prediabetes or diabetes was diagnosed
165 both at baseline and at the follow-up point.

166 **2.3 Cognitive assessment**

167 The cognitive tests applied at baseline were: the verbal fluency (category) test, and the wordlist
168 learning test, created by the Consortium to Establish a Registry for Alzheimer's Disease (CERAD),
169 neuropsychological battery (CERAD-nb). We also applied the verbal fluency (letter) test, and the
170 trail making tests, parts A and B (TMA and TMB, respectively). At follow-up, the study population
171 completed the entire CERAD test battery, the TMA and TMB, the MMSE, the modified Wechsler

172 Adult Intelligence Scale, 3rd edition, and the Stroop-test. The test battery applied at baseline was
173 less extensive than that applied at follow-up, due to the young age and generally good health and
174 well-being of the population at baseline. The assumption was that, at age 55 years, participants
175 should not display any major deficits in cognitive performance. To assess changes in cognitive
176 performance during the follow-up, we compared results from the tests that were performed at both
177 baseline and follow-up.

178 In the verbal fluency (category) test, individuals were asked to list all the animals they could think
179 of for one minute. The threshold value for a large decline was 7 animals. In the wordlist learning
180 test, individuals had to memorize a list of 10 words, with three attempts. The threshold value for a
181 large decline was 4 words. In the verbal fluency (letter) test, individuals were asked to list as many
182 words as possible beginning with a certain letter for one minute. The threshold for a large decline
183 was 9 words. The TMA consisted of 25 numbered circles distributed over a sheet of paper, and
184 individuals were asked to draw lines to connect the numbers in ascending order. In the TMB, the
185 circles included both numbers (1-13) and letters (A-L), and individuals were asked to connect the
186 both the numbers and letters in ascending order, alternating between numbers and letters. The
187 circles had to be connected as rapidly as possible, and the time to completion was measured. The
188 thresholds for large declines were 14 s in the TMA test and 42 s in the TMB test. The wordlist tests
189 (naming and learning) and recall tests measured different fields of memory function, and the trail
190 making tests measured cognitive processing speed and executive functioning. The definition for
191 “large decline” is explained in the statistical analysis.

192

193

194 **2.4 Statistical analysis**

195 Individuals who continued to follow-up and those who dropped out of the survey were compared
196 with the chi-square test, for categorical variables, and the independent samples t-test or Mann-
197 Whitney U-test, for continuous variables, as appropriate. Characteristics at baseline and follow-up
198 were compared with paired analyses; i.e., the McNemar Bowker test, for categorical variables, and
199 the paired-samples t-test or Wilcoxon signed ranks test for continuous variables, as appropriate.
200 Unadjusted changes in test scores from baseline to follow-up were analyzed with paired-samples t-
201 test. The main aim of our statistical analysis was to explore whether the changes in test scores
202 between the beginning and end of follow-up were different between distinct glucose status groups.
203 We implemented a General Linear Model, adjusted with covariates, for regressions between the

204 follow-up test score and the baseline test score. Education level, depression status (categories
205 described above), alcohol consumption (grams/day), change in blood pressure (categories described
206 above), LDL cholesterol, BMI (<25 , $25-29.99$ and ≥ 30 kg/m²) and smoking status (non-smoker, ex-
207 smoker, smoker) were considered for inclusion in the model. Inclusion in the final multivariable
208 model was based on the clinical relevance and statistical significance of covariates. In addition to
209 the baseline test score, the final multivariable model included the education level and the change in
210 blood pressure as covariates. We included baseline test score as covariate to control for any baseline
211 imbalance between groups and considered the regression to the mean (subjects with high scores
212 generally have larger declines than subjects with low scores). Before the analyses, multicollinearity
213 was tested with the variance inflation factor. We also dichotomized the changes in test scores,
214 where the threshold was the third quartile of the test score change in the normal glucose status
215 group. The proportion of cases with large declines in each test score was compared between groups
216 with the chi-square test. All analyses were performed with IBM SPSS Statistics 24.0 (Armonk,
217 NY: IBM Corp.). P-values <0.05 were considered statistically significant.

218 **3. Results**

219 At the baseline we had 993 participants, 438 (44.1 %) men and 555 (55.9%) women. The follow-up
220 study included 714 participants who had participated both the baseline and follow-up data (Figure
221 1.). Data on diabetes status and cognitive test results were obtained for 664 individuals. The clinical
222 characteristics of the study group are shown in Table 1. During the follow-up, 204 individuals
223 developed prediabetes (NewPre), and 116 developed diabetes (NewDiab, self-reported or OGTT
224 confirmed). Thus, the incidence of prediabetes was 31% and the incidence of diabetes was 18%.
225 The incidence of diabetes was higher among men than among women (24 % versus 13 %
226 respectively, $p < 0.0001$).

227

228 **3.1 Change in diabetes status and cognitive function**

229 During the follow-up period, cognitive function significantly declined in all glucose status groups
230 of participants, based on all five cognitive tests; among Normal, [PrevStabPre](#), NewPre and
231 NewDiab groups the significance of decline was $p < 0.0001$ in all the tests and among
232 [PrevStabDiab](#) group the significance of decline was $= 0.004$ in the verbal fluency (category) test, =
233 0.003 in TMB test and $p < 0.0001$ in the other tests.

234 The numerical declines in test scores - adjusted for the baseline test score, education level, and
235 blood pressure - are shown in Figure 2. The test results showed significant numerical declines in the
236 verbal fluency (category) test ($p = 0.041$) in the [PrevStabPre](#) group (prediabetes during the entire
237 12-year follow-up), compared to the normal group.

238 Table 2. gives the information concerning the cognitive test results in each group at baseline and at
239 follow-up and also the change in the test results during the follow-up. The [PrevStabDiab](#) group
240 showed a significantly greater decline in the TMA ($p = 0.021$) and in the word-list learning test ($p =$
241 0.013) compared to the normal group. The [PrevStabDiab](#) group had larger proportions of
242 participants that showed relatively significant declines on at least two cognitive tests (60.7%) and at
243 least three cognitive tests (25.0%), compared to the normal group (35.2%, $p=0.008$ and 11.7%, $p =$
244 0.05 , respectively).

245 After adjustments, we compared the normal group to the [PrevStabPre + NewPre + NewDiab](#)
246 groups . This combined group showed significant cognitive decline on the verbal fluency (category)
247 test ($p = 0.039$) compared to the normal group. Moreover, the proportions of participants in the
248 [PrevStabPre + NewPre + NewDiab](#) group that showed relatively significant declines on at least one
249 cognitive test (79.0%) and on at least two cognitive tests (43.0%) were larger than the
250 corresponding proportions in the normal group (72.2%, $p = 0.049$ and 35.2%, $p = 0.056$,
251 respectively).

252

253 **3.2 Characteristics of those who were lost to follow-up**

254 Table 3. shows that those who dropped out ($N=279$) showed worse clinical measurements and
255 health and well-being indicators compared to those that continued the study ($N=714$). These groups
256 were significantly different in all characteristics, except alcohol consumption, BDI-21 scale, HDL
257 and LDL cholesterol and one cognitive test result (verbal fluency category).

258

259 **4. Discussion**

260 In the Oulu 45 population, we observed a significant difference in cognitive performance between
261 individuals with prediabetes and individuals with normal blood glucose levels, based on the verbal
262 fluency (category) test. In addition, we observed clear numerical declines in all cognitive test results

263 during the follow-up, which is a remarkable finding in this rather small cohort. Adjustments for
264 alcohol consumption and depression status (BDI) did not affect the results. Moreover, the
265 association remained significant after adjusting for hypertension, education, and baseline cognitive
266 test results. The adjustment for baseline cognitive test results was made because we wanted to
267 evaluate the change in test results apart from the baseline level of cognitive performance.
268

269 We also compared individuals with prediabetes at baseline that did not develop diabetes at the
270 follow up to individuals with normal glucose tolerance. We found that prediabetes was associated
271 with a marked decline in cognitive test results, and the numeral differences between these groups
272 were clinically relevant (Figure 2).
273

274 Few previous studies have investigated the association between prediabetes and cognitive decline,
275 and those studies reported conflicting results. Rouch et al. (8) conducted a two-year follow-up
276 study, where individuals were surveyed with a large neuropsychological test battery. They found
277 that prediabetes was related to cognitive decline, based on the verbal fluency (letter) test. Xu et al.
278 (10) performed a nine-year follow-up, where individuals were surveyed with the MMSE. They
279 showed that prediabetes accelerated the progression from mild cognitive impairment to dementia.
280 Similarly, Marseglia et al. performed a nine-year follow-up, where individuals were surveyed with
281 the MMSE. They found that prediabetes was independently associated with accelerated cognitive
282 decline (9). In contrast, Samaras et al. performed a two-year follow-up study, where individuals
283 were tested with a wide variety of cognitive tests. They found that stable IFG had no detrimental
284 effect on cognition (11). Similarly, Cholerton et al. conducted a study, where individuals were
285 surveyed with several cognitive tests. After a mean follow-up of 21 years, they found that IFG was
286 not significantly associated with cognitive decline (15). Apparently different cognitive tests
287 measure different cognitive domains, maybe effecting the results. The tests we used and the
288 cognitive domains they measure are explained in the methods.

289 In the present study, we observed a significant decline in cognitive performance among individuals
290 that had diabetes during the entire 12-year follow up. This result supported previous studies, which
291 indicated that diabetes was an independent risk factor for cognitive decline during aging. According
292 to our results from the present study, a glucose metabolism disorder (prediabetes or diabetes)
293 independently lowered cognitive performance.

294 Clear evidence from prospective cohort studies have supported the relationship between
295 cardiovascular risk factors and cognitive deficits. Smoking (16) (17), obesity (18), (19), (20), (21),
296 (22), (23), diabetes (24), (25), (26), (27), high cholesterol in midlife (22) (28), and hypertension in
297 midlife (19), (22), (29), (30), (31) were associated with increased risks of cognitive decline and
298 dementia. In our study those who had diabetes at the baseline performed statistically significantly
299 weaker in word list learning (p 0.005) and in TMA test (p 0.026) compared to those who developed
300 diabetes during the follow-up, suggesting long lasting diabetes to be a risk factor for cognitive
301 decline.

302

303 The main strength of this study was that the OGTT was performed at baseline and at follow-up to
304 determine diabetes status in the participants. Additionally, the follow-up time was sufficiently long
305 to observe possible changes as the population aged from late middle age to older age. Moreover, we
306 adjusted the analyses for covariates to rule out the effects of some common confounders. We used
307 the longitudinal change in blood pressure as a covariate because the change in blood pressure
308 during the follow up associated with cognitive change more apparently than baseline blood pressure
309 alone. In addition, we chose cognitive tests that would be sufficiently challenging for a study
310 population that was relatively young and cognitively intact at baseline. In contrast, for follow-up,
311 we chose a test battery that was relatively broad to test different areas of cognitive ability. Our
312 cognitive tests were comprehensive enough (5 different tests) even though they did not evaluate the
313 global cognition.

314 Some potential limitations of this study were the small group sizes at follow-up. Additionally, our
315 test battery does not give the information about the global cognition but certain cognitive domains.
316 Another potential limitation was the population-based study design, which is often prone to
317 selection bias during follow -up (32). This bias could have been present in our study, because
318 individuals with worse diabetes status or other difficult health issues tended to drop out during the
319 follow up. This bias might have affected the results. Indeed, the decline in cognitive ability might
320 have been more marked, if individuals in worse condition had been included in the analyses.
321 Furthermore because the study group was aware of their prediabetes status, they might have
322 changed their lifestyle during the follow up. This potential intervention could have impacted our
323 ability to detect distinct changes over time.

324 In conclusion, we found that both prediabetes and diabetes diagnosed at mid-life accelerated
325 cognitive decline during aging. Importantly, the clinical relevance of our results could be

326 meaningful, even in the absence of statistical significance. Further studies are needed to evaluate
327 whether insulin resistance and prediabetes are independent risk factors for cognitive decline.
328 Common vascular risk factors are also known to be associated with cognitive decline, particularly
329 those that become influential in middle age. Further study is needed to assess their roles in
330 weakening cognitive performance later in life.

331

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336

337 **References**

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